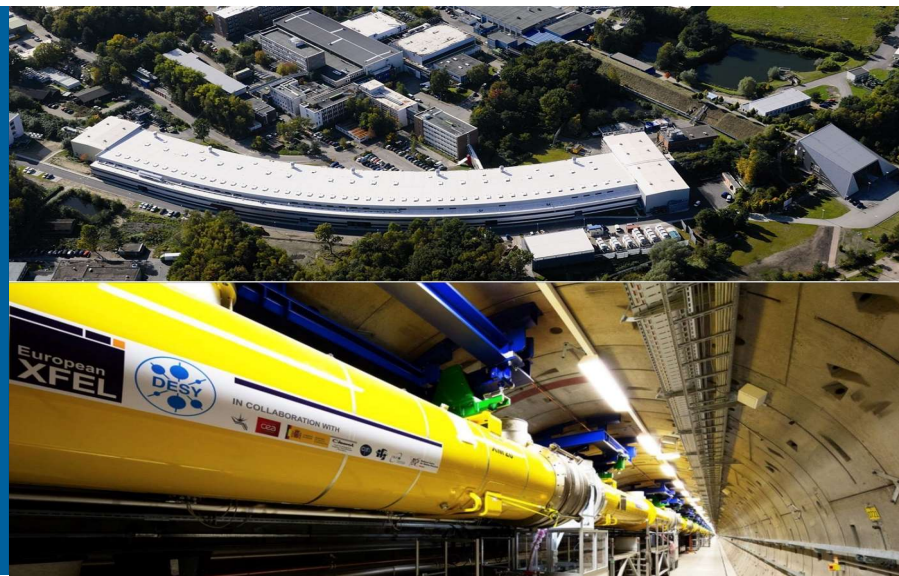




PROSPECTS OF AN X-RAY FEL OSCILLATOR WITH SCRF LINAC OR USR

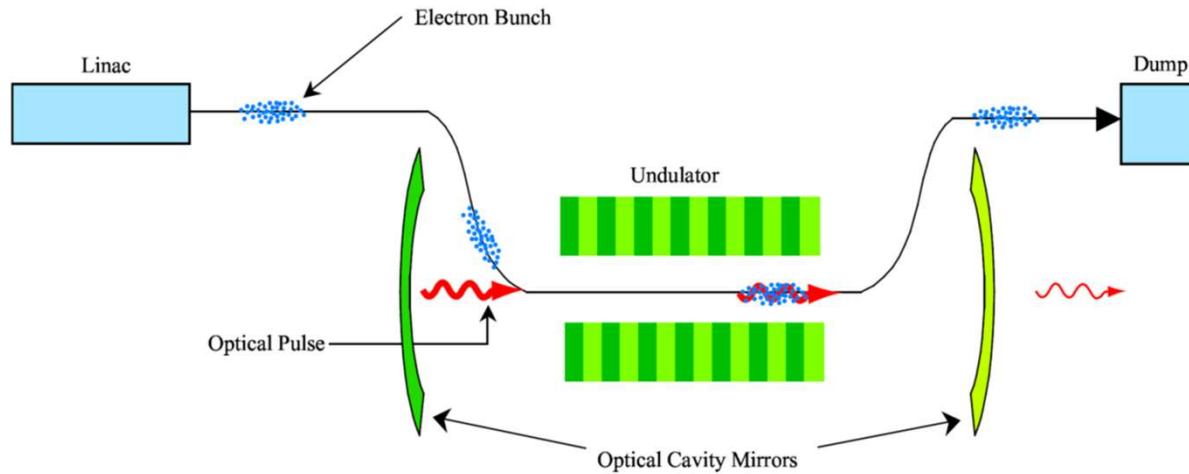


KWANG-JE KIM

ANL and U. Chicago

March 19, 2018
DESY, Hamburg

FEL OSCILLATOR PRINCIPLE

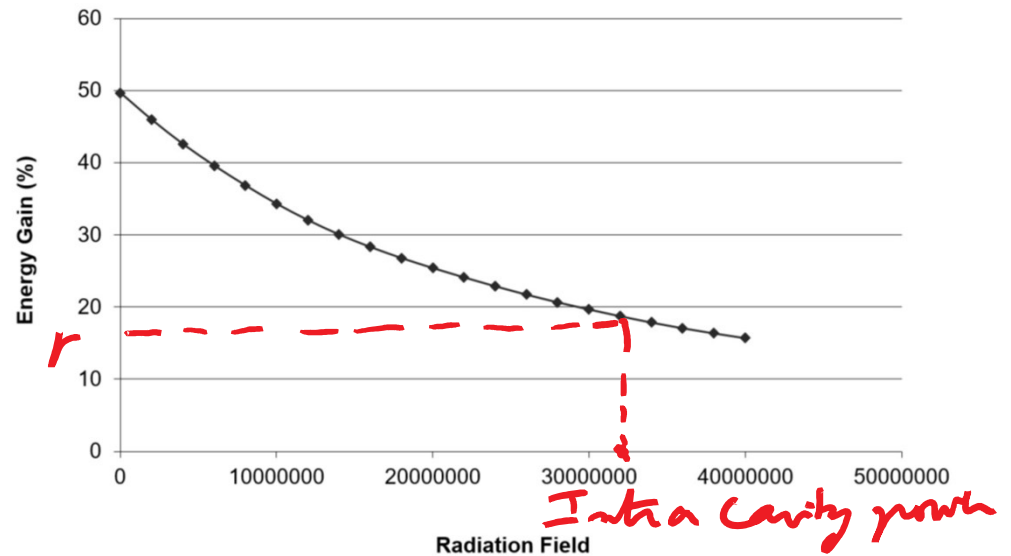


$$P_1 = P_s,$$

$$P_n = R_A R_B (1 + G) P_{n-1} + P_s \quad \text{for } n > 1$$

$$P_n = \left(\frac{G_T^n - 1}{G_T - 1} \right) P_s, \quad G_T = R(1 + G) \sim (1 - r + g)$$

- Exponential growth if $G_T > 1$
- Gain drops as the cavity power increases
- Saturation when gain=loss, $G_T=1$



AIP Conference Proceedings
 No. 118
 Subseries on Optical Science and Engineering
 No. 4
**Free Electron Generation of Extreme
 Ultraviolet Coherent Radiation**
 (Brookhaven/OSA, 1983)
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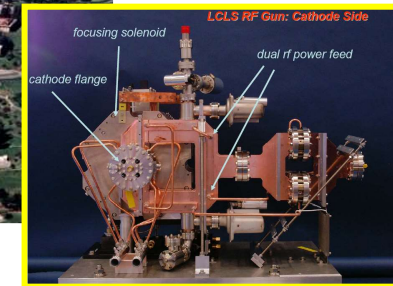
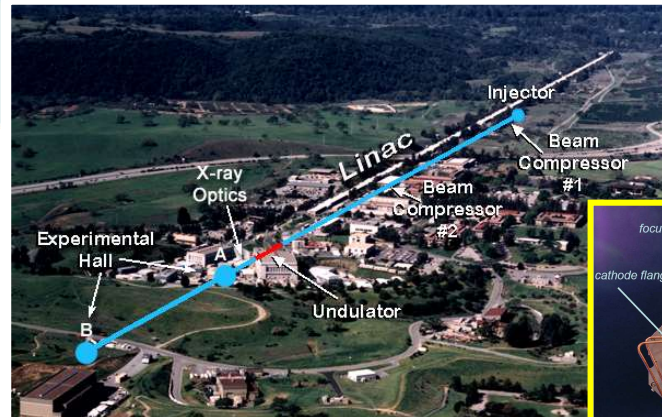
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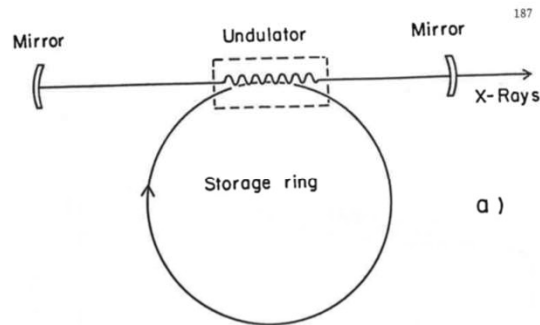
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Nuclear Instruments and Methods in Physics Research A318 (1992) 489-494
 North-Holland

**INSTRUMENTS
 & METHODS
 IN PHYSICS
 RESEARCH**
 Section A



In conclusion, we have demonstrated the feasibility of a free electron laser in the x-ray region, provided that suitable machine parameters can be realized. A realistic machine useable for this project is not probably in existence at the present time, but it is not inconceivable that such a machine may be built in the near future.

**FEL gain taking into account diffraction and electron beam emittance;
 generalized Madey's theorem ***

Kwang-Je Kim

Lawrence Berkeley Laboratory, University of California, Berkeley, CA 94720, USA

PRL 100, 244802 (2008)

PHYSICAL REVIEW LETTERS

week ending
 20 JUNE 2008

the 1-D
 formula is

A Proposal for an X-Ray Free-Electron Laser Oscillator with an Energy-Recovery Linac

Kwang-Je Kim,¹ Yuri Shvyd'ko,¹ and Sven Reiche²

SMALL SIGNAL GAIN FORMULA

- Start from 3 D Maxwell-Klimontovich equations originally developed for high-gain analysis
- Analytic solution for low gain can be solved in perturbation expansion
- For Gaussian and cylindrically symmetric electron and EM mode shapes, and **neglecting focusing**:

$$G = \frac{j_{C,h}}{2} \frac{\sigma_x^2}{\Sigma_x^2} \int_{-1/2}^{1/2} ds \int_{-1/2}^{1/2} dz e^{-2[2\pi h N_u (z-s)\sigma_\eta]^2} \times \frac{(z-s) \{ \sin[2x_0(z-s)] - i \cos[2x_0(z-s)] \}}{1 + z s \frac{L_u^2 \Sigma_\phi^2}{\Sigma_x^2} - i(z-s) \left[k L_u \Sigma_\phi^2 + \frac{L_u}{4k \Sigma_x^2} \right]}$$

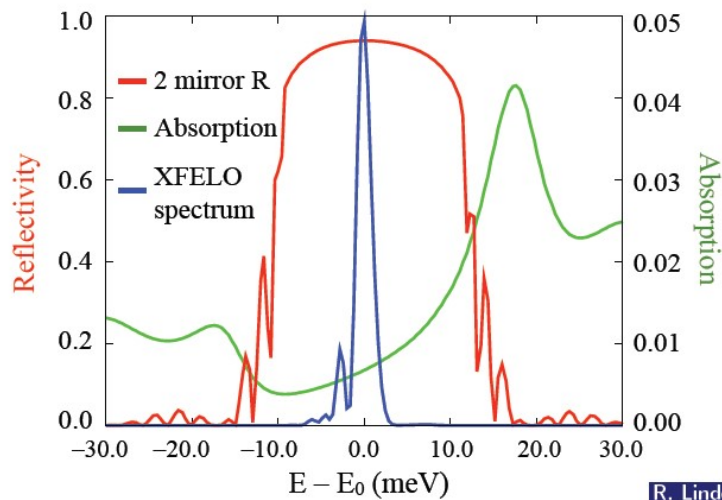
$$\Sigma_x^2 \equiv \sigma_x^2 + \sigma_r^2$$

$$\Sigma_\phi^2 \equiv \sigma_p^2 + \sigma_\phi^2$$

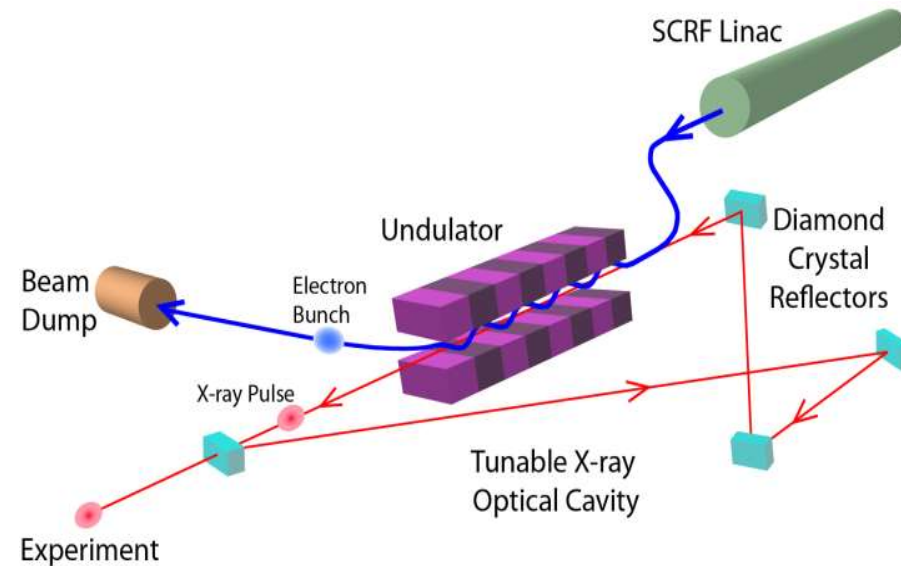
$$j_{C,h} = 2h(4\pi\rho N_u)^3 \frac{[\text{JJ}]_h^2}{[\text{JJ}]^2}$$

- *The undulator length is limited by the energy spread, thus influencing the gain*
- For pulse evolution, a fast simulation codes are necessary
 - Genesis (took more than one month!)
 - Ginger (much faster)

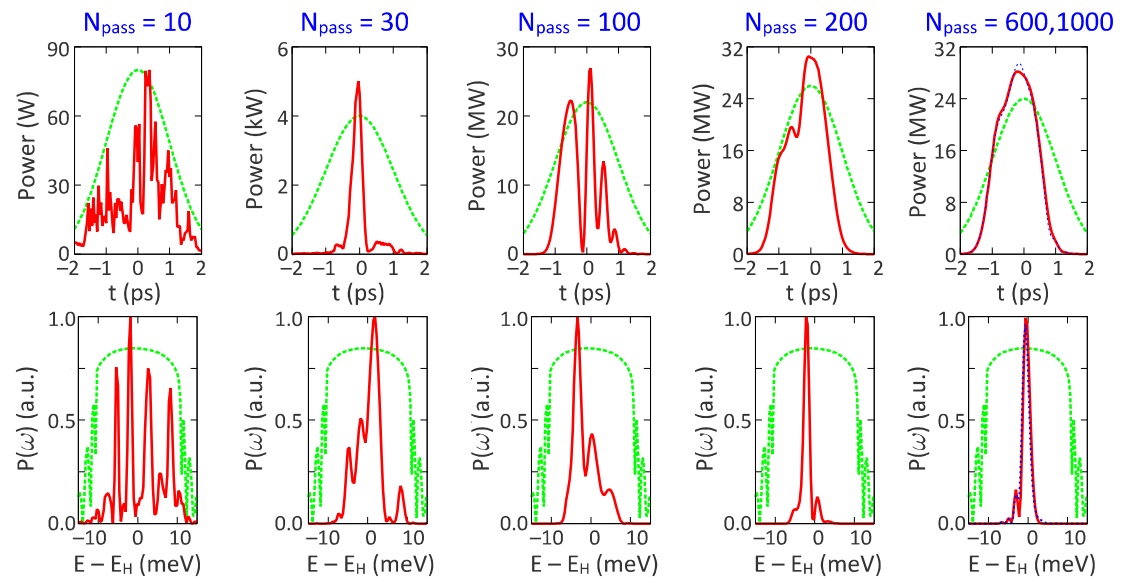
X-RAY FREE-ELECTRON LASER OSCILLATOR (XFELO)



R. Linden



- Bragg reflectors for hard x-rays
 - R. Collela and A. Luccio (1983)
- Revived in 2008
 - KJK, Y. Shvyd'ko, S. Reiche
- Much progress in
 - Theory/sim: R. Lindberg & W. Fawley
 - X-ray optics exp: S. Stoupin & T. Kolodziej



E-BUNCH FOR ULTRA-FINE BANDWIDTH

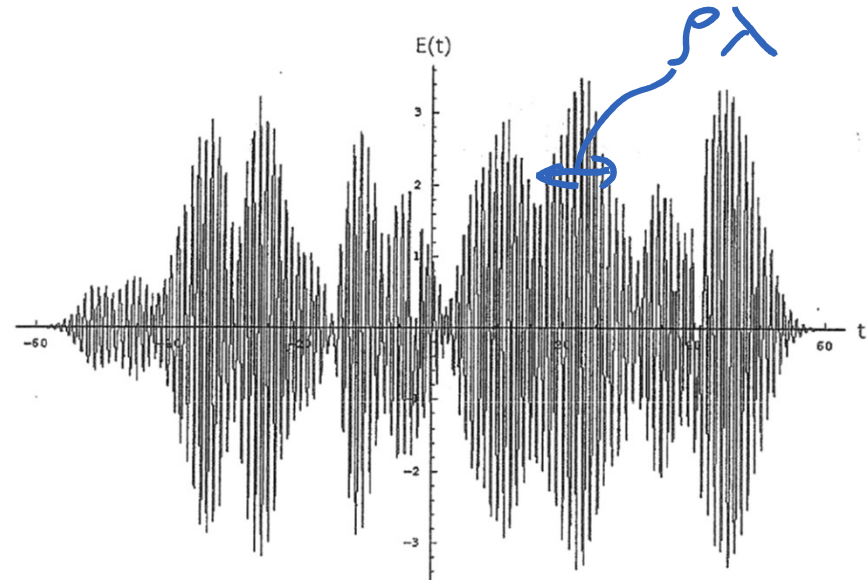
- Temporally coherent X-rays satisfy Fourier condition:

$$c\Delta\tau \times \frac{\Delta\varepsilon}{\varepsilon} = \frac{\lambda}{2}$$

- Diamond crystal BW at hard X-rays ~ 10 meV
 - For $\varepsilon=14.4$ keV, $\Delta\tau=200$ fs
 - Electron bunch length should be at least 200 fs
- If $\Delta\tau=600$ fs → $\Delta\varepsilon \sim 3$ meV; $\Delta\varepsilon/\varepsilon \sim 2 \times 10^{-7}$
 - Need electron beam with flat energy profile
 - Flatness of current is less important
- Similar requirement in transverse plane → normalized emittance ~ 0.25 μm



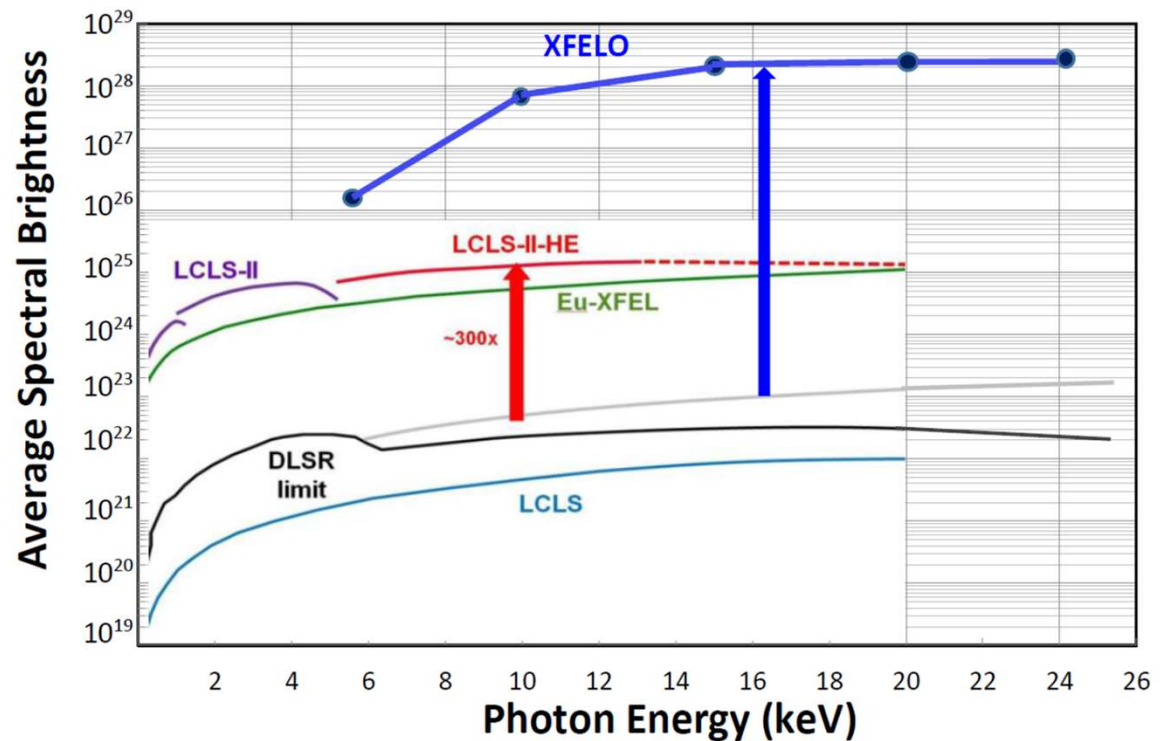
TEMPORAL-SPECTRAL PROPERTIES OF SASE



- Coherence length $\sim \rho\lambda$
- Bandwidth $\Delta\varepsilon/\varepsilon \sim \rho$
- $\Delta\varepsilon \sim 10$ eV for hard X-ray (14.4 keV)
- A pulse of length Dt has several coherent spikes
- The temporal phase space $\Delta\tau \times \Delta\varepsilon/\varepsilon$ are two orders of magnitudes larger than XFEL

XFELO WITH 8 GEV 8-GEV 1 MHZ SCRF LINAC

→ $\mathcal{B} \sim 10^{28} \text{ \#/}(MM^2 MR^2 0.1\%BW)$

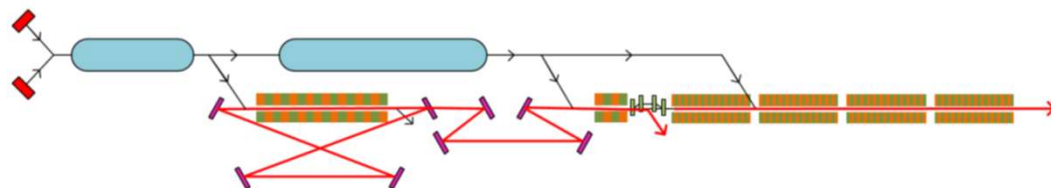


@14.4 keV

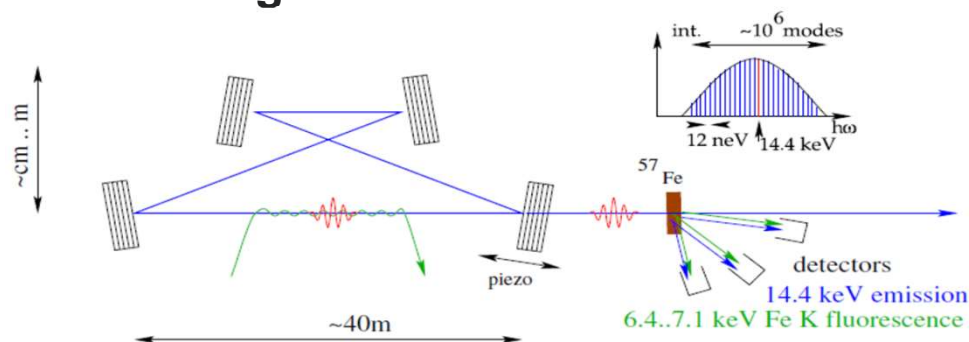
	#/pulse	$\Delta\varepsilon/\varepsilon$	$\Delta\tau$ [fs]	B_{ave}	B_{peak}
XFELO	1.2×10^{10}	2.4×10^{-7}	600	2.7×10^{28}	4.0×10^{34}
SASE	5.0×10^{10}	6.0×10^{-4}	30	4.4×10^{25}	1.5×10^{33}

ADVANCED SCHEMES

- XFEL + (harmonic generation) + high gain amplifier
 - Ultrashort X-ray pulses, higher photon energy up to 60 keV (MaRIE)
 - KJK, R. Lindberg, J. H. Wu, W. Qin



- X-ray spectral comb generation

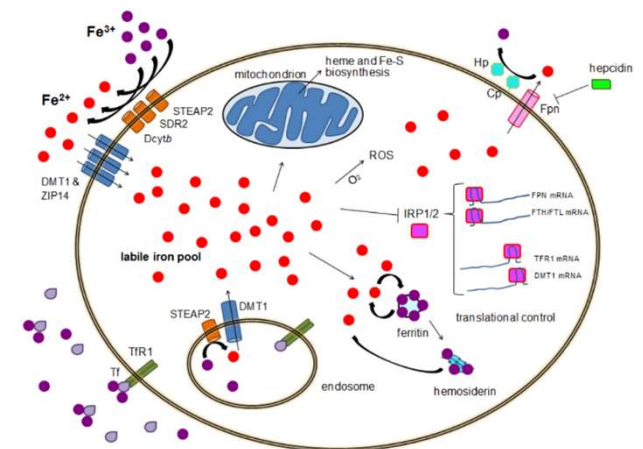


- Stabilize the roundtrip path length to fraction of wavelength FB referenced to
 - narrow nuclear resonance ^{57}Fe
 - stabilized optical laser (optical comb)
- $\sim 10^6$ spectral lines of neV width separated by 12 neV.
- B. Adams and KJK, PRSTAB (2015)

XFELO SCIENCE RETREAT AT SLAC (6/29-7/1, 2016)

Sciences for high spectral brightness and ultra-fine spectral resolution

- Enhanced application of techniques developed at 3rd gen and SASE sources
 - IXS, XPCS, NRS
 - Smaller samples, faster data collection, high resolution..
- Techniques in infancy at current sources
 - Medical applications of NRS
 - X-ray NLO, study of red cells without enriching the excited states of Fe
- Emergence of new areas
 - X-ray spectral comb → fundamental sciences with extreme metrology, quantum optics with nuclear states

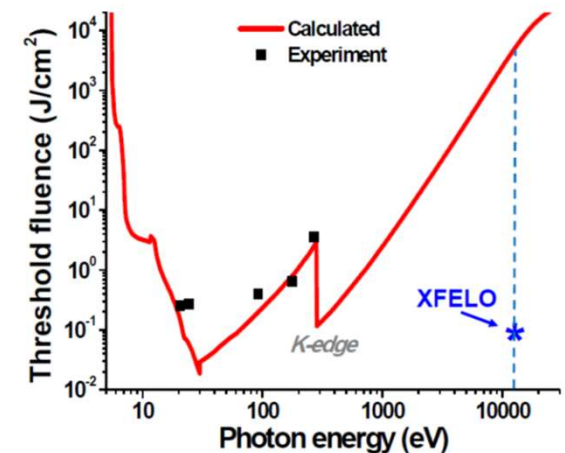
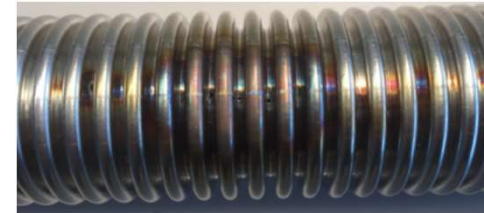


DIAMOND CRYSTAL FOR XFEL MIRROR

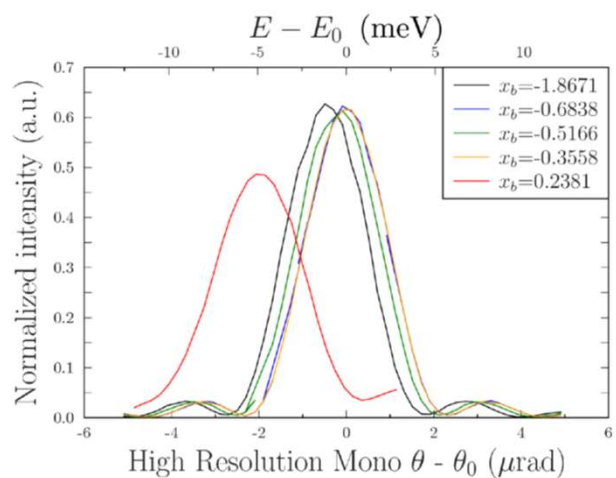
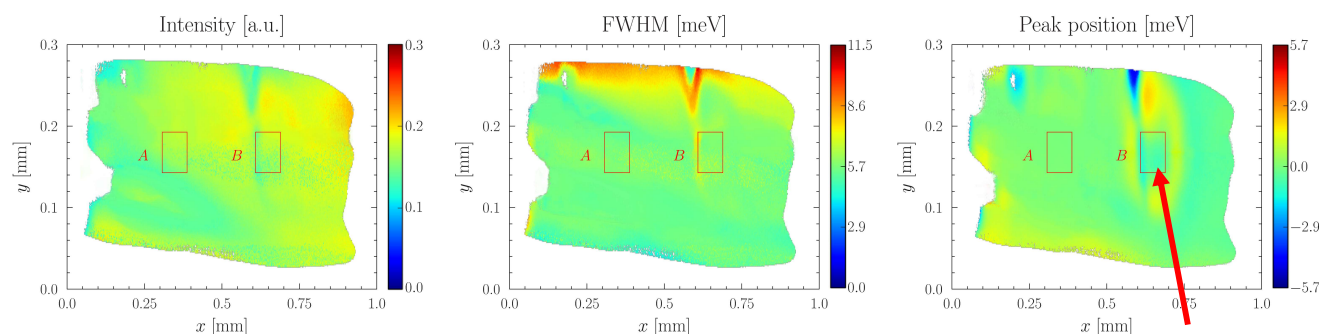
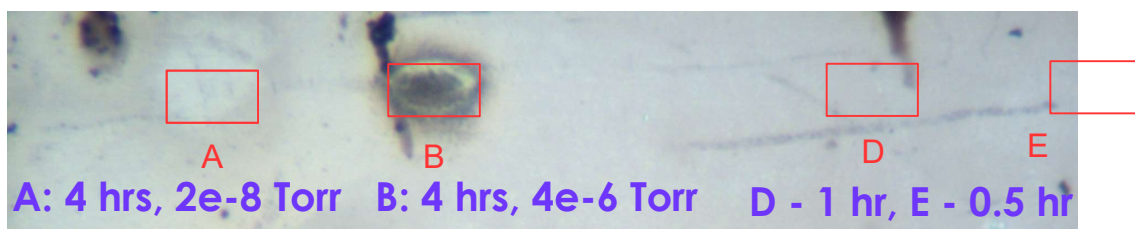
- With the zig-zag configuration, diamond can cover all wavelengths
- High-reflectivity (>99%) with synthetic diamond demonstrated (Y. Shvyd'ko, et al.)
- High diffusivity and small expansion coefficient at <100 K
 - Uneven heating of the surface does not lead to XFEL degradation
- Stability in the crystal orientation
 - Null-position FB at APS demonstrated 15 nrad stability at ~ 1 Hz BW
 - Need to improve < 10 nrad and 1 kHz BW

APS TEST FOR DIAMOND ENDURANCE AT X-RAY POWER DENSITY 10-20 KW/MM²

- Steel will melt in < milli-seconds
- But far below theoretical estimates of damage fluence (N. Medvedev)
- Irradiation up to 4 hours at APS
 - **9 kW/mm²** in 30x120 μm² spots (K-B mirror focusing) under medium vacuum
 - **12.5 kW/mm²** in 30x40 μm² spots (Be-CRL focusing) under UHV (~10⁻⁸)
- High-resolution (meV) topography
- T. Kolodziej, et al (submitted for pub)



- UHV (10⁻⁸ Torr): No structural damage & no reflectivity change
- 10⁻⁶ Torr: Carbon deposits and shift of Bragg peak by ~ 1 meV

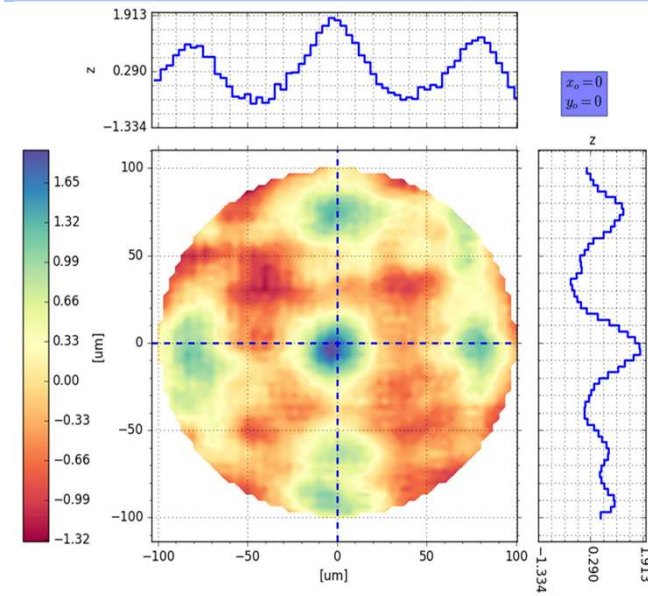
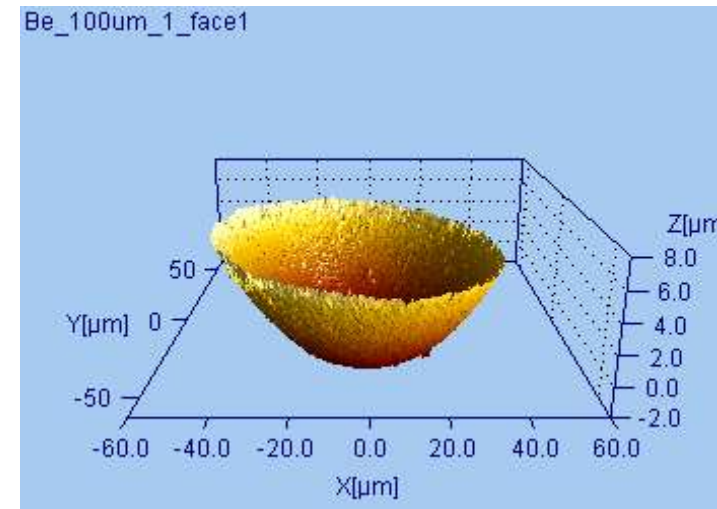
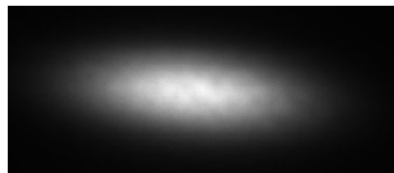
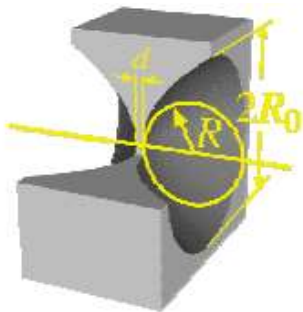


$$\delta E/E = \delta d/d = 1.6 \text{ meV} / 24 \text{ keV}$$

$$\text{Relative } d\text{-spacing change} = 7 \cdot 10^{-8}$$

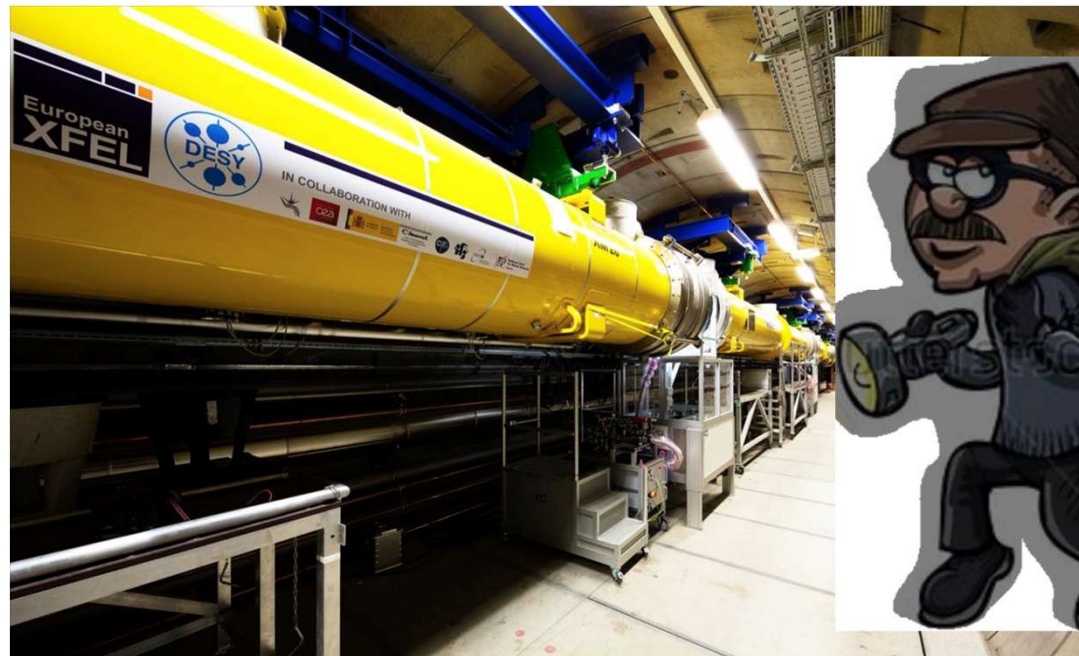
BERYLLIUM CRL AS A COMPACT, LOW-LOSS FOCUSING ELEMENT

- CRL normally used with many-lens set for tight focusing \rightarrow high loss
- For XFEL, $f \sim 50$ m \rightarrow at most two-face unit
- Test Be-CRL, $R=100$ μ m at APS
 - $T > 98\%$ @ 14.4 keV
 - Metrology & Talbot interferometry \rightarrow deviation from parabolic surface < 1 μ m
 - Excellent imaging quality
 - Can withstand the intense intra-cavity x-ray power (10-20 kW/mm²)

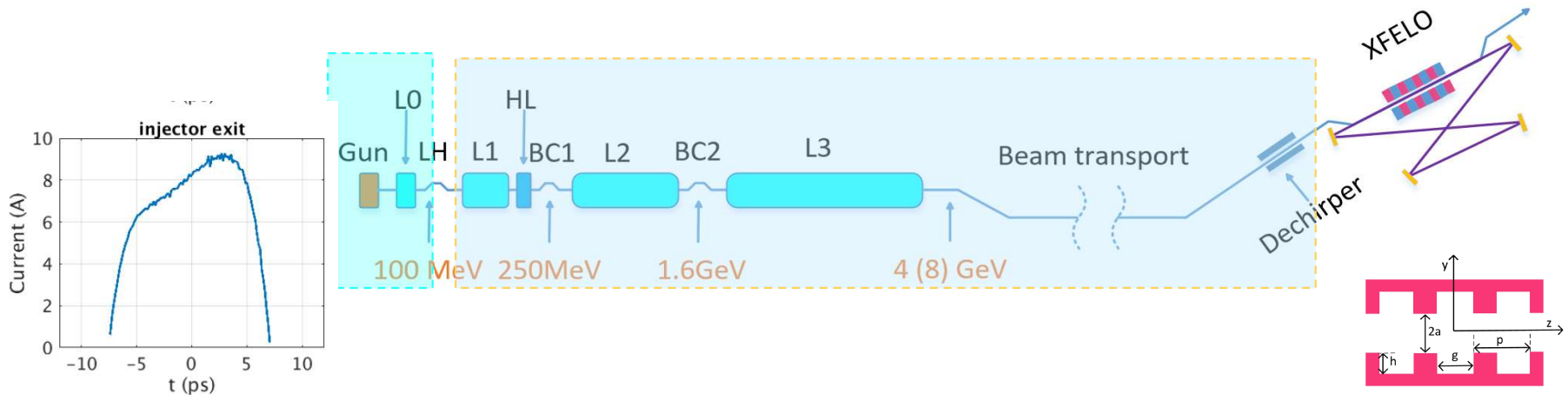


LINAC-BASED XFELO

- Several high-energy CW SCRF linac could be available near future.
 - 8 GeV LCLS-II-HE (Hopefully)
 - 8 GeV SCLF
 - Euro-XFEL (17.5 GeV pulsed, or 7 GeV CW retrofit?)
- These are all for SASE but may have room for an XFELO.

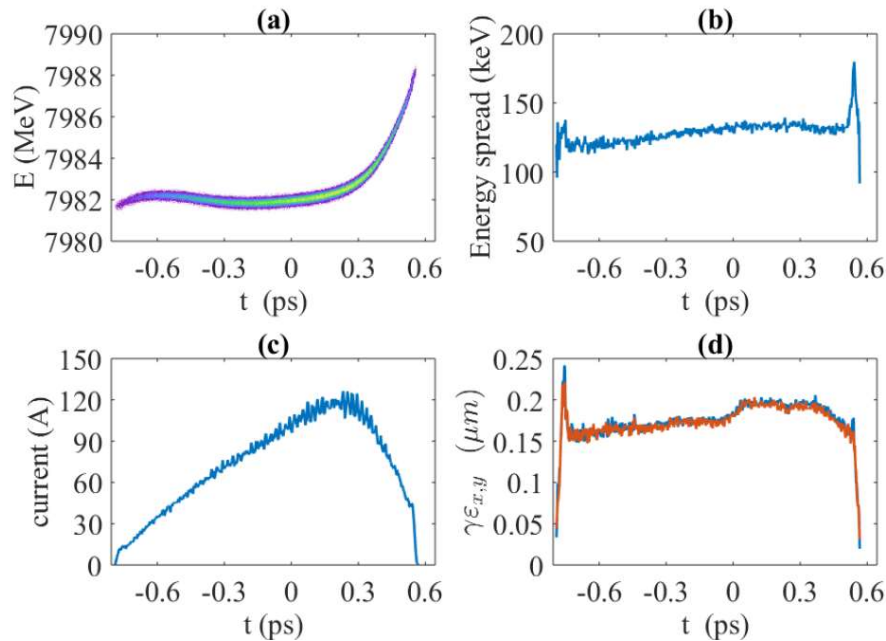


OPTIMIZATION OF INJECTOR-LINAC PARAMETERS



- The electrons' energy profile (as a function of t) should be flat (within incoherent spread)
- Shape the current profile \rightarrow linear slope in energy versus time \rightarrow a de-chirper to remove the slope (K. Bane and G. Stupakov)
- Obtain 600 fs of flat energy portion (W. Qin)

INJECTOR OPTIMIZATION : APEX-II & LCLS-II-HE (W. QIN)

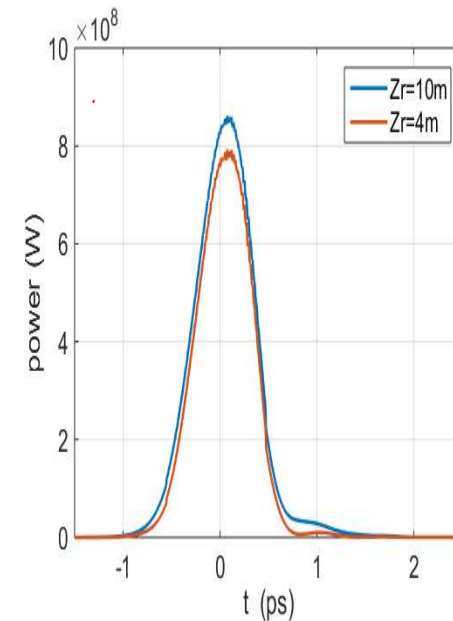
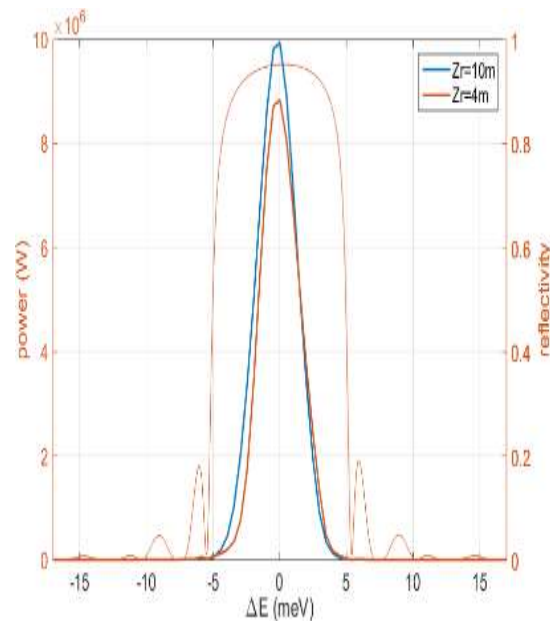
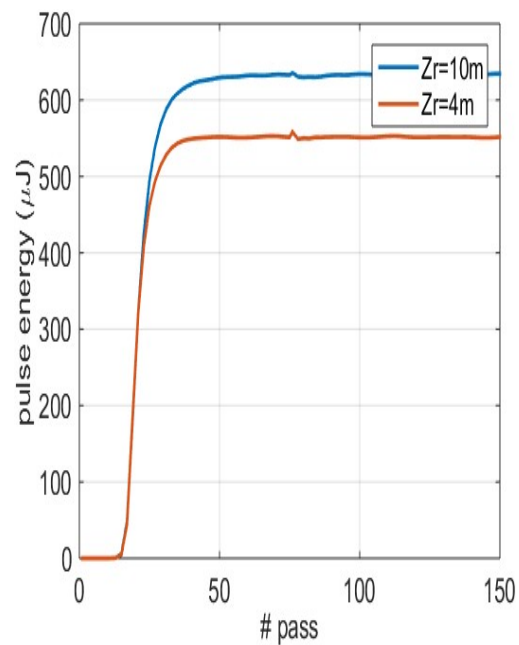


@ undulator entrance

- Over **600 fs** flat part, 120 A peak current
- Low slice emittance and slice energy spread
- **Projected energy spread 0.02%**

MODELING OF LINAC BASED XFELO

- Electron beam input from linac simulation code
- Use GINGER and add x-ray propagation and crystal reflection
- Transverse-temporal coupling is not included yet.



- For 14.4 keV $\lambda_U=2$ cm, $K=1.49 \rightarrow$ SC NbTi : $K_{\text{max}}=3.1 \rightarrow 5.2$ keV

ULTIMATE STORAGE RING BASED XFEL

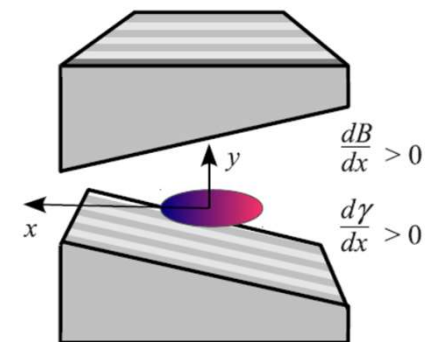
- USR can produce electron beams transversely matched to hard X-ray beams
- However the energy spread is more than one orders of magnitudes
- Todd Smith, et al (1979) proposed to spread the beam transversely by a dispersion and using a transverse gradient undulator so that K is a function of energy, which is cancelled by the variation in the γ factor in the resonance condition:

$$\lambda = \lambda_u \frac{1 + K^2(x_j)/2}{2\gamma_j^2} \equiv \lambda_u \frac{1 + K^2(x_j)/2}{2\gamma_0^2(1 + \eta_j)^2} \quad x_j = D\eta_j + x_{\beta_j}$$

$$\lambda = \lambda_u \frac{1 + K^2(D\eta_j + x_{\beta_j})/2}{2\gamma_0^2(1 + \eta_j)^2} \approx \lambda_u \frac{1 + K_0^2/2}{2\gamma_0^2} \left[1 + \frac{K_0^2 \alpha (D\eta_j + x_{\beta_j})}{1 + K_0^2/2} - 2\eta_j \right]$$

$$\alpha D = \frac{2 + K_0^2}{K_0^2} \quad \lambda = \lambda_1 \left(1 - 2 \frac{x_{\beta_j}}{D} \right)$$

- Thus $\sigma_\eta \rightarrow \frac{\sigma_x}{D}$
- TGU XFEL will work only if $D\sigma_\eta \gg \sigma_x$



TGU PERFORMANCE

- TGU performance for the case when electrons execute many betatron oscillation inside the undulator (N. Kroll, 1983)
 - In order for TGU reduce the acceptable energy spread by a factor R, the beam emittance should be reduced by the same factor R
 - Not realistic since $R \sim 10$
- When the betatron focusing is negligible, then another theory applies (R. Lindberg, et al.,)

- Similar to asymmetric beam but $\sigma_\eta \rightarrow \frac{\sigma_x}{D}$

$$\Sigma_x \equiv \sqrt{\sigma_x^2 + \sigma_{r_x}^2 + D^2 \sigma_\eta^2} \quad \Sigma_{\phi_x} \equiv \sqrt{\sigma_{p_x}^2 + \sigma_{\phi_x}^2}$$

$$\Sigma_y \equiv \sqrt{\sigma_y^2 + \sigma_{r_y}^2} \quad \Sigma_{\phi_y} \equiv \sqrt{\sigma_{p_y}^2 + \sigma_{\phi_y}^2}$$

THE GAIN EXPRESSION FOR TGU XFELO WITH ASYMMETRIC ELECTRON AND PHOTON BEAMS (R. LINDBERG, ET AL. FEL 2013)

$$G = -\frac{2\pi^2}{\gamma} \frac{I}{I_A} \frac{K_0^2 [\text{JJ}]^2}{1 + K_0^2/2} \frac{N_u^3 \lambda_1^2}{2\pi \Sigma_x \Sigma_y} \int_{-1/2}^{1/2} dz ds \exp \left\{ -\frac{2[2\pi N_u (z-s) \sigma_\eta \sigma_x]^2}{\sigma_x^2 + D^2 \sigma_\eta^2} \right\}$$

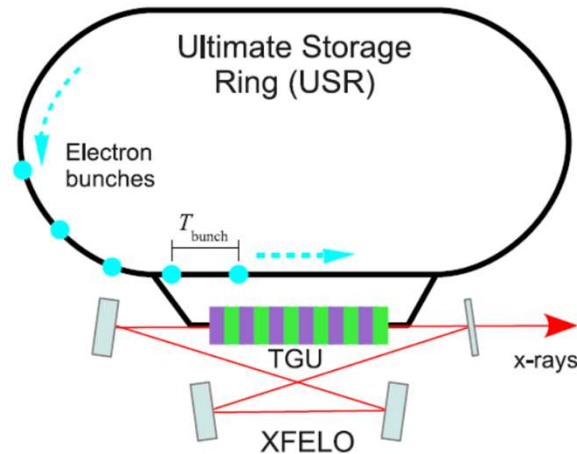
$$\times \frac{(z-s) \exp[2\pi i N_u \Delta\nu (z-s)]}{i \sqrt{\mathcal{D}_x \mathcal{D}_y}} \exp \left\{ -\frac{[2\pi N_u L_u (z^2 - s^2) D \sigma_{px} \sigma_\eta]^2}{2(\sigma_x^2 + D^2 \sigma_\eta^2)^2} \right\}$$

$$\mathcal{D}_{x,y} \equiv 1 + zs \frac{L_u^2 \Sigma_{\phi_{x,y}}^2}{\Sigma_{x,y}^2} - i \left[(z-s) k_1 L_u \Sigma_{\phi_{x,y}}^2 + \frac{(z-s) L_u}{4k_1 \Sigma_{x,y}^2} \right]$$

$$\Sigma_x \equiv \sqrt{\sigma_x^2 + \sigma_{r_x}^2 + D^2 \sigma_\eta^2} \quad \Sigma_{\phi_x} \equiv \sqrt{\sigma_{p_x}^2 + \sigma_{\phi_x}^2}$$

$$\Sigma_y \equiv \sqrt{\sigma_y^2 + \sigma_{r_y}^2} \quad \Sigma_{\phi_y} \equiv \sqrt{\sigma_{p_y}^2 + \sigma_{\phi_y}^2}$$

TGU-ENABLED, USR-BASED XFELO (PEP-X)



Parameter	Description	Value
C_{ring}	circumference	2234.21 m
$\gamma_0 m c^2$	beam energy	6.0 GeV
$\epsilon_{x,y}$	x,y emittances	5.2, 5.2 pm-rad
σ_η	energy spread	1.39×10^{-3}
σ_z	bunch length	0.60 mm
$\tau_{x,y,z}$	damping times	13, 15, 9 ms

- Fill 1117 buckets (every 10th bucket, 6.4 ns spaced),
- TGU gain ~ 40%
- Every 93rd bunch kicked into FEL (0.65 μ s)
- All bunches are used after 0.69 ms
- Cool for 3 damping time (3 τ_y =45 ms)
→ ~ 1% duty factor
- ~10⁹ photons/pulse, but BW 0.7 meV !!
→ $B_{ave} \sim 10^{26}$

e-Beam		Undulator	
I	20 A	N_u	2500
σ_z/c	2 ps	λ_u	1.63 cm
$\gamma_0 m c^2$	6 GeV	L_u	40.75 m
σ_η	0.14 %	K_0	1.0
$\epsilon_x = \epsilon_y$	5.2 pm	α	34 /m
D	8.8 cm	ave gap	7 mm
β_y^*	7.3 m		
Radiation		FEL output	
λ_1	0.886 Å	$P (G = 0.2)$	19 MW
Z_{R_x}	105 m	Est. $\Delta\omega/\omega_1$	$< 10^{-7}$
Z_{R_y}	7.3 m	Est. P_{out}	~ 1 MW
linear G	0.44	Est. $N_{ph out}$	~ 10 ⁹

PRELIMINARY BUT CORRECTED BEAM PARAMETERS (M. BORLAND)

- M. Borland: PEP-X lattice with damping wiggler: With 1.5 GHz and $V=80\text{MV}$ for the rf, lattice, at 4.5 GeV, emittance is $5\text{pm} \times 5\text{pm}$ at 4.5 GeV. At 6 GeV, the emittance goes to $10\text{pm} \times 10\text{pm}$. The zero-current rms bunch length of 0.82 mm becomes 0.97 mm rms at 100 pC/bunch. $I=16\text{ A}$ (Haissinski with 0.5 Ohm broad band impedance.)
- Emittance (1, 19) pm \rightarrow (1.2, 23) due to IBS, $I=16\text{ A}$.
- $(\beta_x, \beta_y) = (50, 7.5)\text{ m}$
- Hybrid undulator with 5 mm gap.
- The gain is 36%--OK.
- Note x-direction is the dispersing direction. The undulator is vertical
- **Can we operate PETRA V in a similar parameter regime but with a less extreme RF?**
- **The energy profile of the storage ring bunch is Gaussian and independent of $z \rightarrow$ much nicer than in linac.**
- **The bunch length is longer \rightarrow narrower bandwidth**

CONCLUSIONS

- An XFEL is feasible from beam dynamics and X-ray optics
- Several projects for construction of ~8 GeV SCRF linac exist
 - LCLS-II-HE, SCLF, EuroXFEL,..
- Linac-based XFEL with an optimized injector will produce fully coherent x-rays with $B_{av} \sim 10^{28}$
- USR-based pulsed XFEL might be feasible with $B_{av} \sim 10^{26}$
- Scientific cases exist for narrow BW, coherent X-rays
 - An XFEL will drive the techniques already developed to a new level of capabilities (IXS, XPCS..)
 - Novel techniques can be developed for novel sciences
 - Quantum optics with nuclear states,..

An XFEL is a real laser!



孔子西遊於衛
顏淵問曰金
以夫子之行為笑如？
顏淵曰
惜乎，而夫子其窮哉！



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- **Peking U./SLAC (student): W. Qin**
- **DESY: J. Zemella**
- **Cornell U.: S. Stoupin**
- **TISNCM: V. Blank, S. Terentyev**
- **CAS: N. Medvedev**