

**INVESTIGATION OF SLICE EMITTANCE
USING AN ENERGY-CHIRPED ELECTRON BEAM
IN A DISPERSIVE SECTION FOR PHOTO INJECTOR
CHARACTERIZATION AT PITZ**

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Thesis Disputation
22.05.2012

- **Motivation for slice emittance measurements**
- **Photo Injector Test facility in Zeuthen (PITZ)**
- **Transverse emittance and measurement techniques**
- **Slice emittance diagnostics with an energy-chirped beam in a dispersive section**
- **Slice emittance simulation and measurement results**
- **Summary and conclusion**

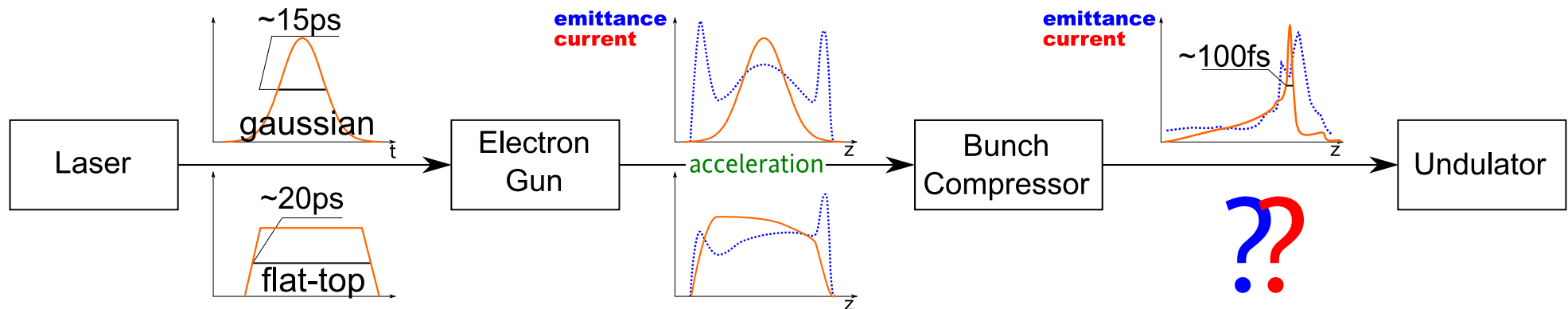
▪ Motivation for slice emittance measurements

Linac based X-ray FELs require high-brightness electron beams

Electron beam brightness $B \sim \frac{\text{Charge } Q}{\epsilon_{6D}}$ Charge density in phase space
 emittance - beam phase space volume

Longitudinally resolved (slice) transverse emittance diagnostics

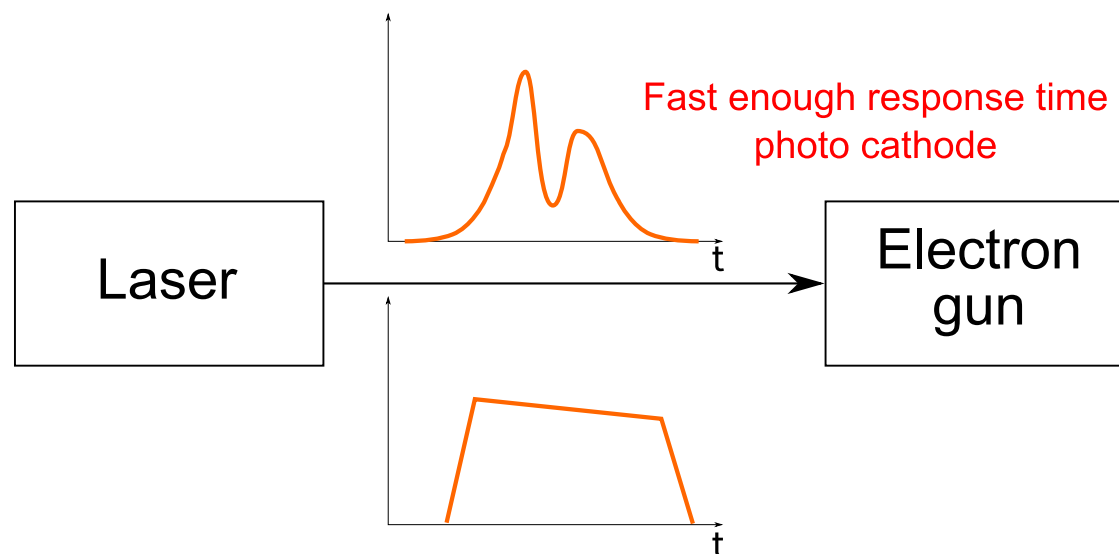
- Self Amplified Spontaneous Emission (SASE)



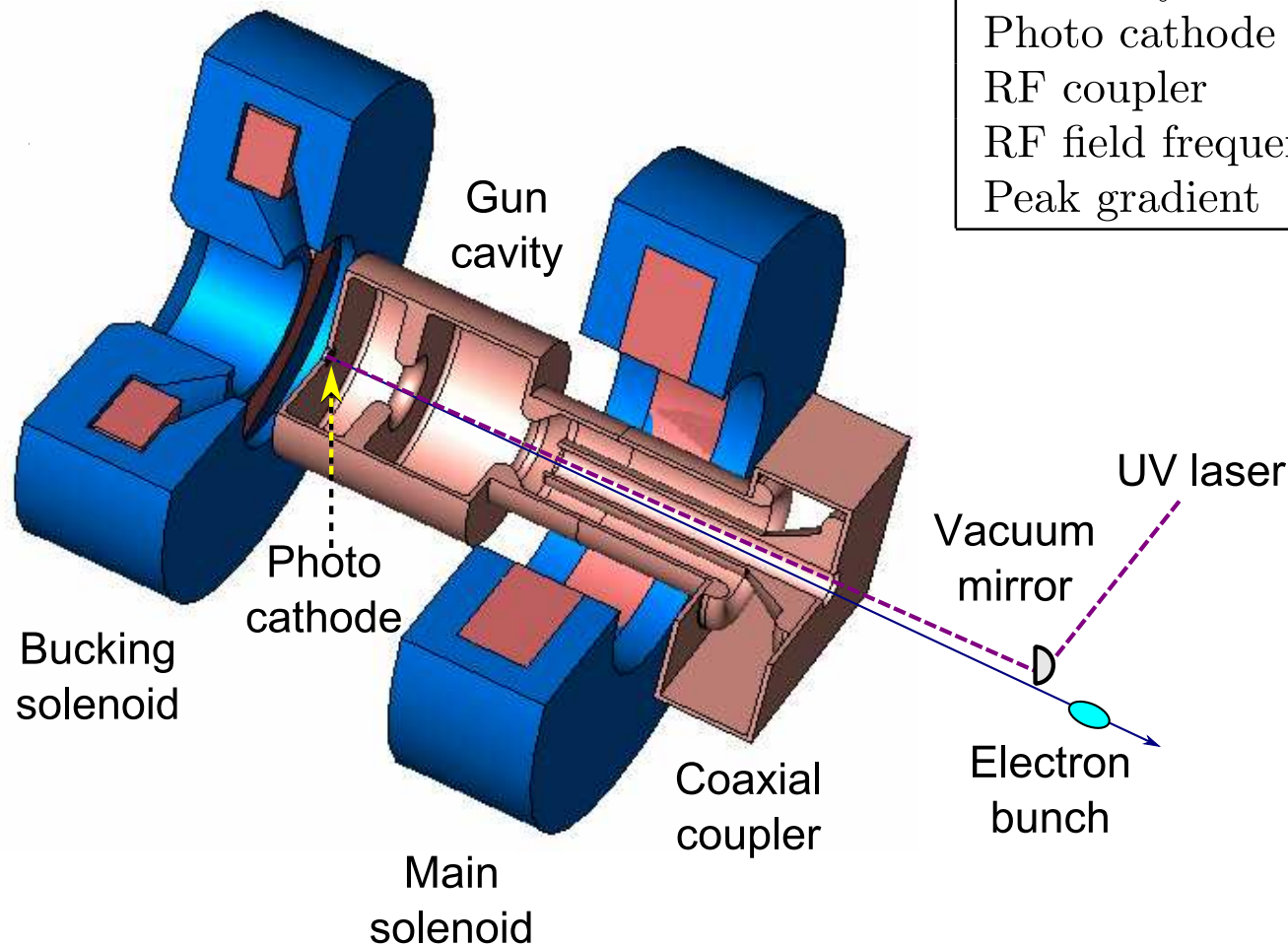
- **Photo injector is one of the best candidates for high-brightness electron beam generation**

- **Laser pulse properties -> electron bunches:**
flexible transverse and longitudinal shaping
bunch charge via laser intensity

to counteract emittance growth, e.g. due to space charge



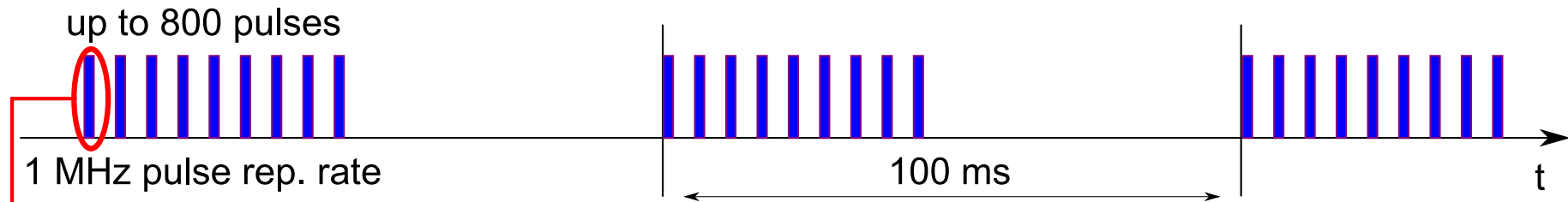
- **Characterization** of the high brightness electron beam injectors for FLASH, injector optimization towards the European XFEL requirements



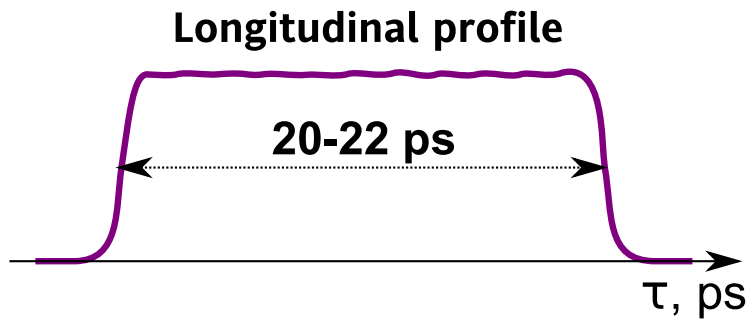
| | |
|------------------------|----------|
| RF cavity | 1.6 cell |
| Photo cathode material | Cs_2Te |
| RF coupler | coaxial |
| RF field frequency | 1.3 GHz |
| Peak gradient | 60 MV/m |

CATHODE LASER SYSTEM AND PULSE STRUCTURE

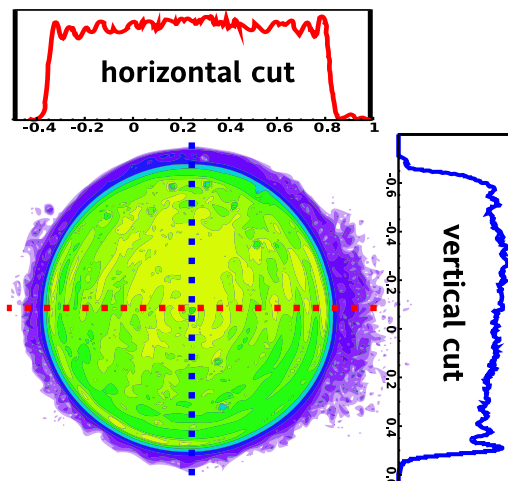
Time structure of macro-pulses



Individual laser pulse



Transverse profile



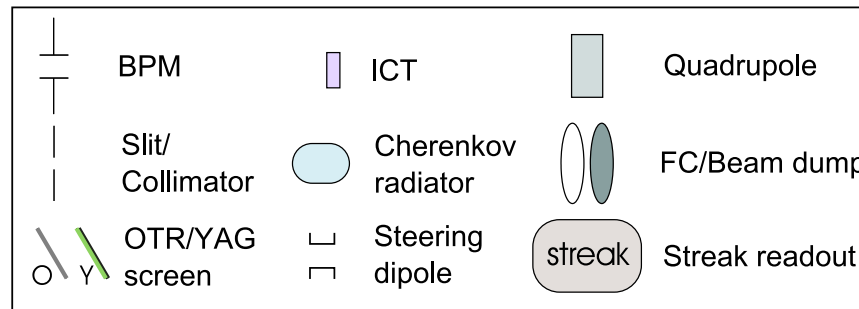
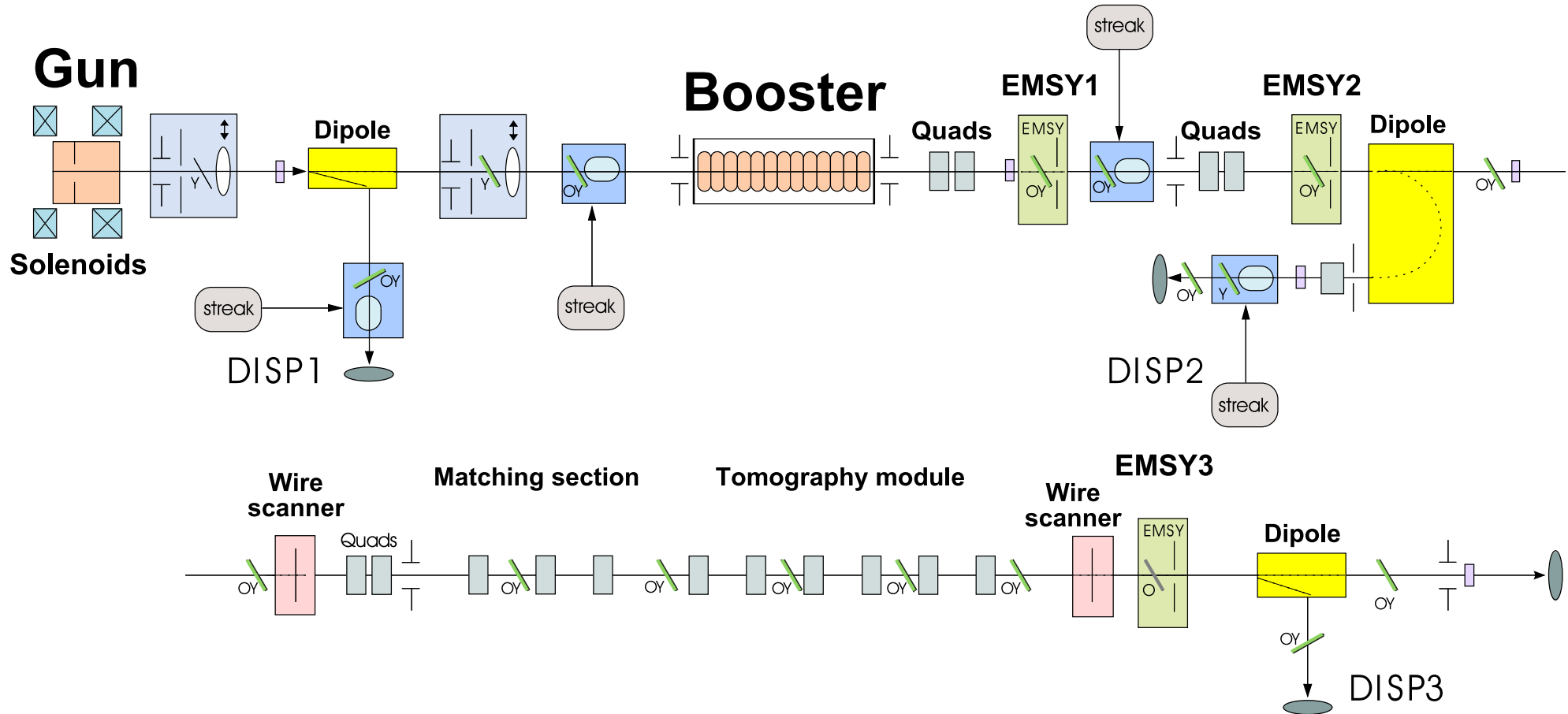
Nominal parameters

| | |
|---------------------------------|-----------------------|
| Wavelength | 257 nm |
| Temporal shape | flat-top |
| FWHM duration | 22 ps |
| rise and fall times | 2 ps |
| Transverse shape | flat-top |
| Transverse laser RMS spot size | 0.0-0.5 mm |
| Pulse repetition rate | 1 MHz |
| Max number of pulses in a train | 800 |
| Pulse energy | $\leq 10 \mu\text{J}$ |
| Train repetition rate | 10 Hz |

PITZ SETUP DIAGNOSTICS

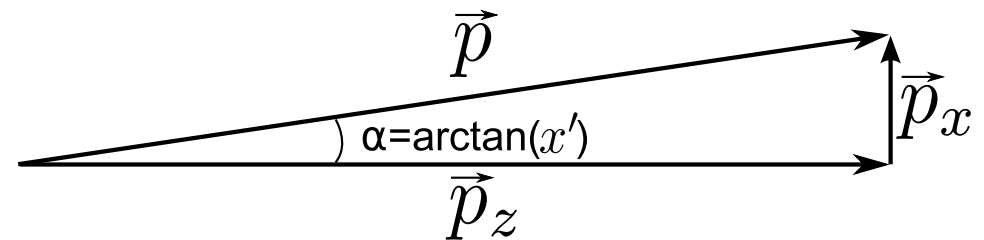
Low energy diagnostics
~ 7 MeV

High energy diagnostics
up to 25 MeV



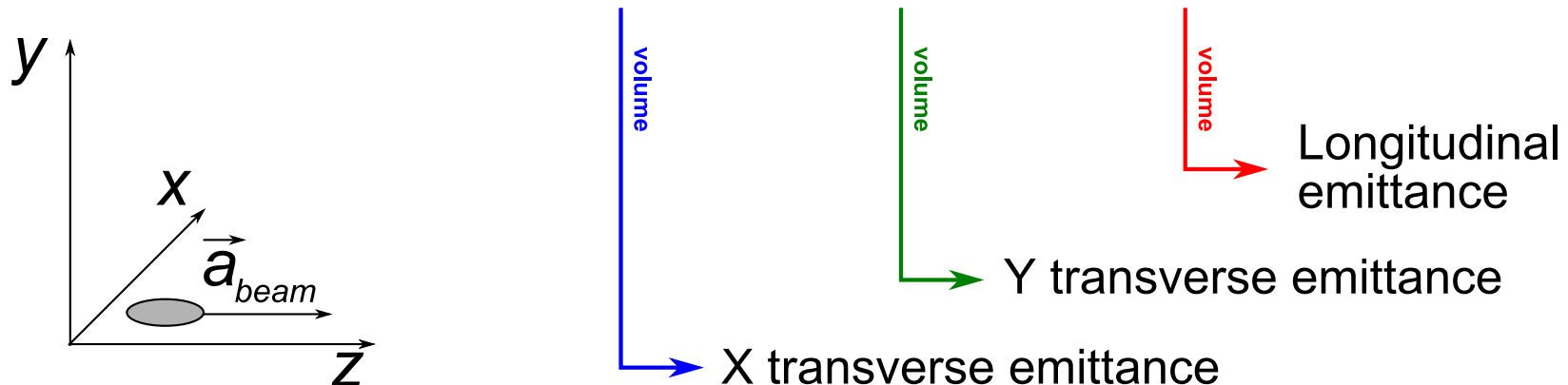
- 6D phase space beam distribution $f(x, y, z, p_x, p_y, p_z)$
- Emittance is the volume of a phase space distribution
 - If the momentum spread $\delta p \ll \bar{p}$, and $p_x \ll p_z$

$$p_x = x' \cdot p_z \approx x' \cdot \bar{p}$$



- If x-y, y-z, z-x correlations are excluded:

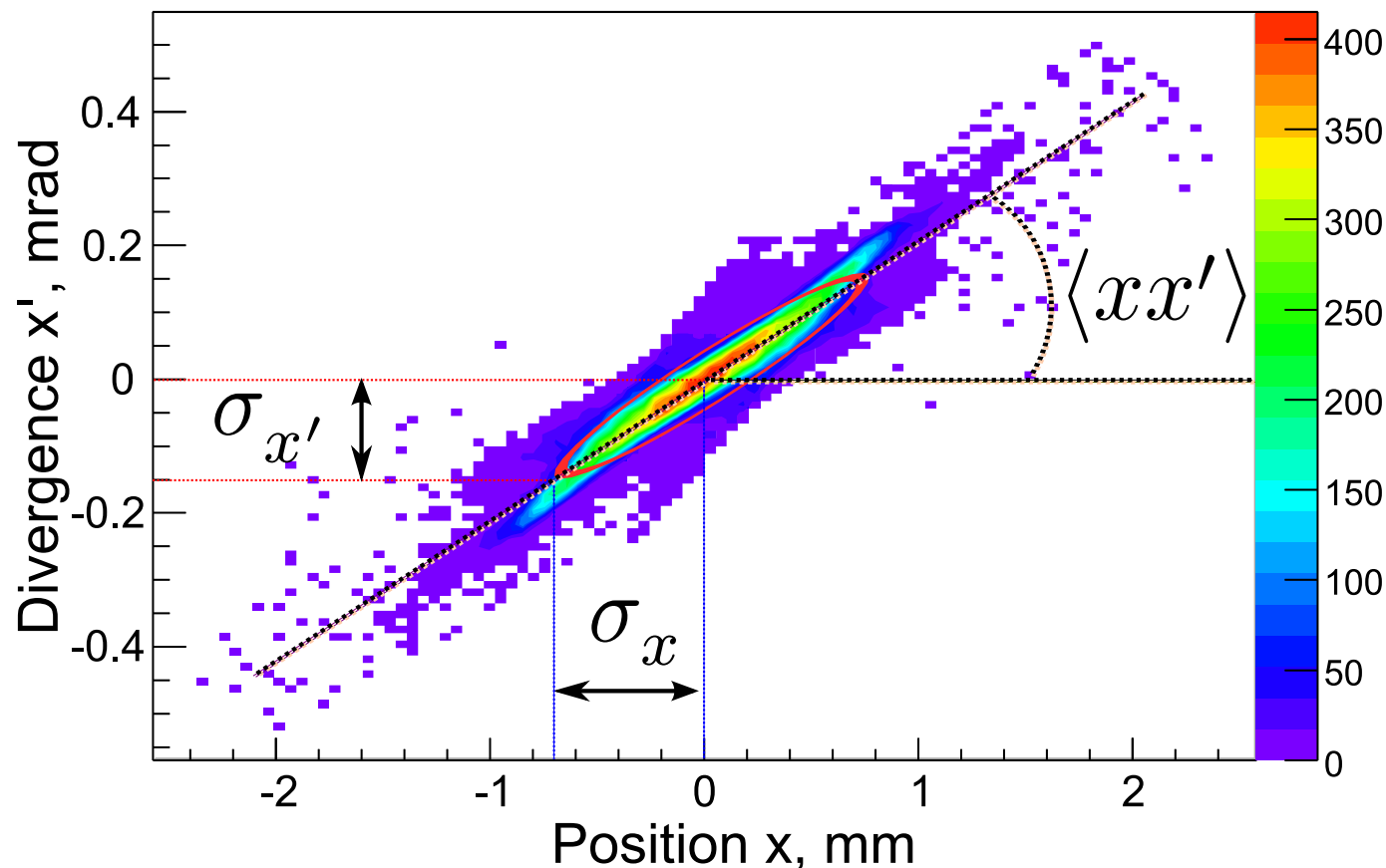
$$f(x, y, z, p_x, p_y, p_z) = X(x, \bar{p}x') \cdot Y(y, \bar{p}y') \cdot Z(z, p_z)$$



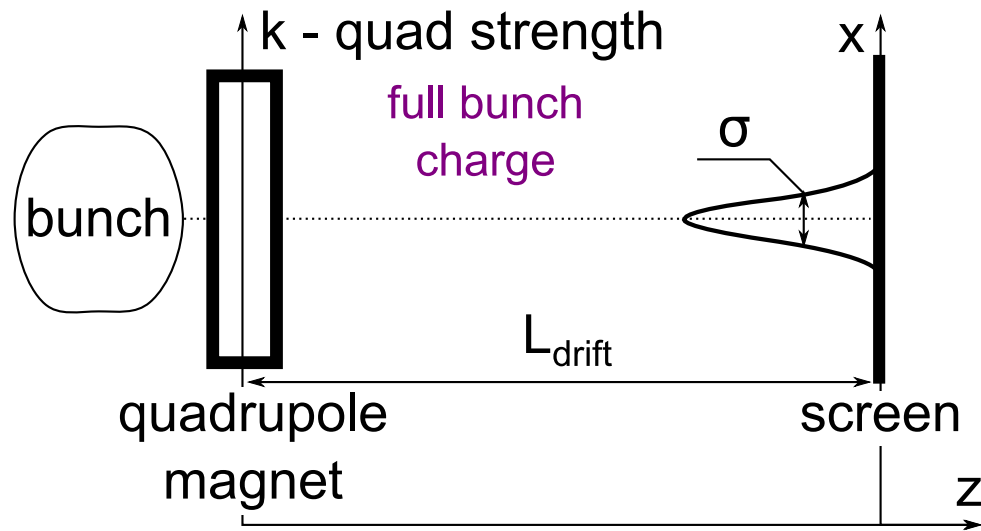
- Normalized RMS emittance:

$$\epsilon_x = \beta\gamma \sqrt{\sigma_x^2 \sigma_{x'}^2 - \langle xx' \rangle^2}$$

mean momentum
normalization



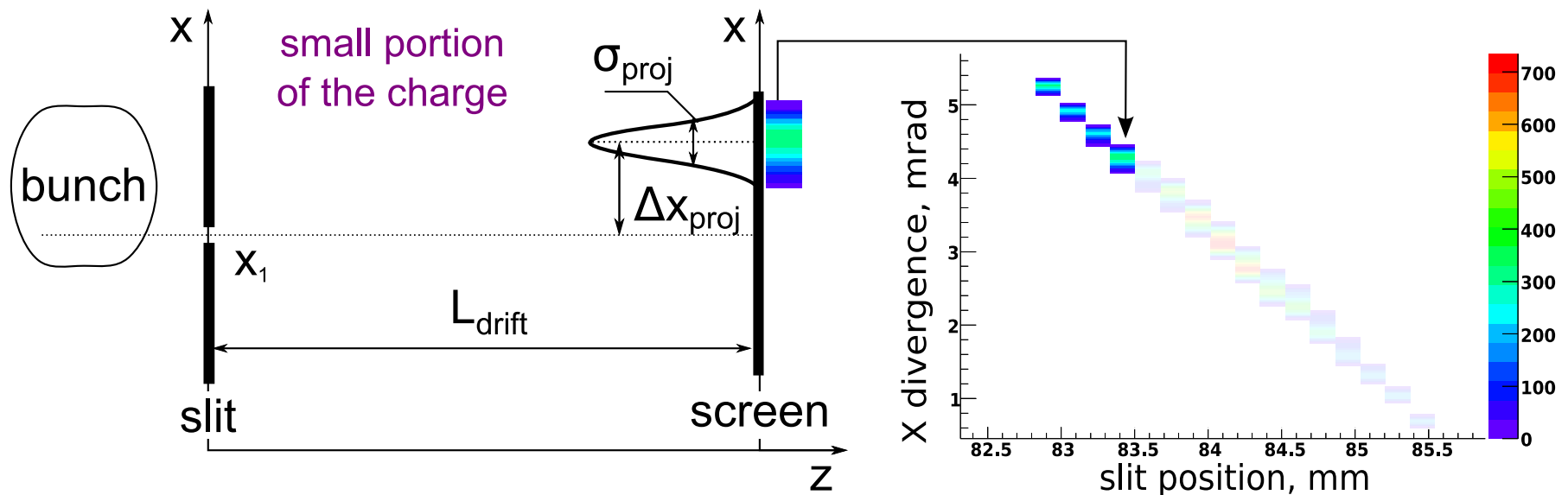
Quadrupole scan



Linear transport model

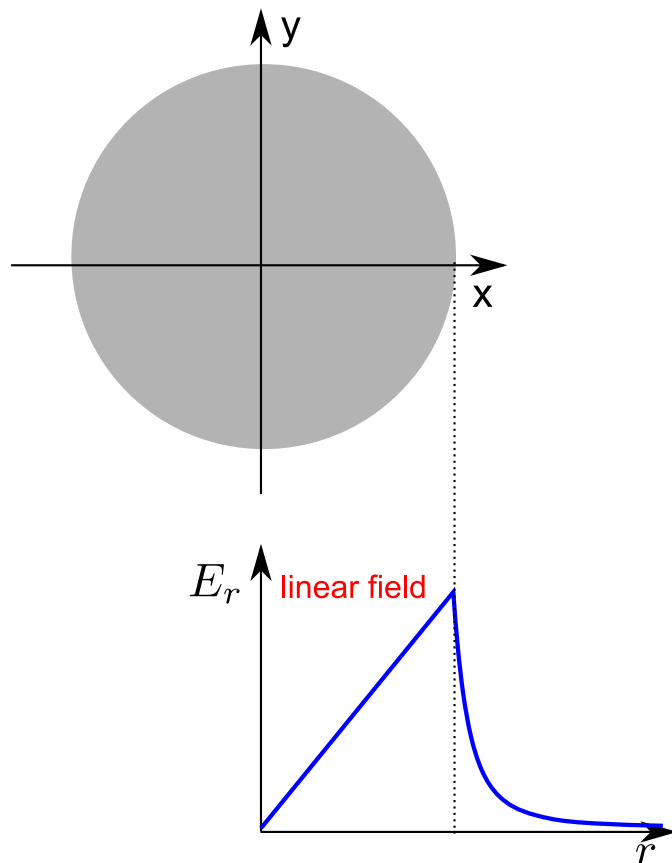
$$\begin{pmatrix} \sigma_{x1}^2 \\ \sigma_{x2}^2 \\ \sigma_{x3}^2 \end{pmatrix}_{scr} = \begin{pmatrix} M_{k1}^{11} & M_{k1}^{12} & M_{k1}^{13} \\ M_{k2}^{11} & M_{k2}^{12} & M_{k2}^{13} \\ M_{k3}^{11} & M_{k3}^{12} & M_{k3}^{13} \end{pmatrix} \begin{pmatrix} \sigma_x^2 \\ \langle xx' \rangle \\ \sigma_{x'}^2 \end{pmatrix}_{quad}$$

Slit scan



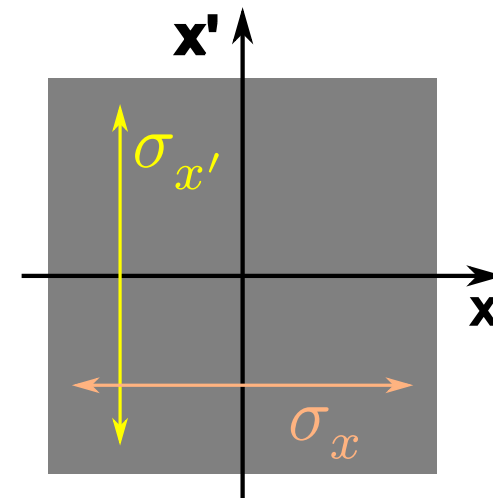
Thermal emittance - initial emittance at the cathode

- Laser transverse profile
- Laser wavelength and photo cathode material



σ_x

$\sigma_{x'}$



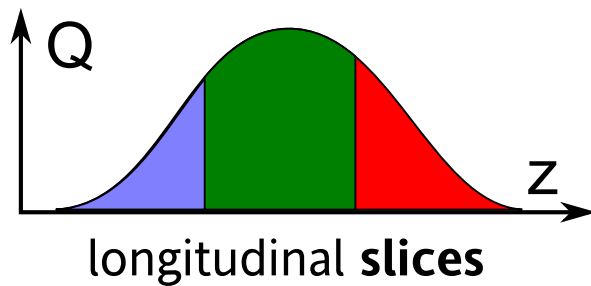
Isotropic particle emission

Homogeneous transverse distribution

Linear defocusing by space charge does not change emittance

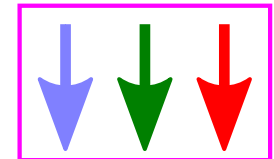
But different space charge strength along the bunch introduces correlations in x-z and y-z planes

Longitudinal gaussian profile



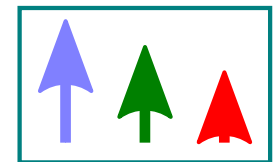
Transverse force

Solenoid

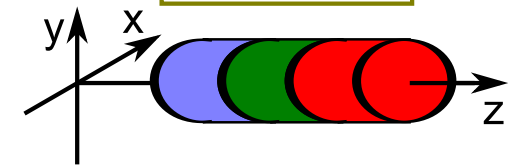
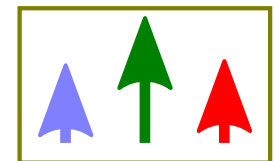


RF

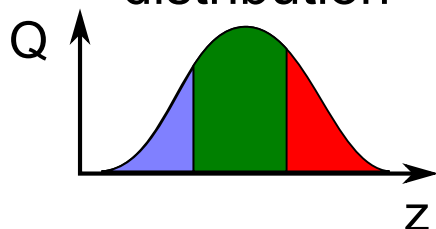
depends on the phase



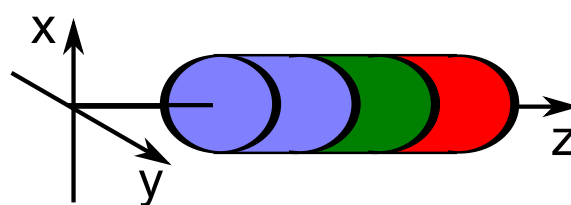
Space charge



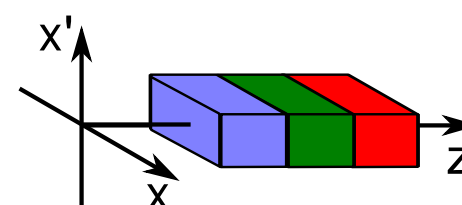
Long. intensity distribution



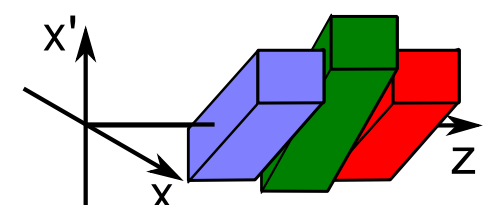
laser shape



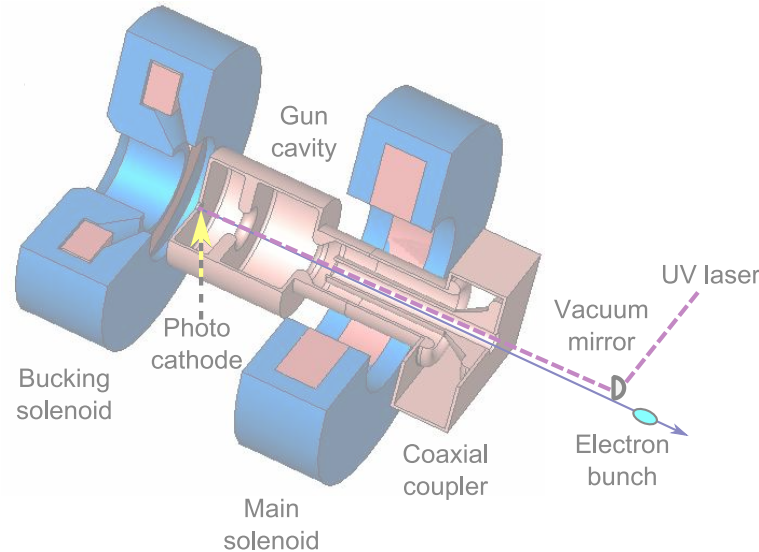
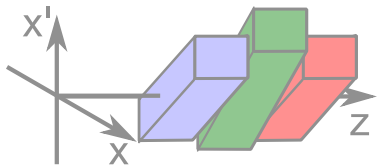
at the cathode



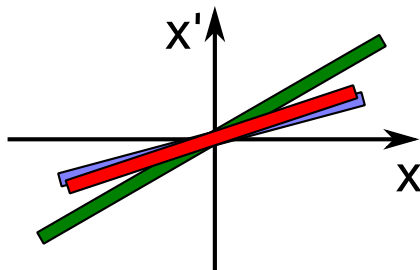
after a drift



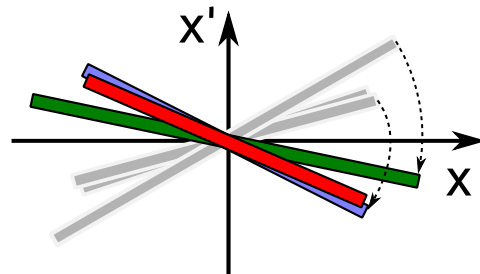
SOLENOID COMPENSATION OF EMITTANCE



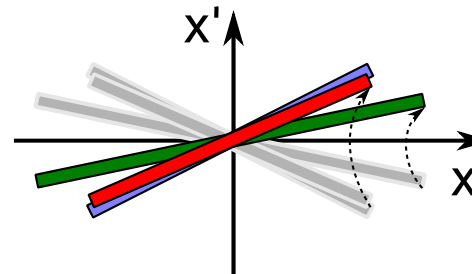
Mismatch due to space charge



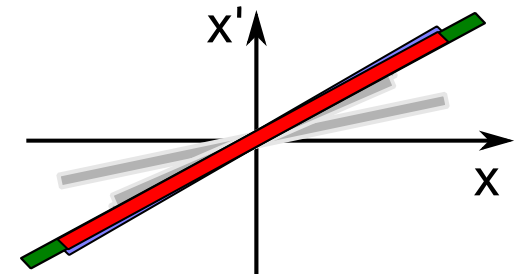
Solenoid focusing kick



Space charge dominated focus



Stronger space charge in the middle leads to rematching



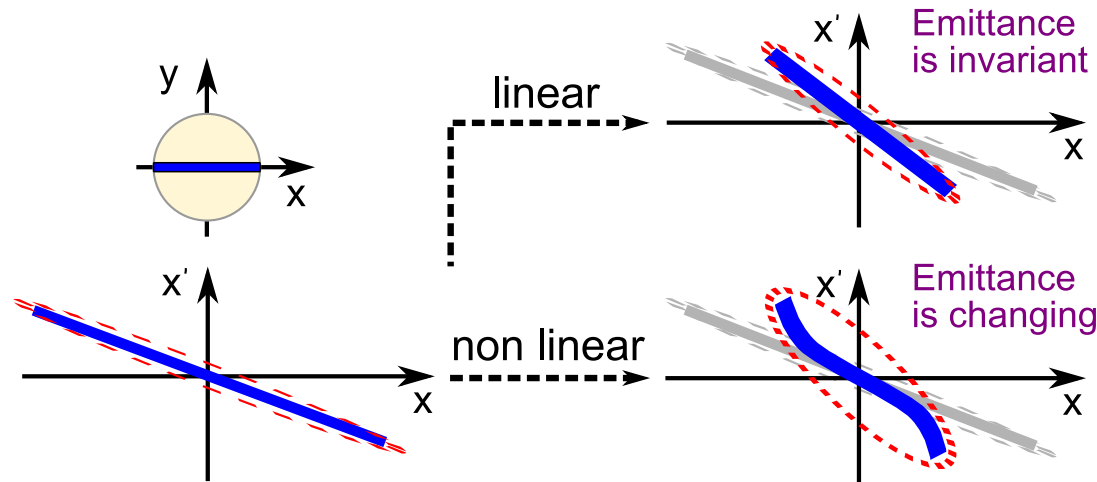
Goals of slice emittance measurements:

Individual emittance of slices

Match of slices in phase space

NON-LINEAR TRANSFORMATION IN PHASE SPACE

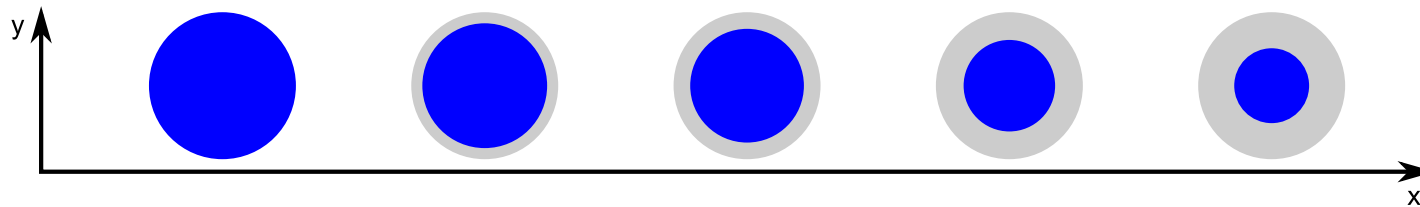
Slice phase space transformations



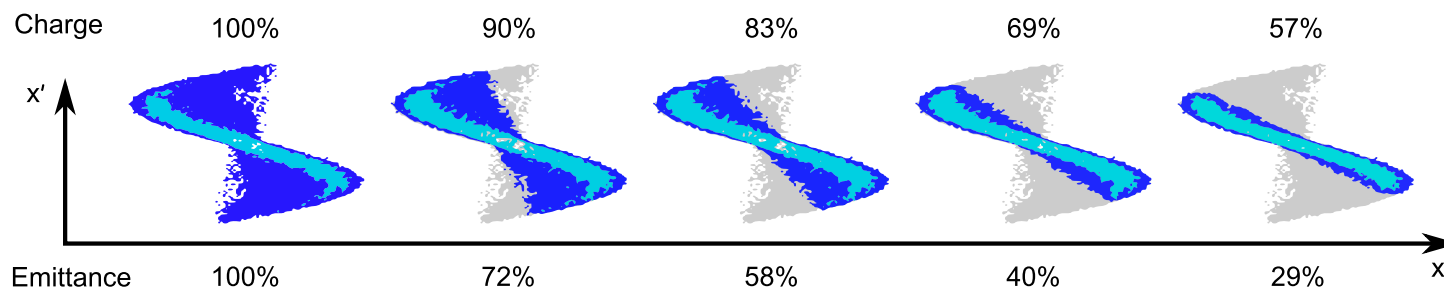
Local transverse RMS emittance can change due to non-linear effects

Simulation results

Transverse distribution at the cathode

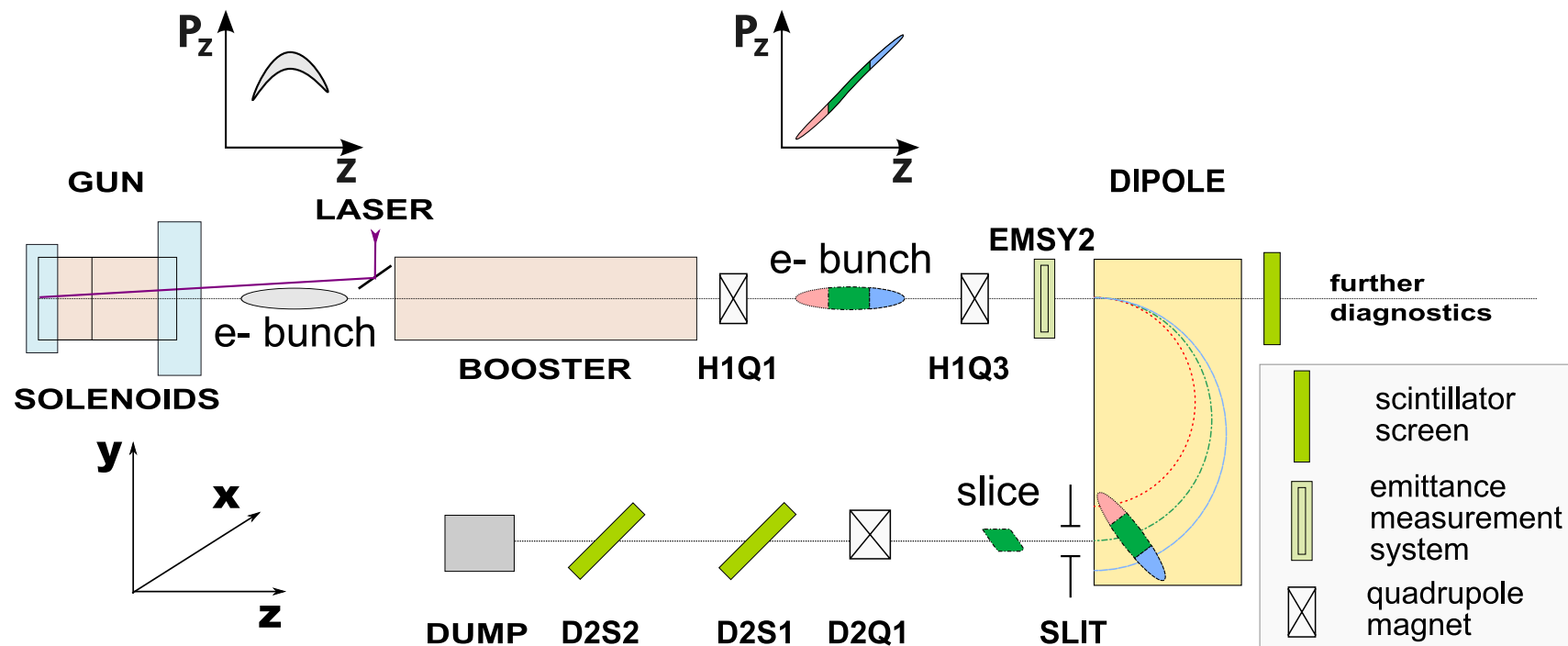


Corresponding phase space of a longitudinal slice at 5m downstream from the cathode

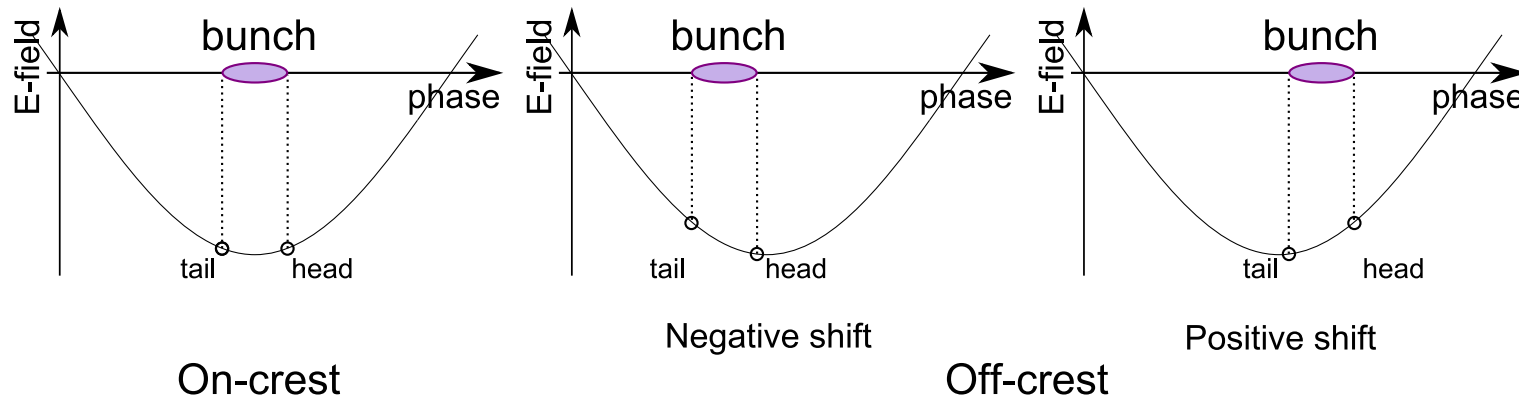


▪ Slice emittance measurement:

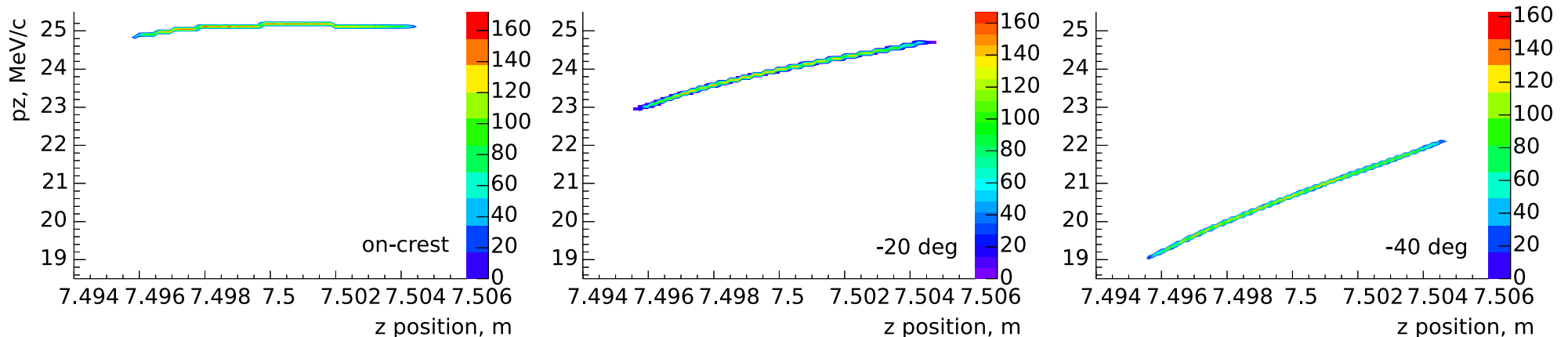
- A beam is first **accelerated off-crest** in the booster
- The beam longitudinal distribution is **rotated** into transverse one (vertical) **in a dipole**
- A part of the beam is **cut out** by a slit at the dipole exit and its **emittance is measured**



The phase choice for acceleration in the booster



Simulated longitudinal phase space distributions



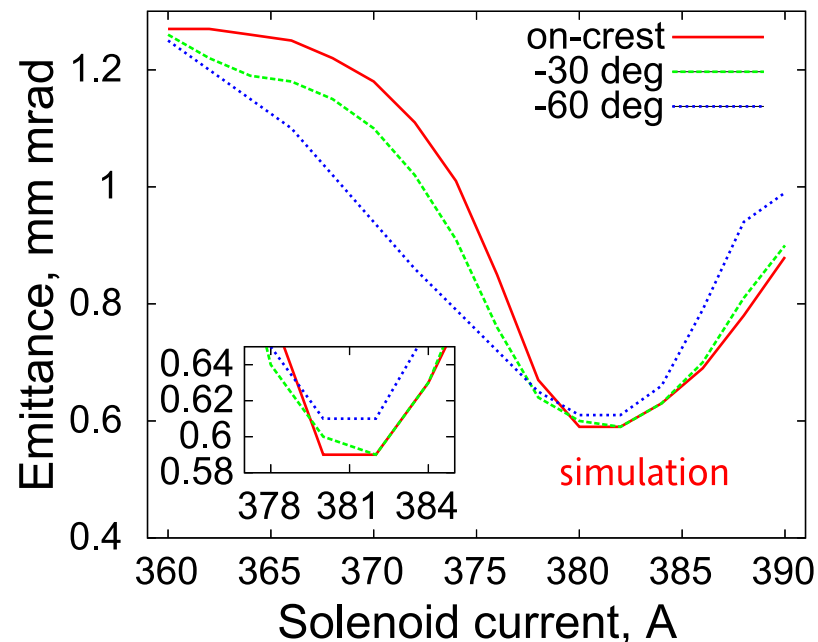
BEAM EMITTANCE AT OFF-CREST PHASES

Average slice emittance: $\varepsilon_{av} = \sum_{i=1}^n w_i \cdot \varepsilon_i$

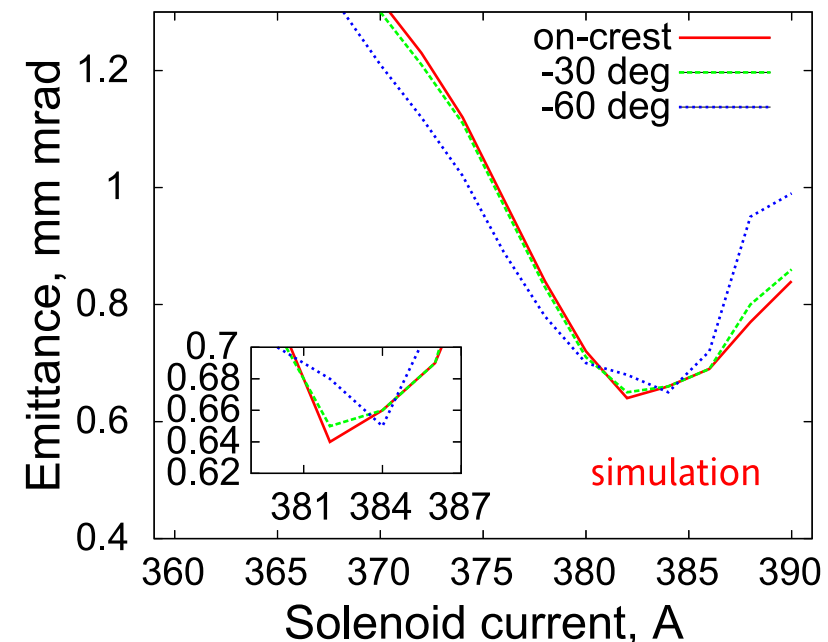
Peak current slice emittance: $\varepsilon_{pk} = \varepsilon_k$, where $I_k = \max(I_1, I_2, \dots, I_n)$

Slice emittance at the position of H1Q3 for different phases off-crest

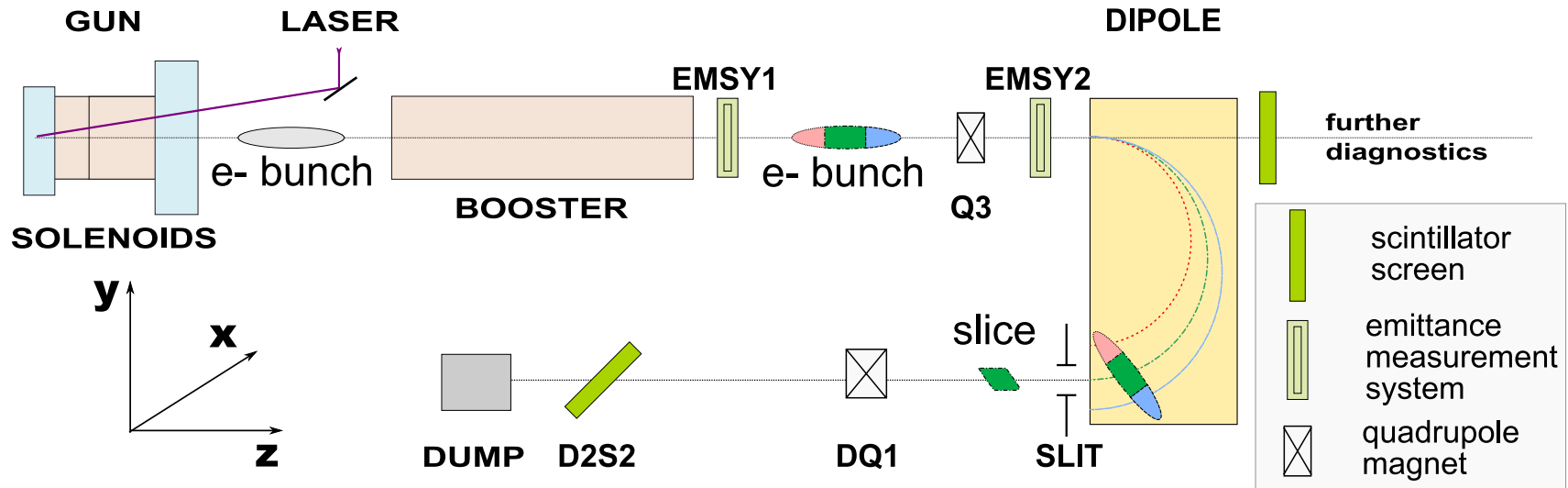
Average slice emittance



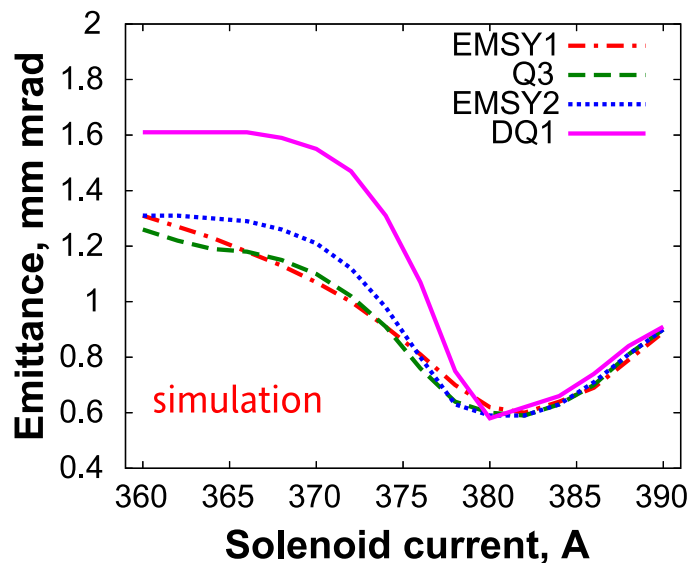
Peak current slice emittance



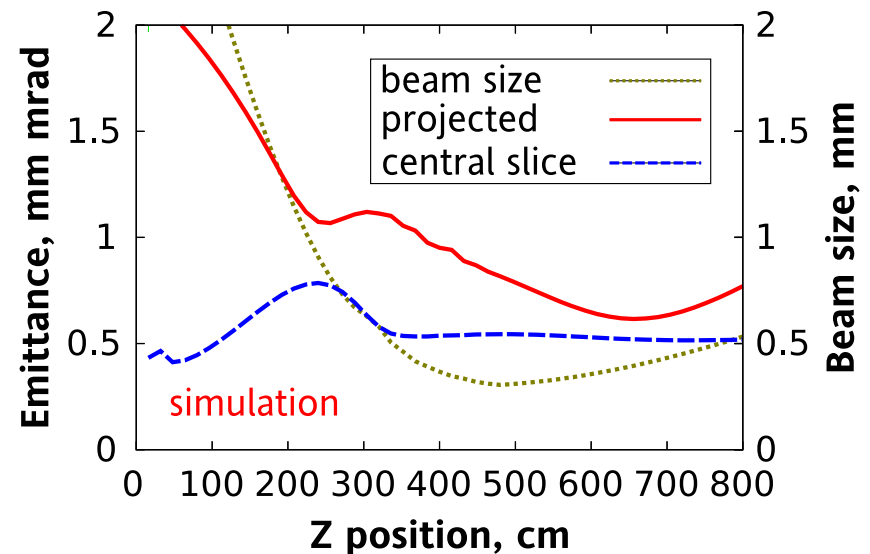
SCHEMES OF EMITTANCE MEASUREMENTS



Slice emittance -30 deg off-crest

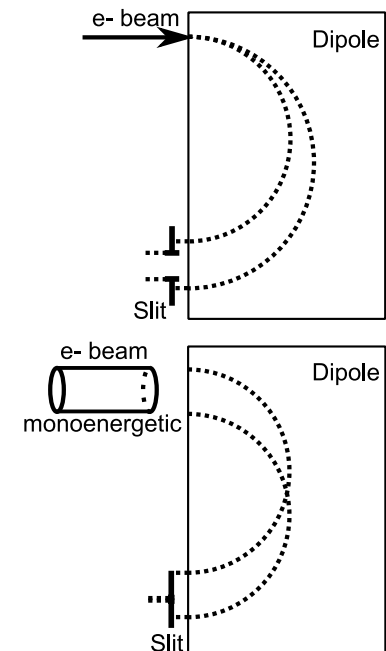


Beam size, projected and slice emittance along the setup



Main contributions to the resolution

- Correlated momentum spread (booster phase)
- The longitudinal phase space "thickness"
- The slit at the dipole exit
- The beam size at the dipole entrance



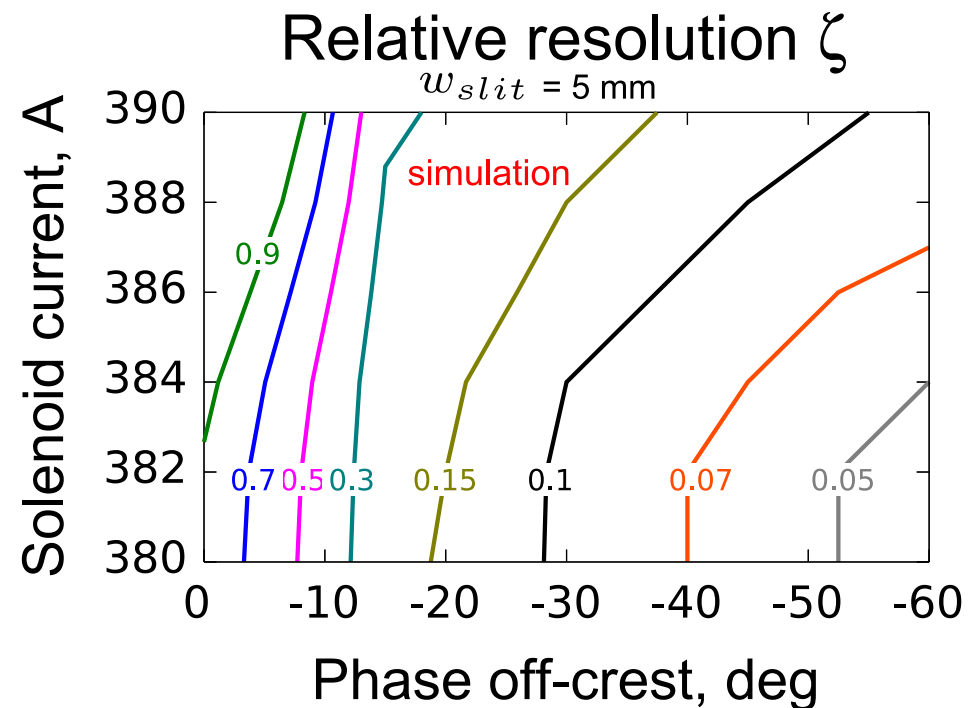
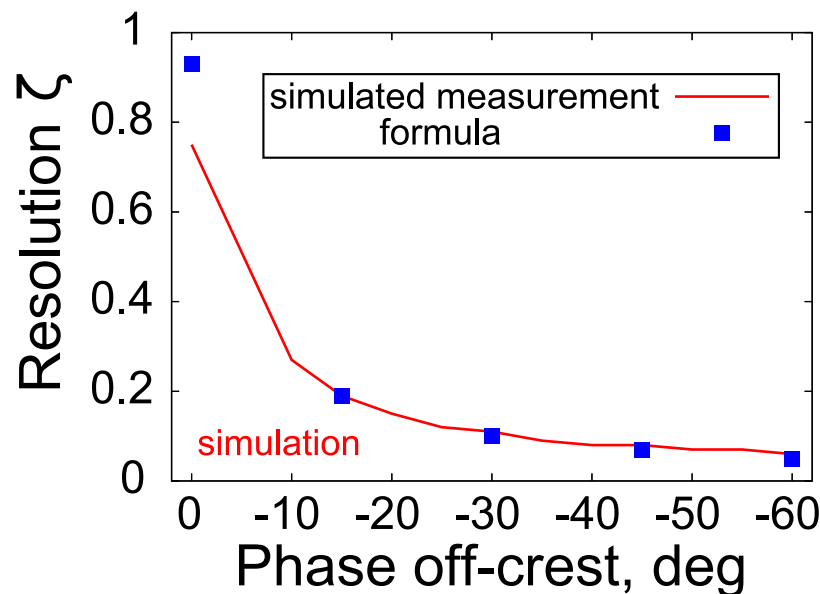
| | | | |
|--------------------------|-------------------------|-------------------------|-------------------------|
| transv. size | infinitely small | infinitely small | finite |
| slit opening | infinitely small | finite | infinitely small |
| mom. spread | finite | finite | finite |
| Longitudinal phase space | | | |

$$\zeta = \frac{\tau}{t_{RMS}} = \sqrt{\left(\frac{z_{locRMS}}{z_{RMS}}\right)^2 + \frac{(w_{slit}/(2\sqrt{3}))^2 + \sigma_y^2}{(2R\frac{p_{RMS}}{p})^2}}$$

**Time resolution
to bunch length
ratio**

long. ph. sp.
"thickness"
negligible for phases < -10 deg

slit width
mom. spread
beam size



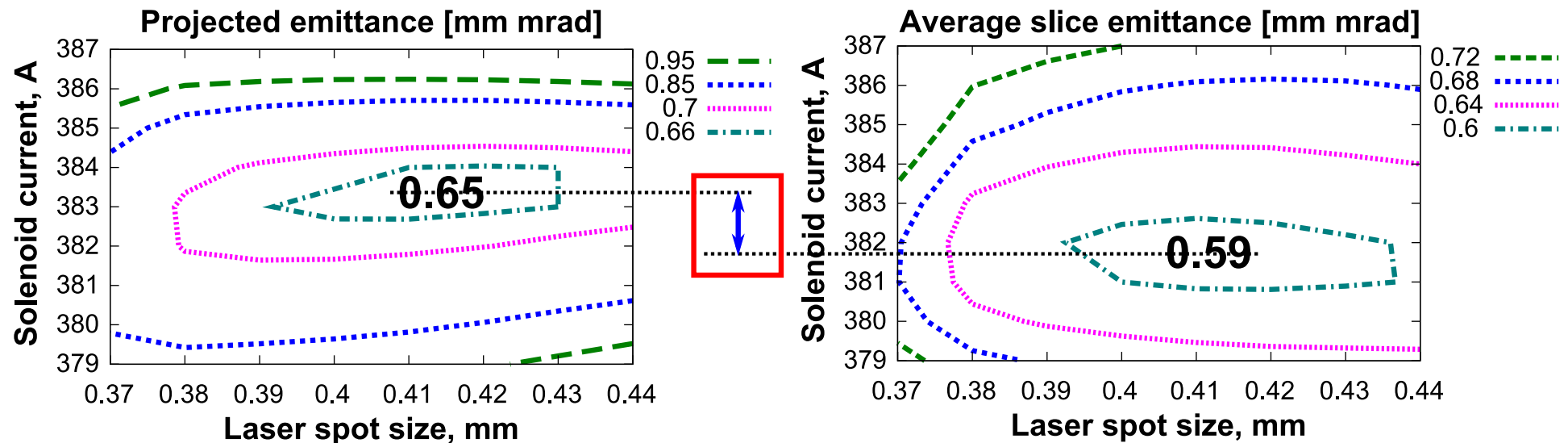
for the nominal settings the RMS resolution:
~2.0 ps with -30 degrees
~1.5 ps with -50 degrees

SLICE EMITTANCE MEASUREMENT PROGRAM

- Feasibility of measurements with different charges

0.11 nC, 0.23 nC, 0.5 nC and 1 nC

- Comparison to projected emittance results

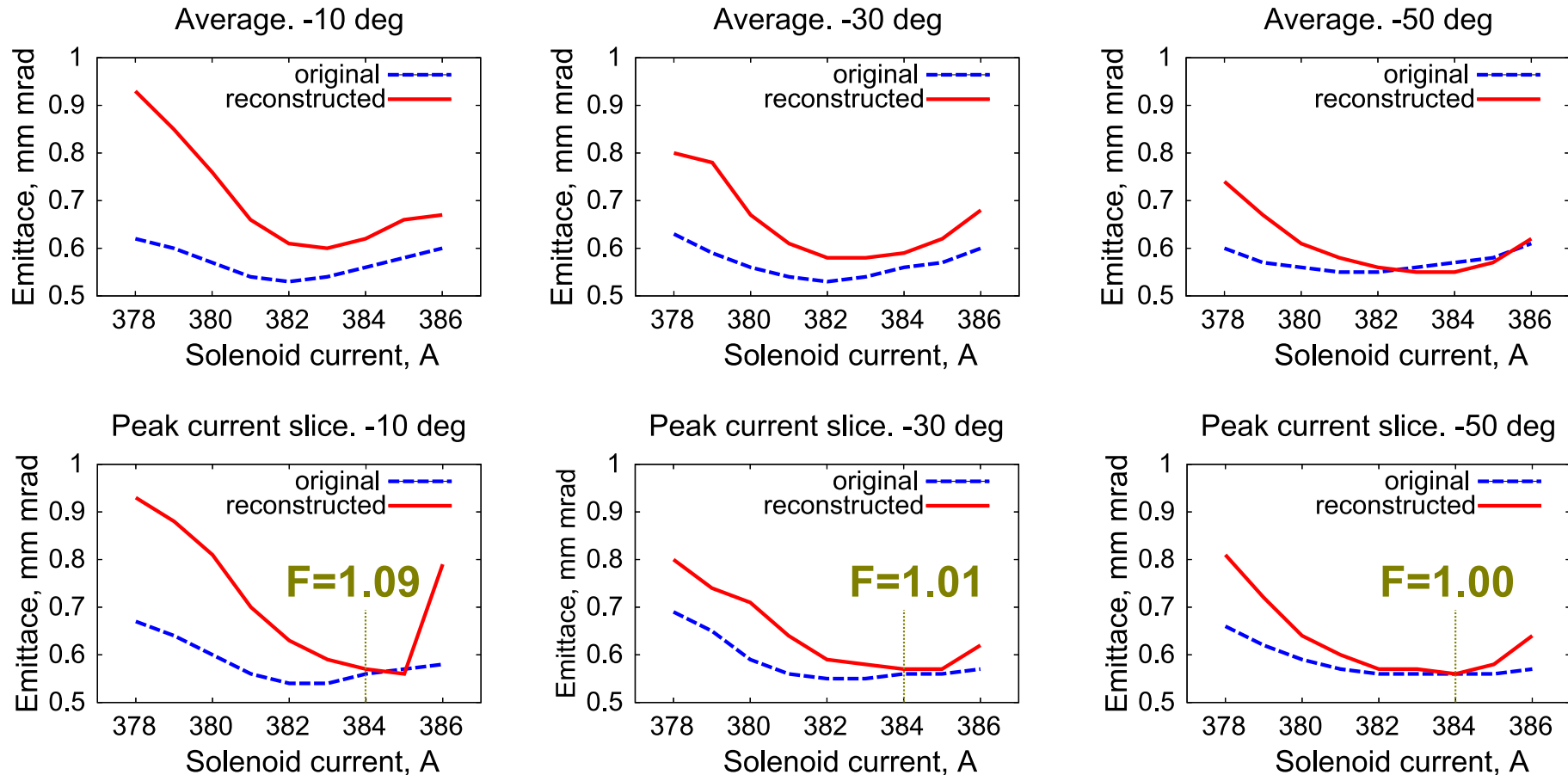


- Comparison quad VS slit scan

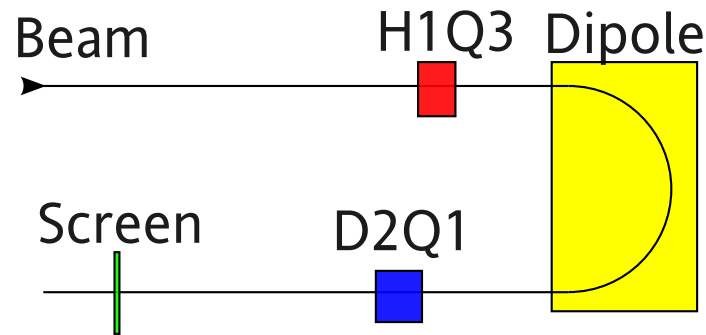
SIMULATION OF A QUAD SCAN MEASUREMENT

Original - slice emittance calculated at the quadrupole magnet position
Reconstructed - simulated measurement with space charge included

~384 A is a focus at the dipole entrance



Good matching confirms that the linear model for emittance reconstruction is sufficient

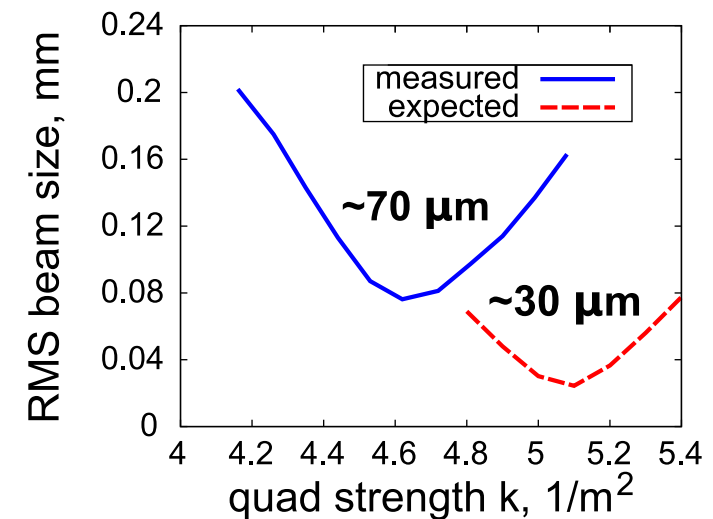
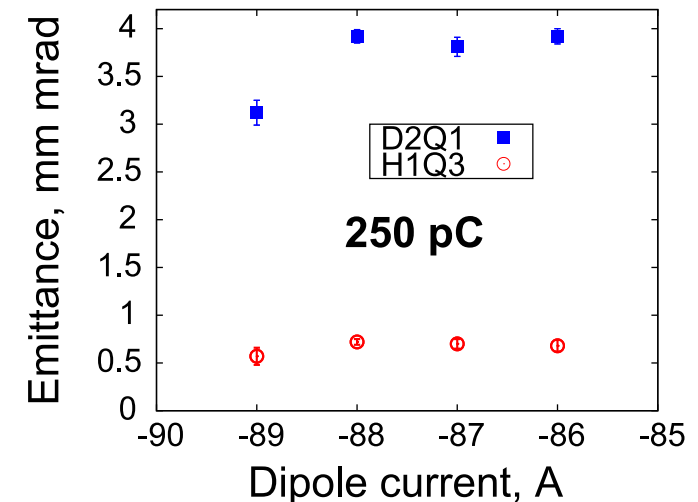


- Measurement results using both quadrupole magnets
- Results obtained with H1Q3 were used to evaluate the expected beam size in scans with D2Q1

poor optics alignment **down to 30 μm confirmed with a calibration grid**

space charge **reducing the bunch charge and changing the off-crest phase does not affect the minimum size**

screen **YAG. In general can be cross checked with the OTR screen, but the intensity is ~ 20 times lower compared to YAG**



Only H1Q3 was used for the measurements

110 pC - A QUAD SCAN MEASUREMENT

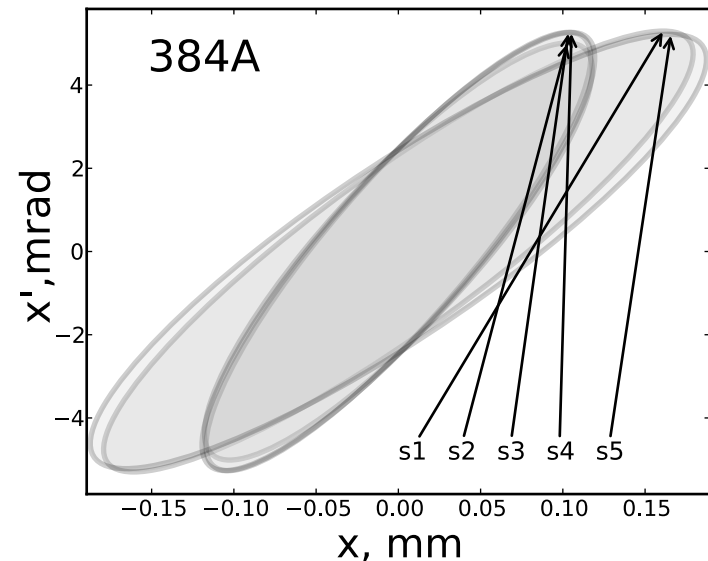
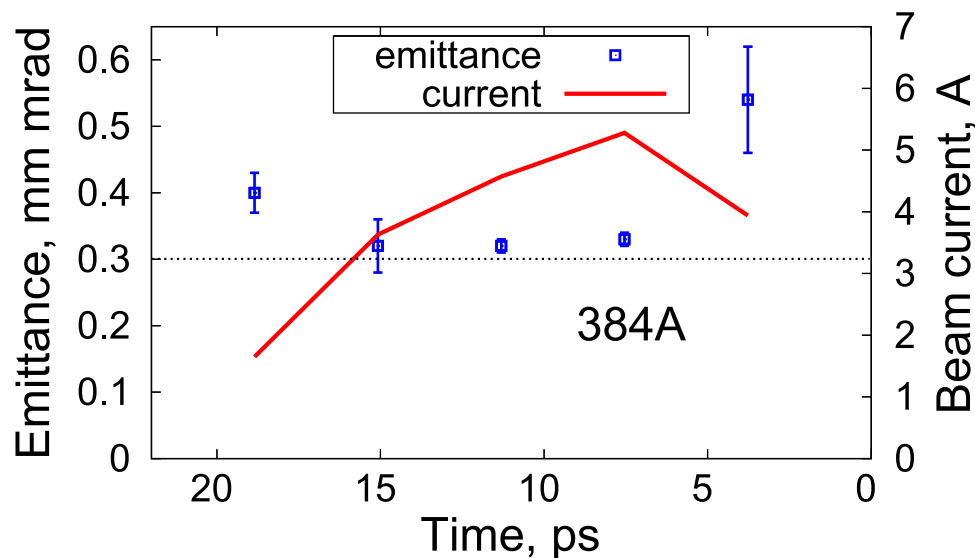
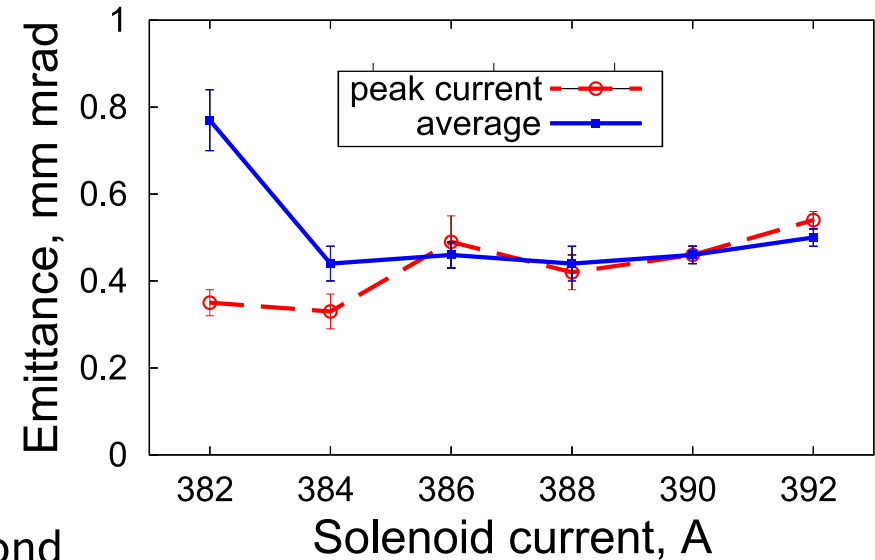
Setup:

Laser spot RMS 0.18 mm
-20 degrees off-crest

Results:

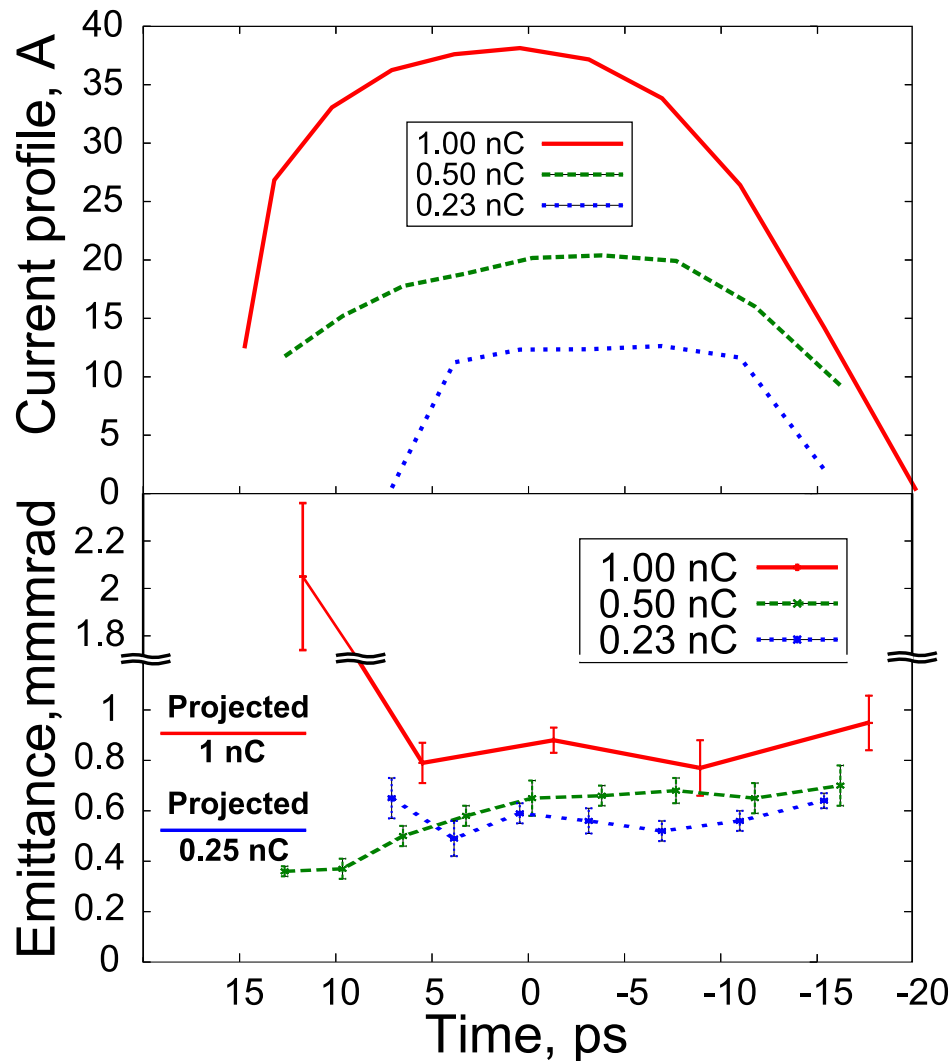
Peak current slice emittance at 384 A:
 0.33 ± 0.01 mm mrad

Minimum slice emittance does not correspond
to the best slice matching

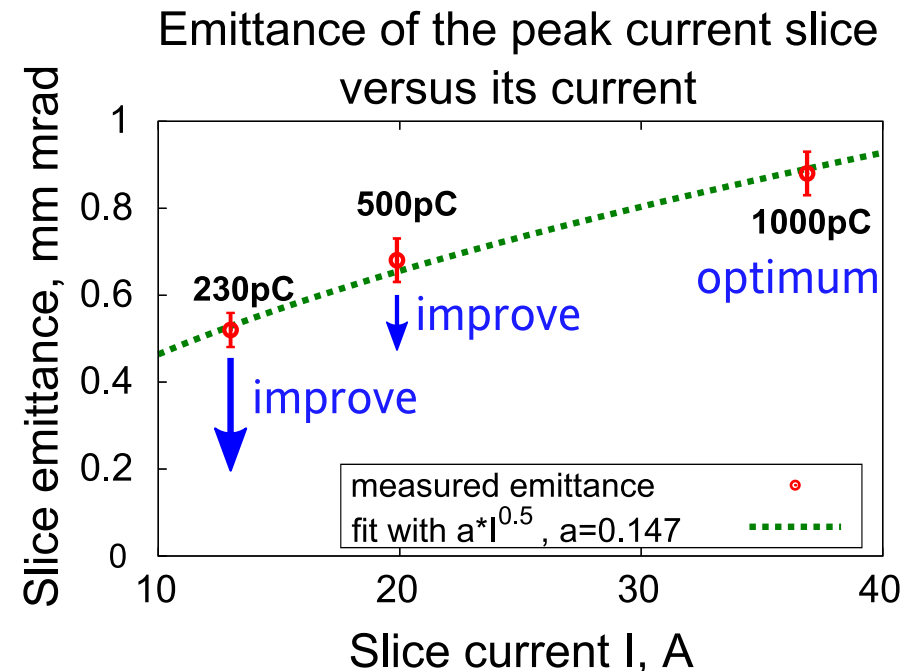


SUMMARY: DIFFERENT BUNCH CHARGES

- 0.23 nC, 0.5 nC and 1 nC were measured with the same setup.



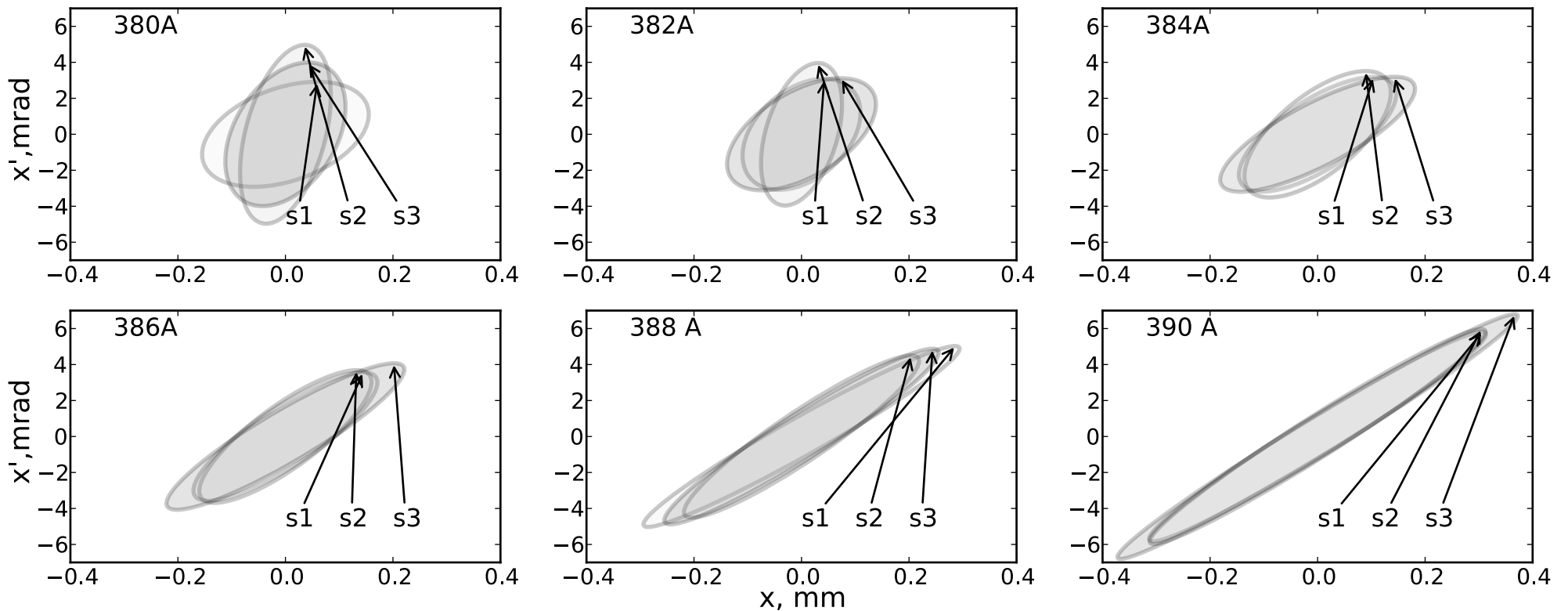
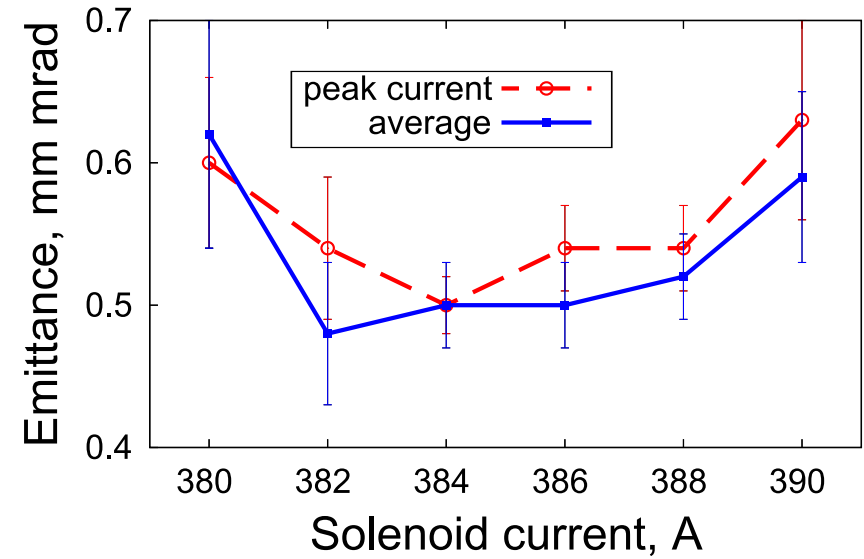
Same measurement conditions for all bunch charge values



SLICE EMITTANCE SOLENOID SCAN

Setup:

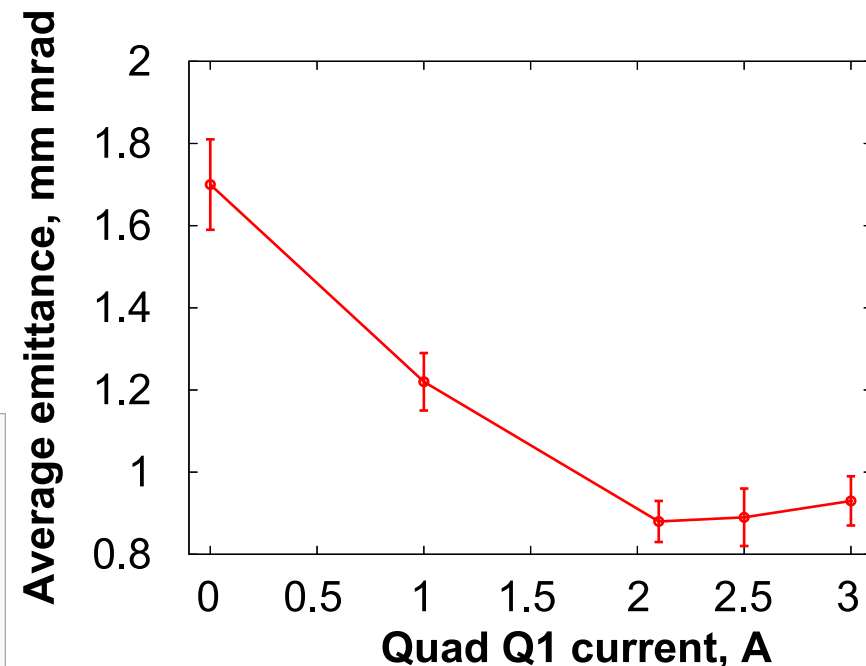
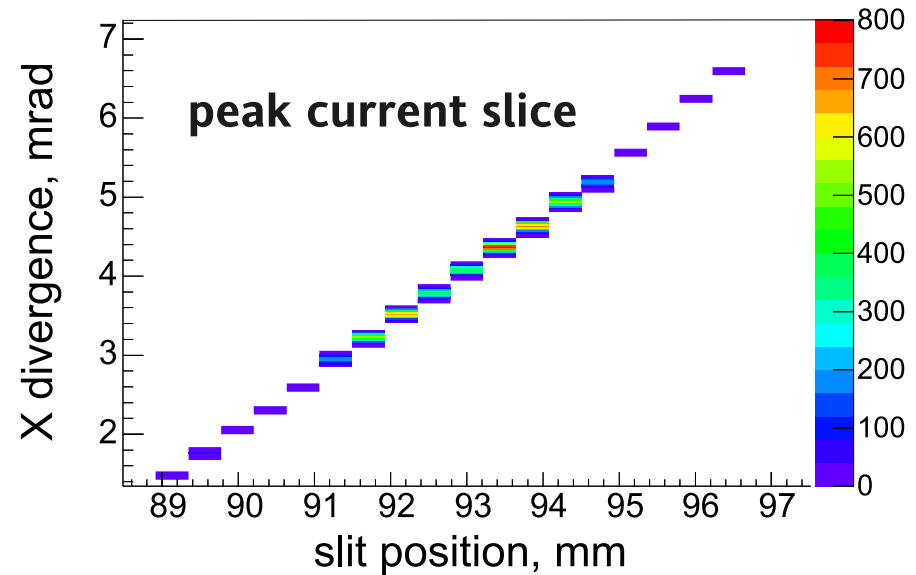
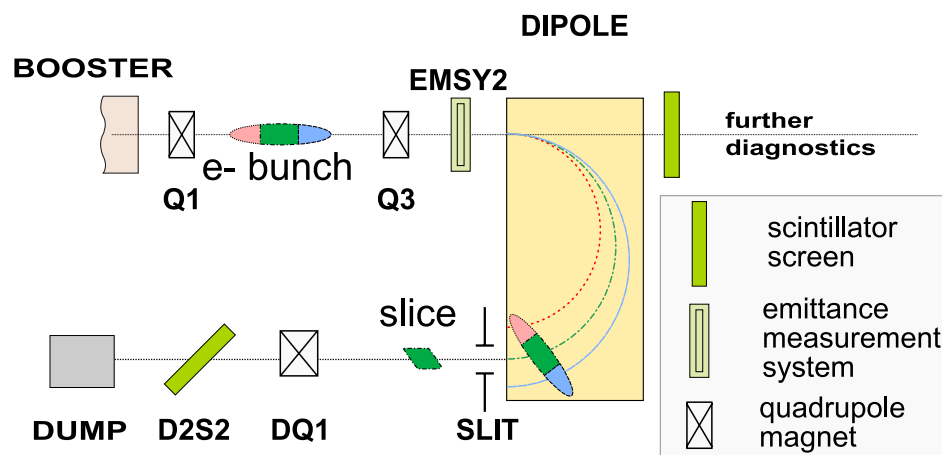
Laser spot RMS 0.3 mm
-30 degrees off-crest
230 pC



1 nC - A SLIT SCAN MEASUREMENT

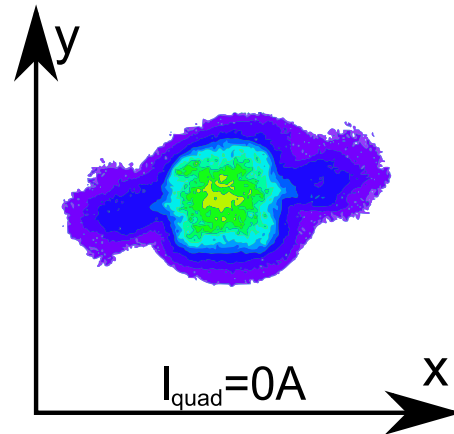
- First measurement
 $\epsilon = 1.7$ mm mrad
- Small beamlets due to strongly correlated beam
 - resolution ≈ 100 μm
and the beamlet size 120-150 μm

Focus the beam to increase uncorrelated divergence !

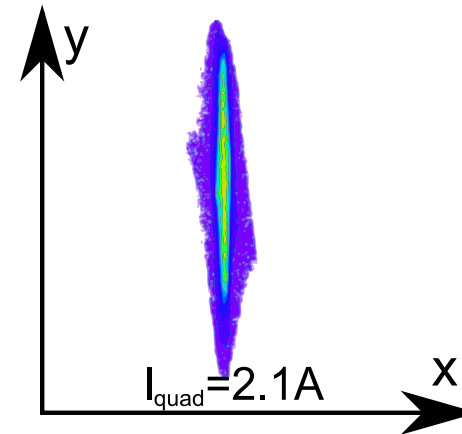


1 nC - A SLIT SCAN MEASUREMENT

Transverse beam profiles at EMSY2

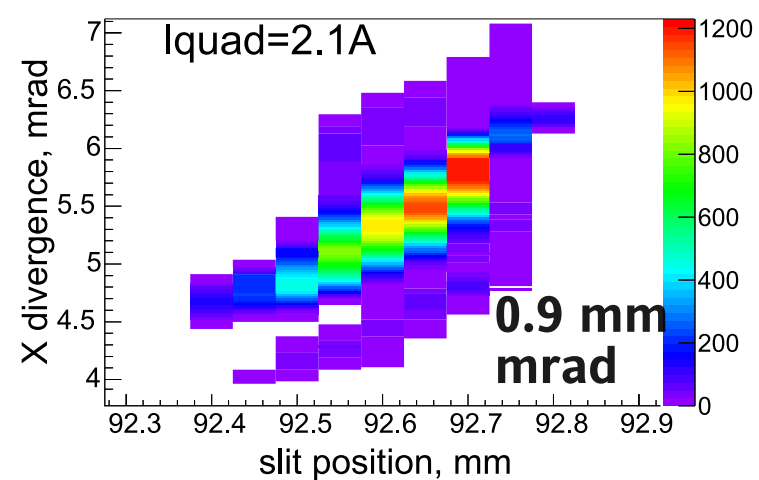
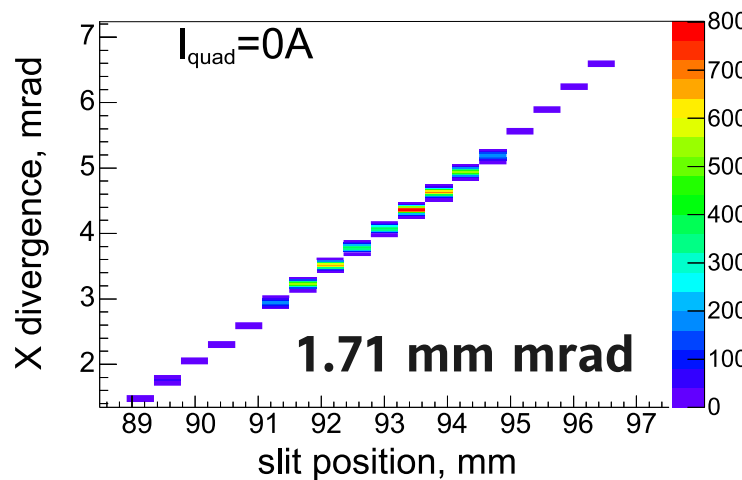


Low intensity halo
is separated in space



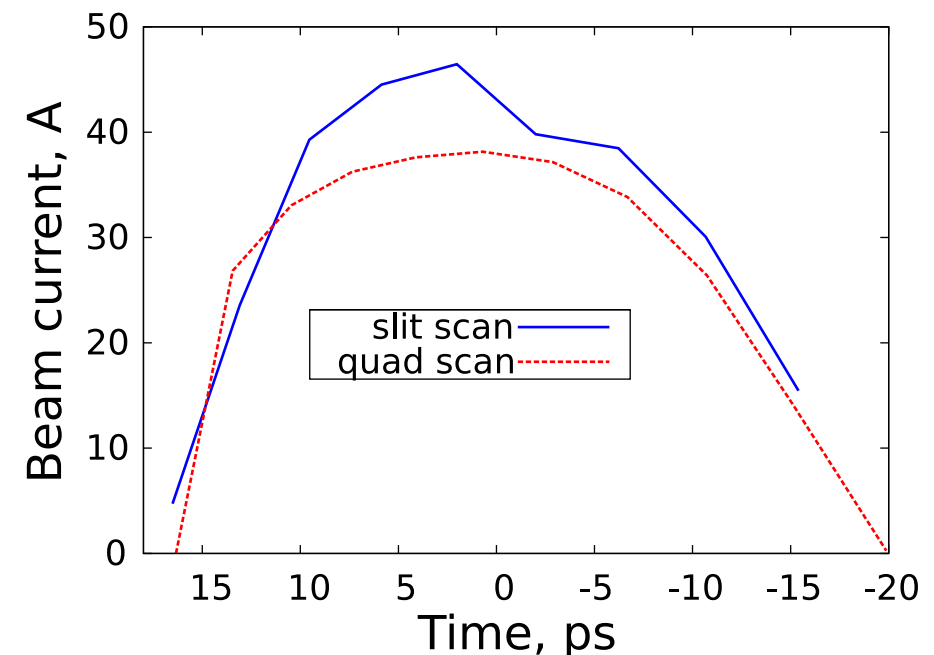
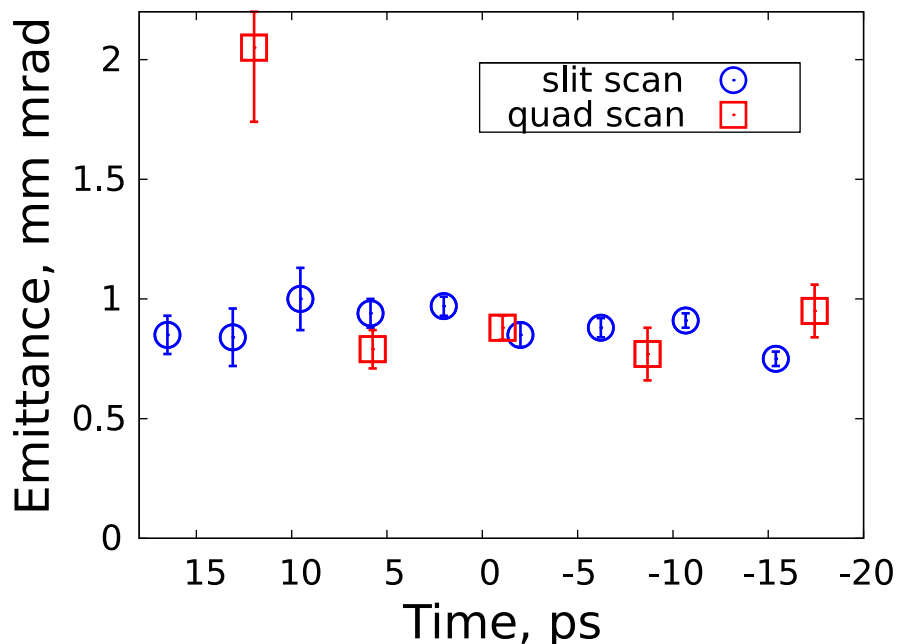
The halo is separated in divergence
(in space it is hidden in the core)

Corresponding phase space distributions



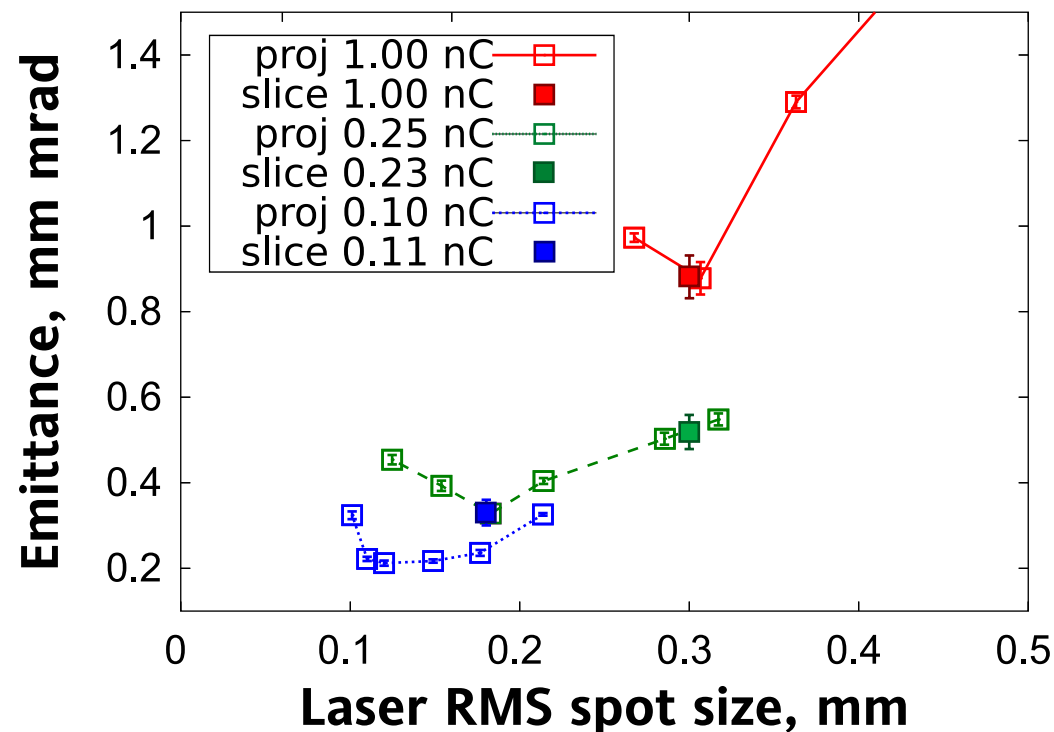
The distribution is more compact

- 1 nC was measured using two techniques, slit scan and quad scan
- Slit scan results are represented for the case of a focus on EMSY2 using an additional quadrupole



SLICE VERSUS PROJECTED EMITTANCE

- **Slice** is measured using the **quadrupole scan** whereas **projected** is obtained using the **slit scan**
- **Slice emittance** is a subject to systematic error due to **non-linear phase space distribution distortion**
- **Projected emittance** is a subject to systematic error due to **insensitivity to low intensity tails**



SUMMARY AND CONCLUSIONS

- **Horizontal slice emittance measurement setup is implemented and commissioned**
- **RMS time resolution of the setup reaches 1.5 ps at -50 degrees off-crest**
- **Slice emittance was measured with bunch charges down to 110 pC**
- **Minimum peak current slice emittance occurs at a different solenoid current compared to the best slice matching**
- **Quad scan is affected by non-linear dynamics, slit scan with 10 um slit mask is insensitive to low intensity tails**
- **Comparison to similar experiments in other labs:**

| Lab | Technique | | Charge | Emittance | Reference |
|----------|-----------|-----------|--------|---------------|----------------|
| PITZ | off-crest | quad slit | 1 nC | 0.8-2 mm mrad | IPAC11 THPC113 |
| SLAC GTF | | quad | 0.3 nC | 1-3 mm mrad | PAC03 WPAB027 |
| SPARC | RFD | | | 1.3 mm mrad | EPAC08 TUPC105 |

FUTURE OF SLICE EMITTANCE DIAGNOSTICS WITH AN ENERGY CHIRPED BEAM

- **Crosscheck with RFD**
- **Less transport influence when compared to RFD**
- **YAG versus OTR can be studied after improving the read-out optics**
- **Thermal emittance with long flat-top beams using the quad scan**
- **Full beam optimization can be done based on slice emittance**

**A new dispersive section contains
vertical slice emittance setup**

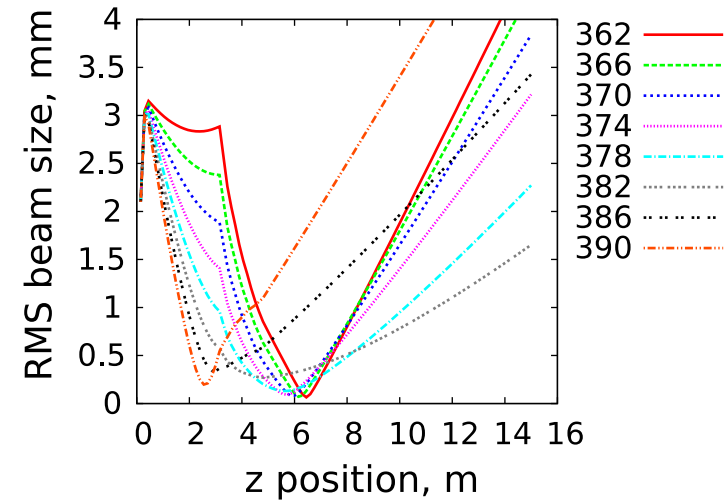
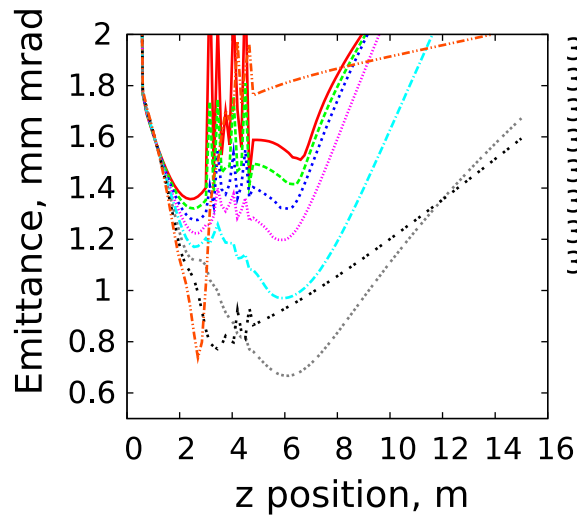
Sincere gratitude is addressed to

**G. Asova, J. Bähr, C. Boulware, H.J. Grabosch, M. Groß,
M. Hänel, S. Khodyachykh, M. Khojoyan, G. Klemz, M. Krasilnikov,
S. Lederer, M. Mahgoub, D. Malyutin, J. Meißner, A. Oppelt, M. Otevrel,
B. Petrosyan, D. Richter, S. Rimjaem, J. Rönsch-Schulenburg,
J. Roßbach, L. Staykov, F. Stephan, G. Vashchenko.**

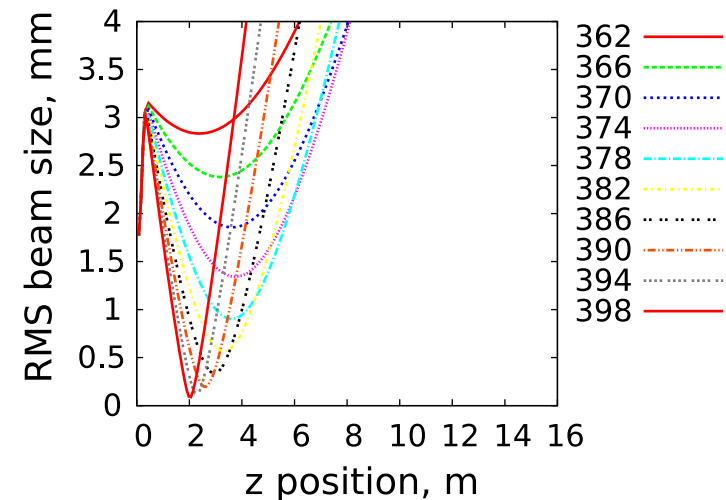
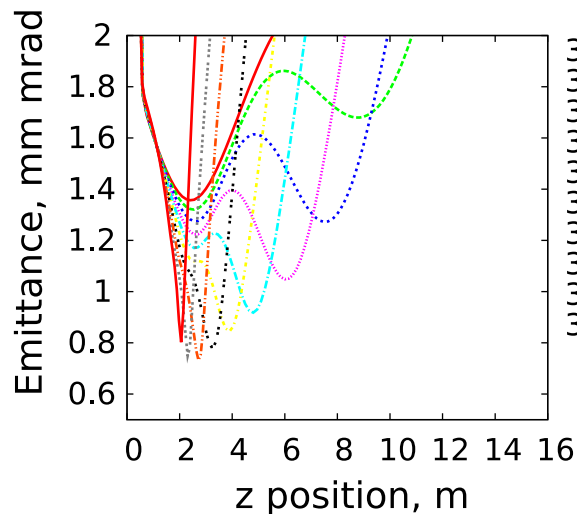
Thank you for your attention!

ROLE OF ADDITIONAL ACCELERATION IN BOOSTER

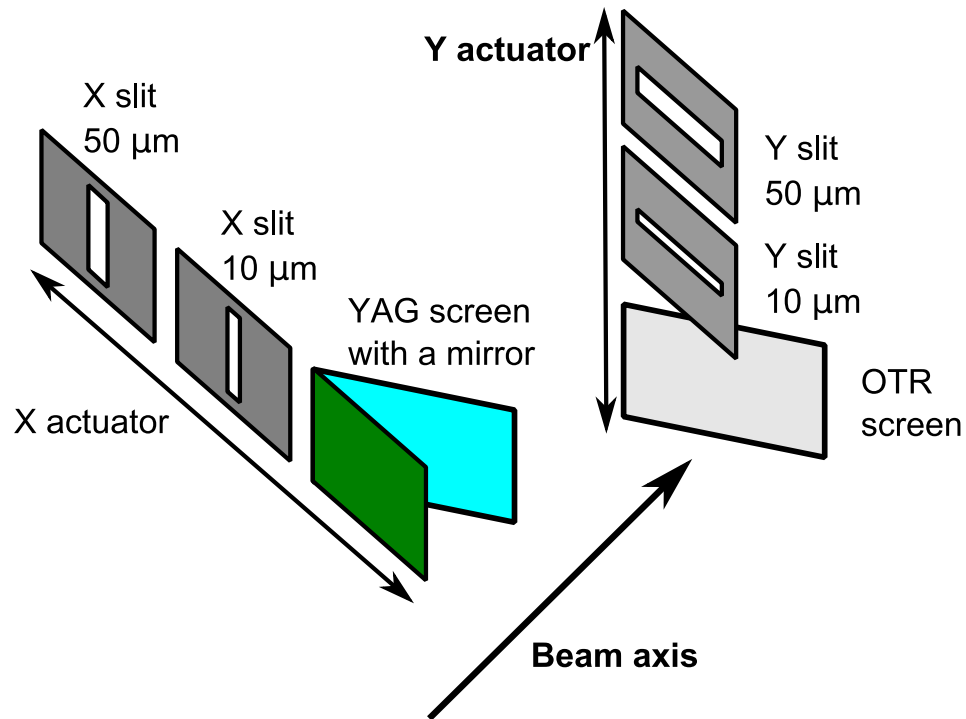
Emittance and beam size in the setup **with** the booster cavity



Emittance and beam size in the setup **without** the booster cavity



EMITTANCE MEASUREMENT SYSTEM (EMSY)



- A thin lens model in a paraxial approximation with a monoenergetic beam

The drift length
from the quadrupole
magnet to the screen Emittance

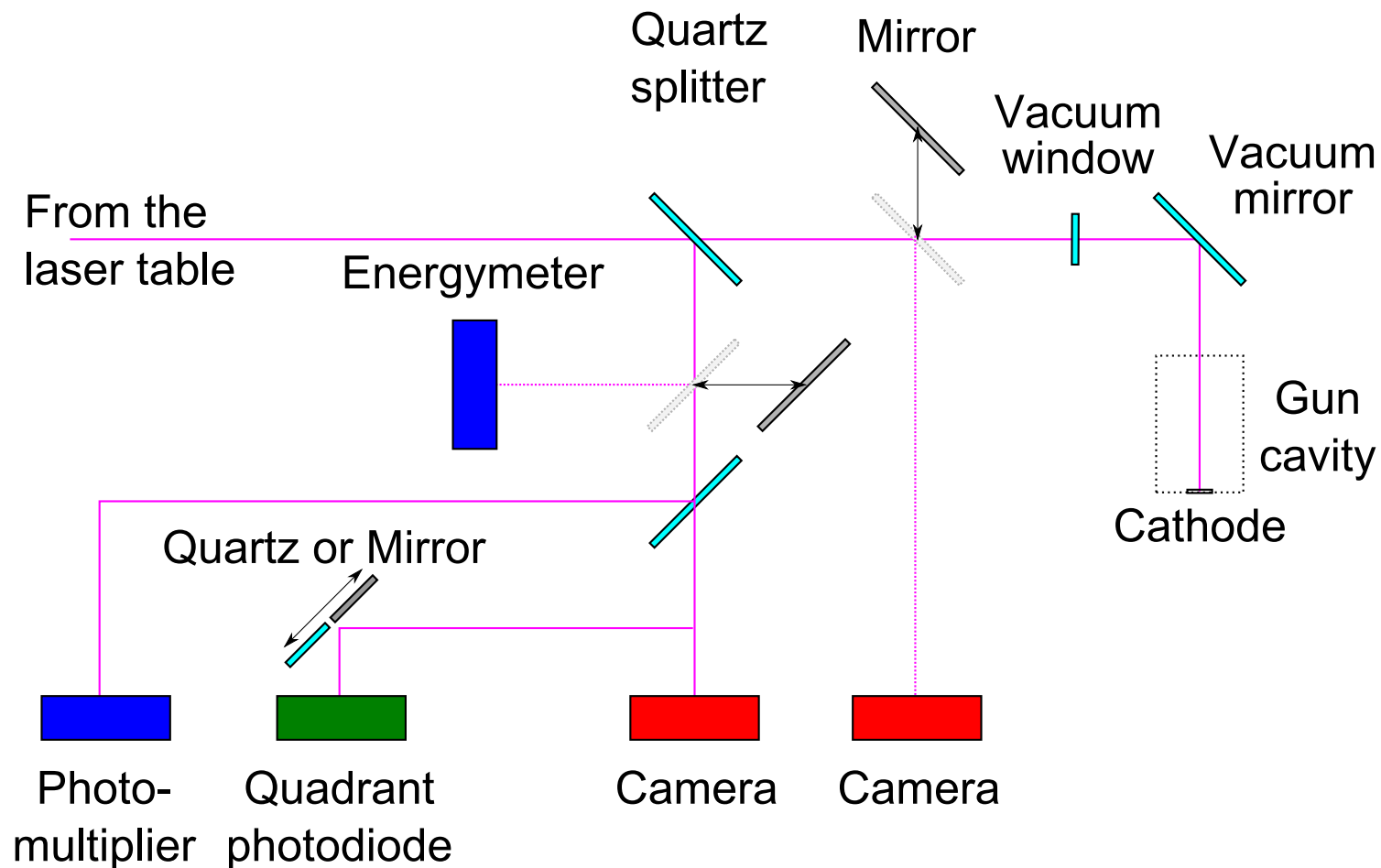
$$\sigma_{scr}^{min} = \frac{L_d \epsilon}{\gamma \beta \sigma_x}$$

Minimum beam
size at the screen
obtained in the
quadrupole scan

Relative
momentum

Beam size at the
quad location

Monitoring of intensity, transverse profile and pointing

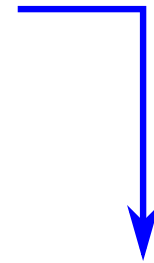
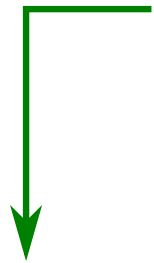
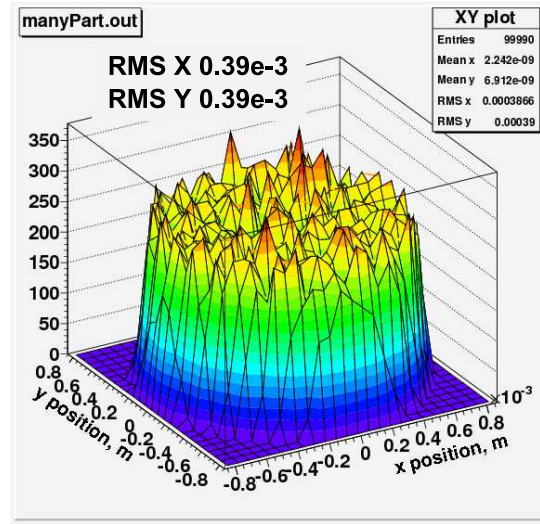


TRANSVERSE PROFILE EVOLUTION

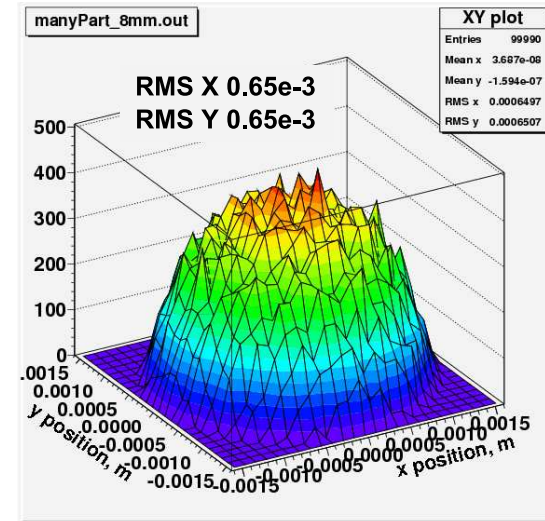
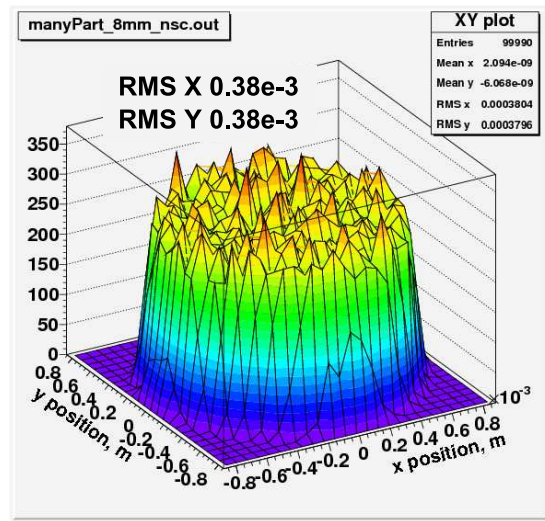
**RF
Solenoid**

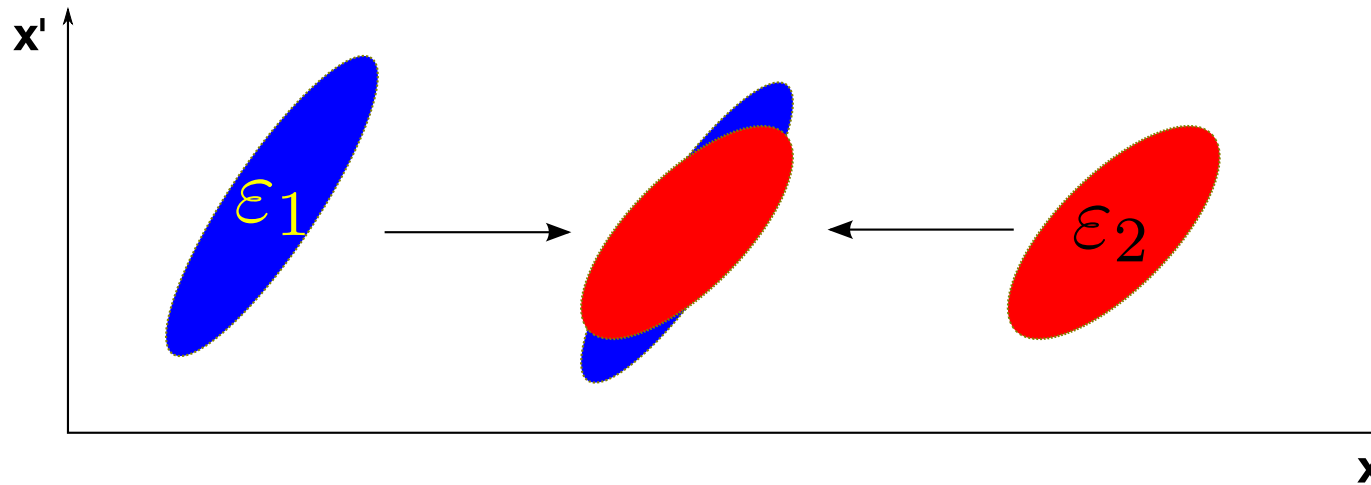
**RF
Solenoid
Space Charge**

At the cathode



8 mm
downstream





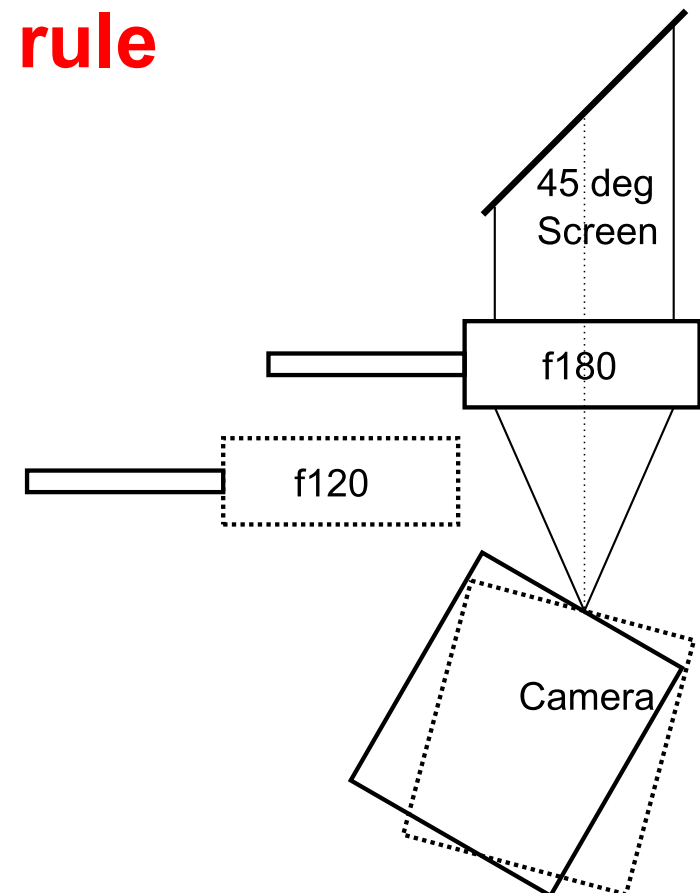
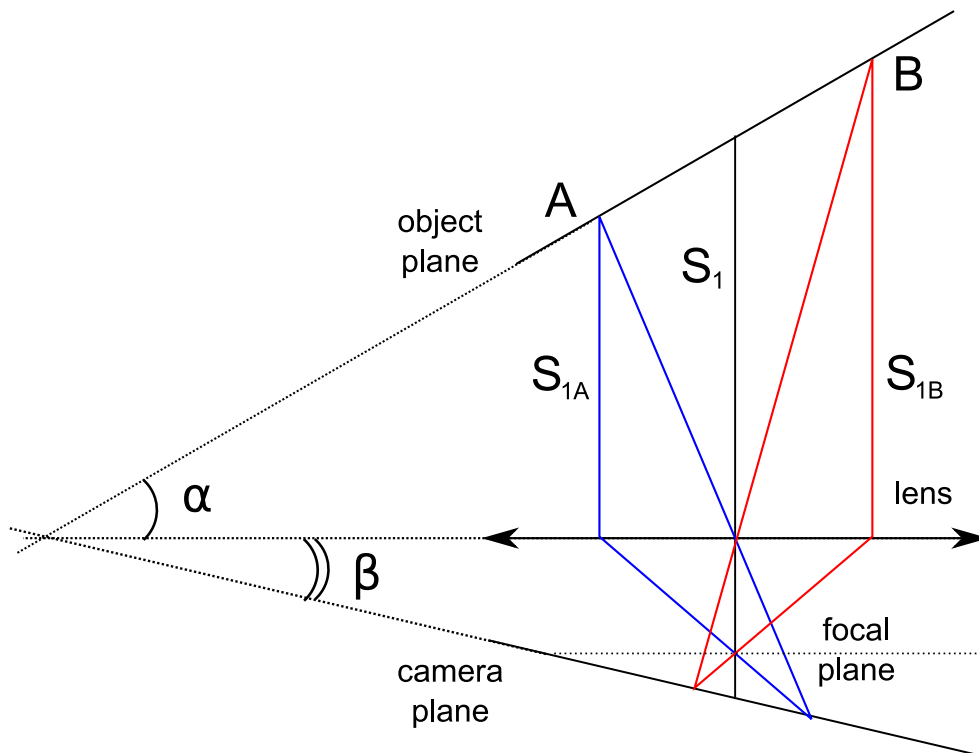
$$F = \frac{\left(\sigma_{x_1}^2 \sigma_{x'_2}^2 + \sigma_{x_2}^2 \sigma_{x'_1}^2 - 2 \langle xx' \rangle_1 \langle xx' \rangle_2 \right)}{2 \varepsilon_1 \varepsilon_2}$$

$$1 \leq F < \infty$$

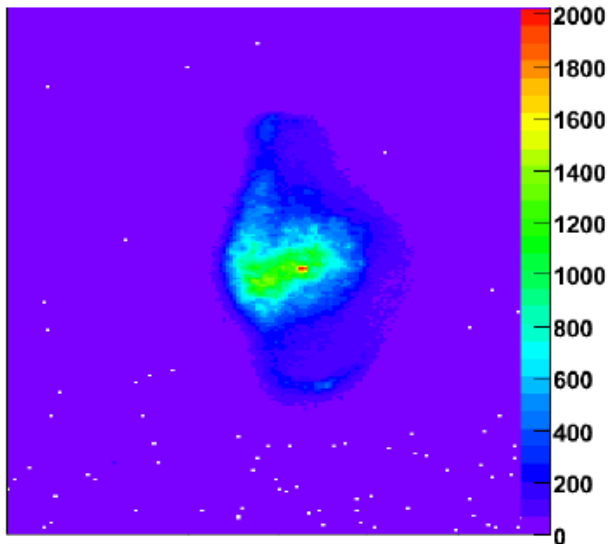
Exact matching $F = 1$

- Depth of focus is a problem for slit scan measurements with a 45 degree screen

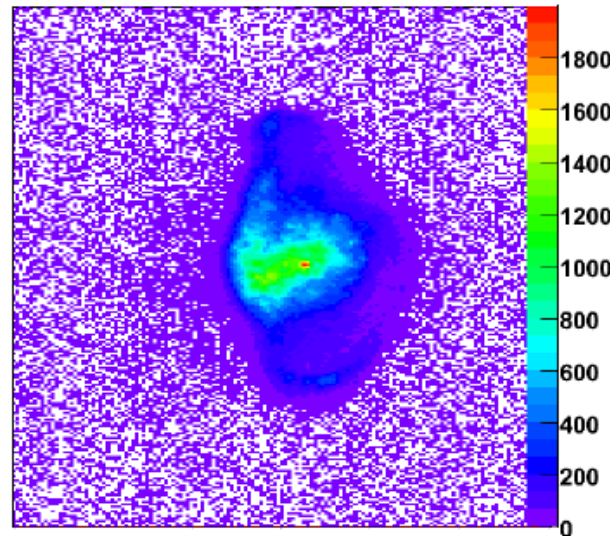
Scheimpflug's rule



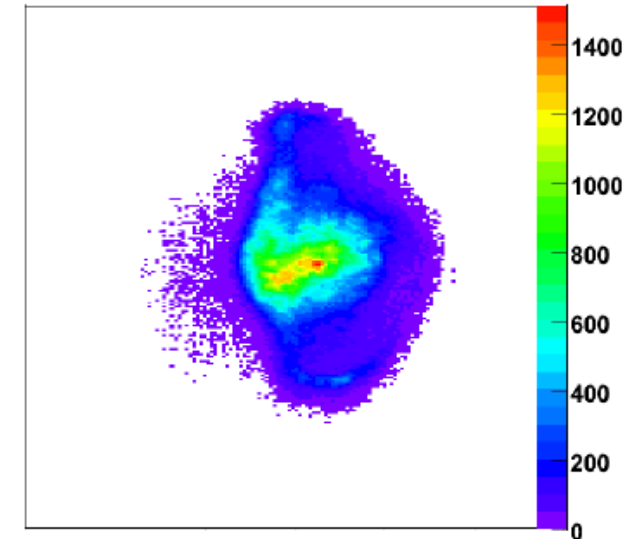
Raw



Raw - Background

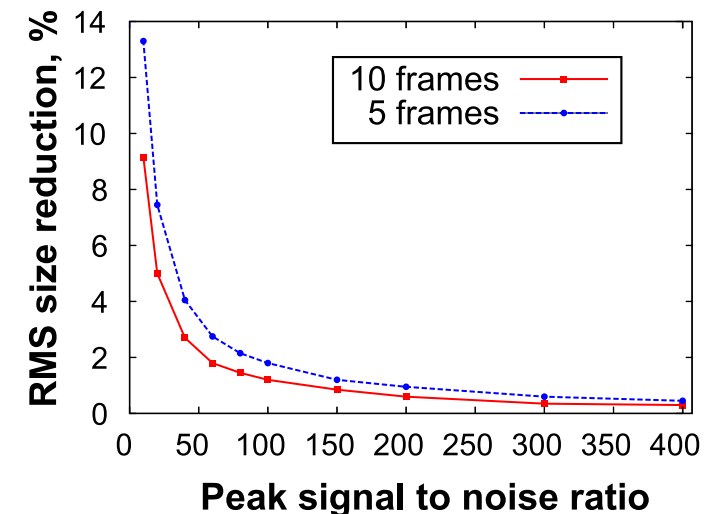


Processed

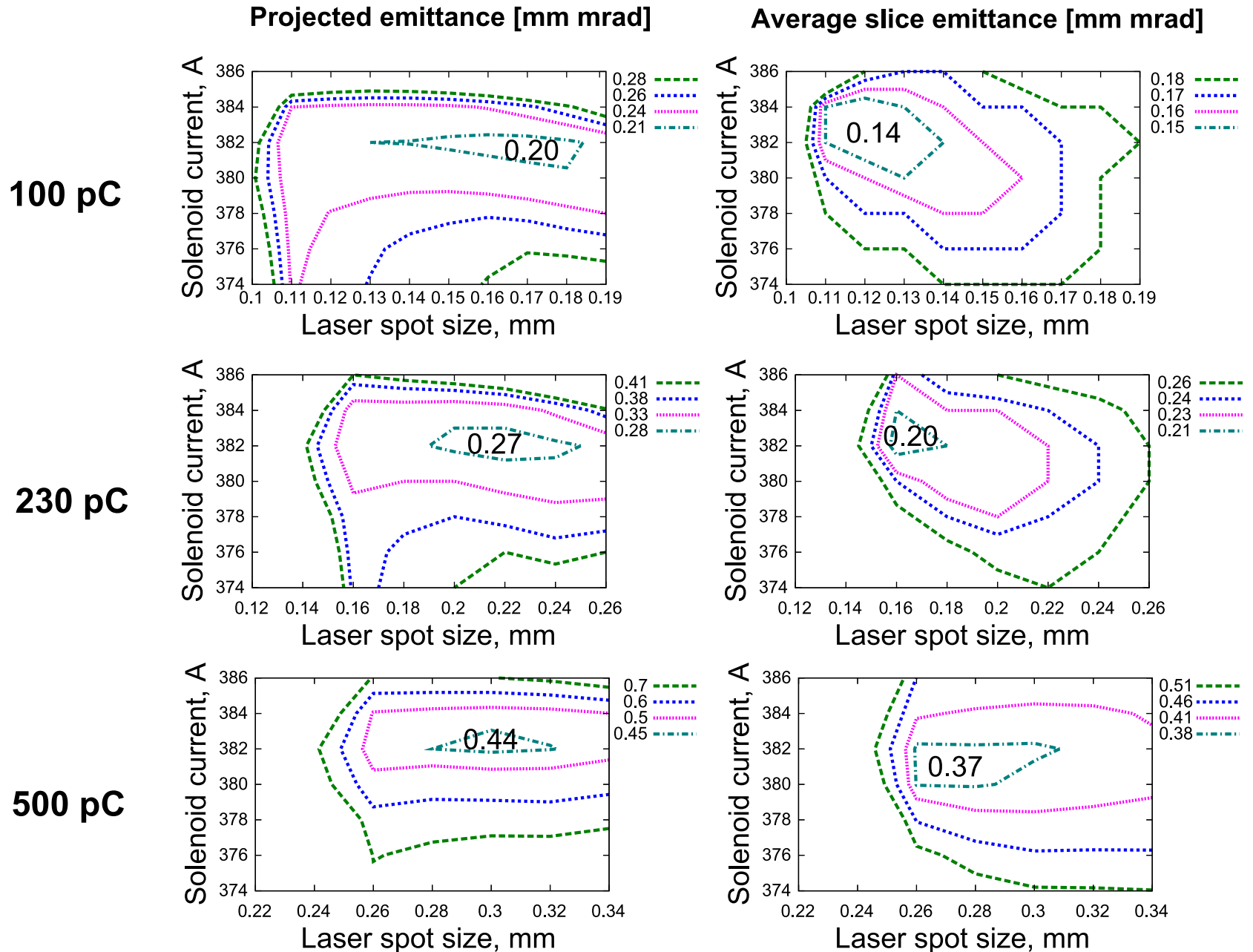


Systematical error due to processing

- A Gaussian shape is assumed for the benchmarking
- Real camera noise model is used for the studies
- Typical peak signal to noise for a periphery beamlet is in the range 20-30

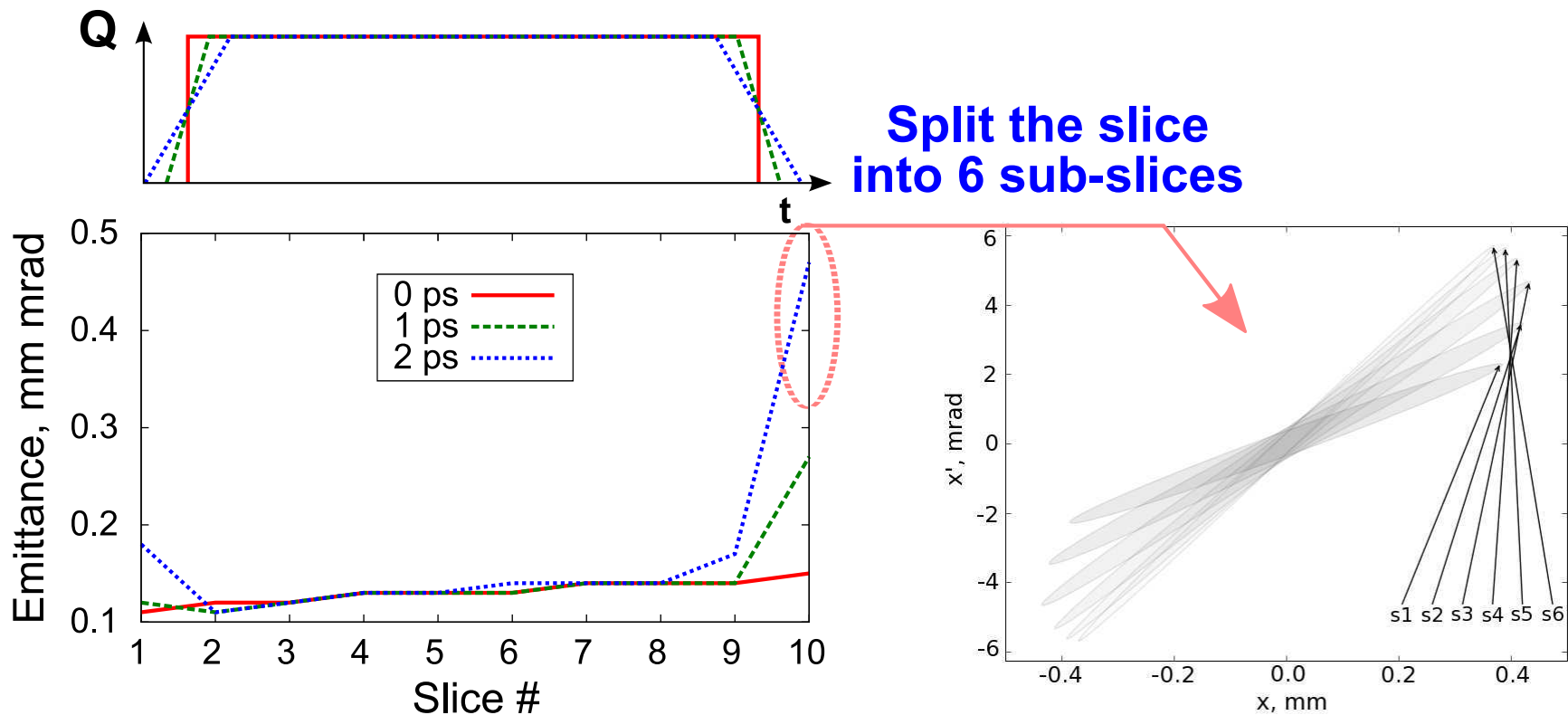


SIMULATION STUDIES OF SLICE EMITTANCE



SLICE EMITTANCE AT BUNCH EDGES

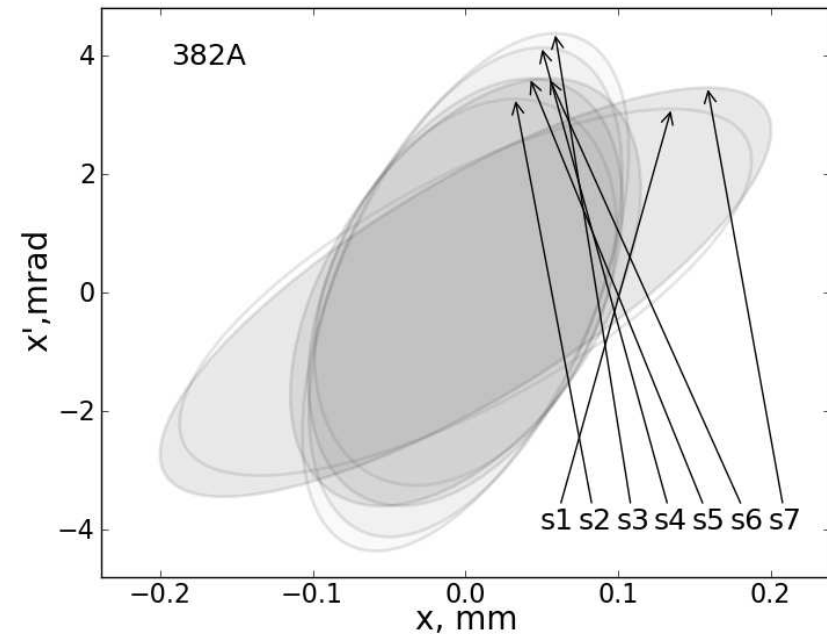
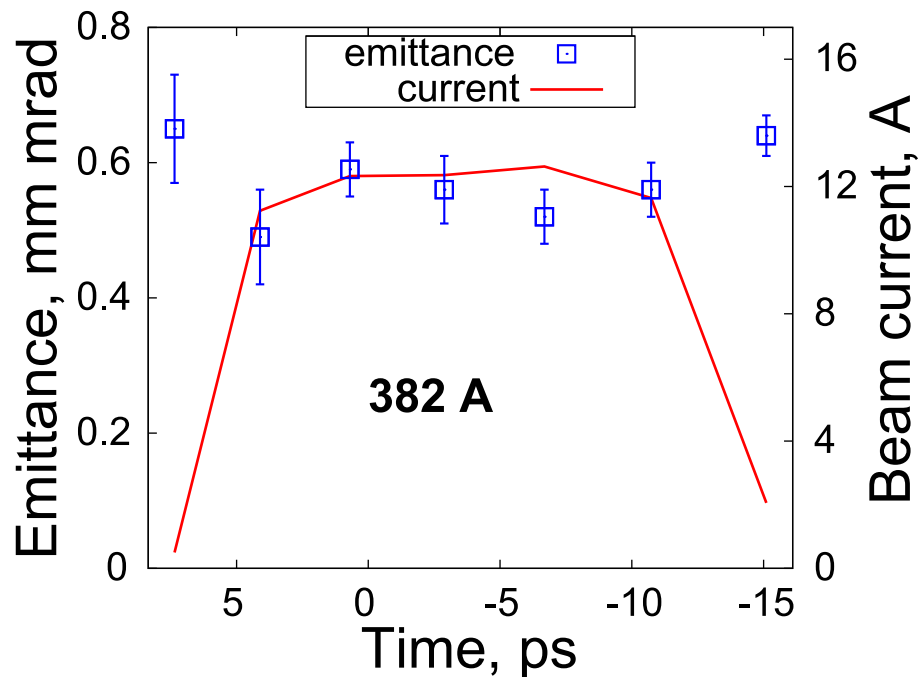
- Longer laser rise and fall time result in more particles within the changing space charge field region
- Changing charge profile leads to an internal slice mismatch



Results:

Peak current slice emittance at 382 A 0.52 ± 0.04 mm mrad

Minimum slice emittance occurs at a solenoid current lower solenoid current then the best slice matching

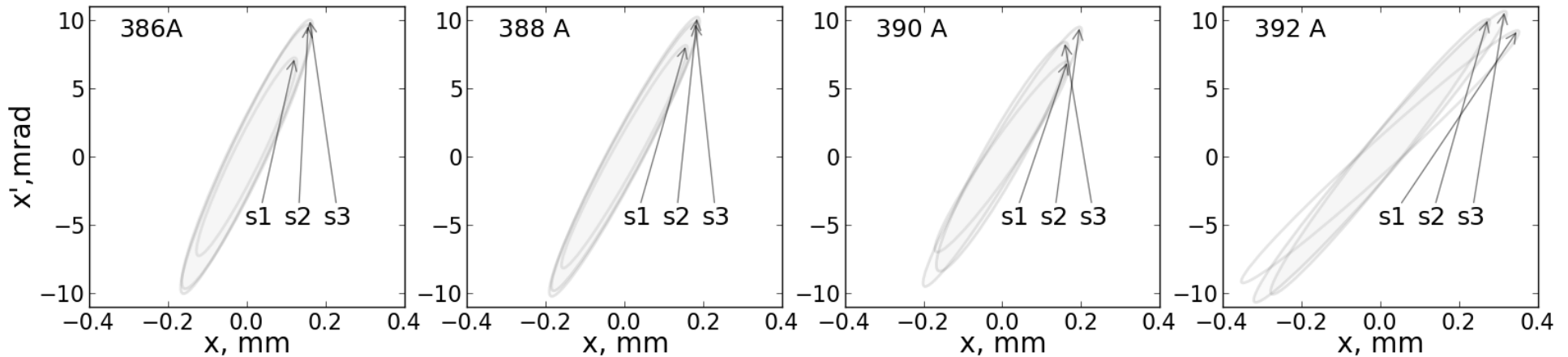
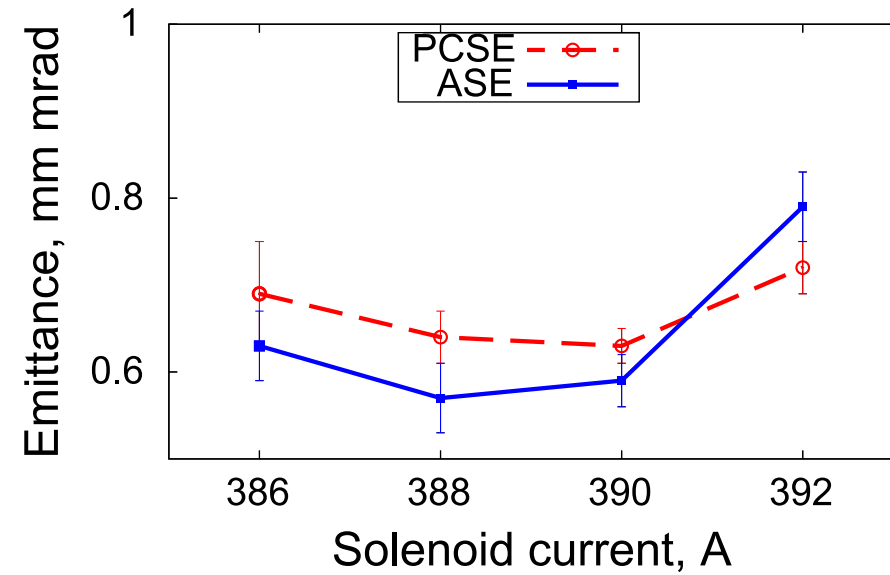


500 pC - A QUAD SCAN MEASUREMENT

Setup:

Laser spot RMS 0.3 mm

-30 degrees off-crest

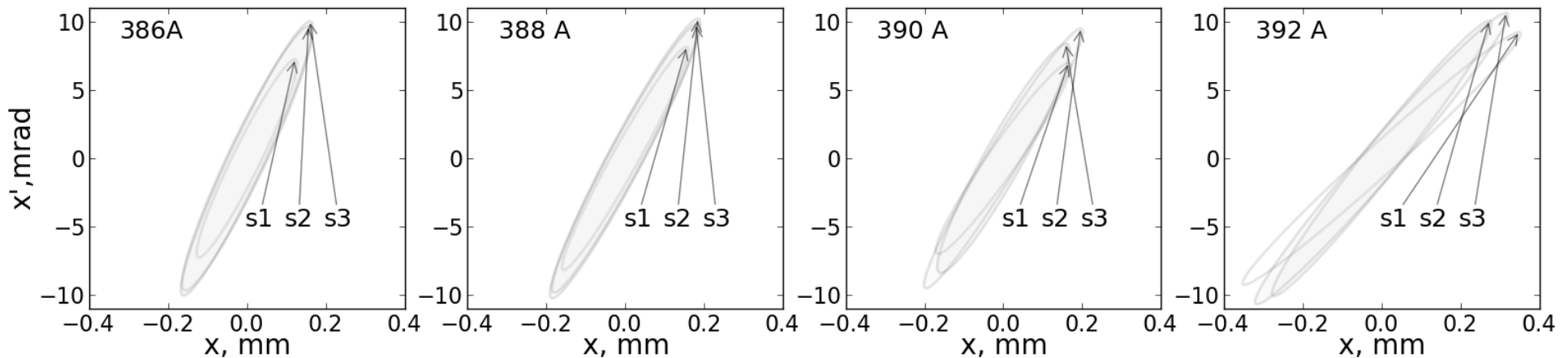
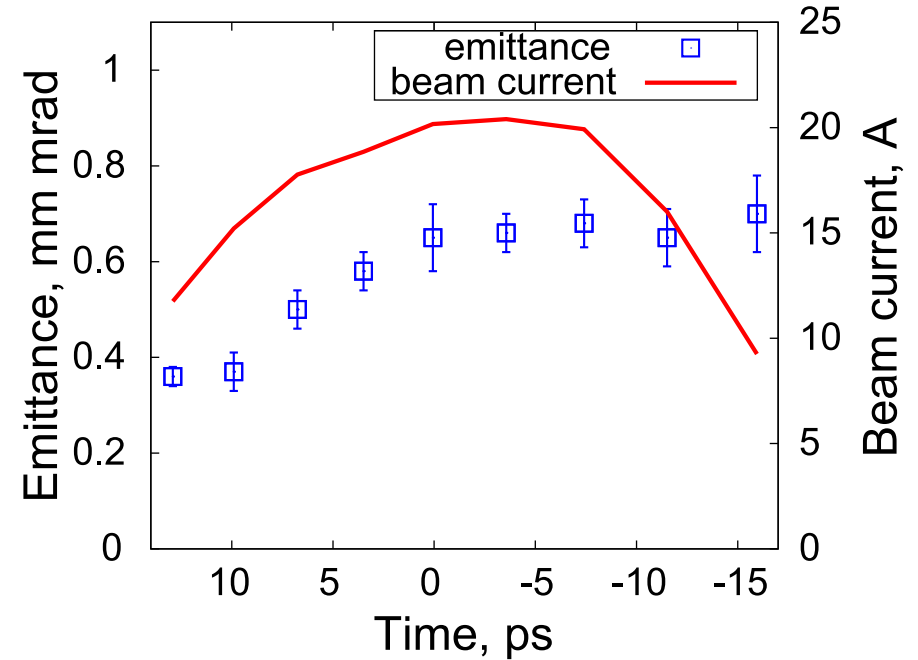


500 pC - A QUAD SCAN MEASUREMENT

Results:

Peak current slice emittance at 390 A 0.66 ± 0.04 mm mrad

Minimum slice emittance occurs at higher solenoid than the best slice matching



1 nC - A QUAD SCAN MEASUREMENT

Setup:

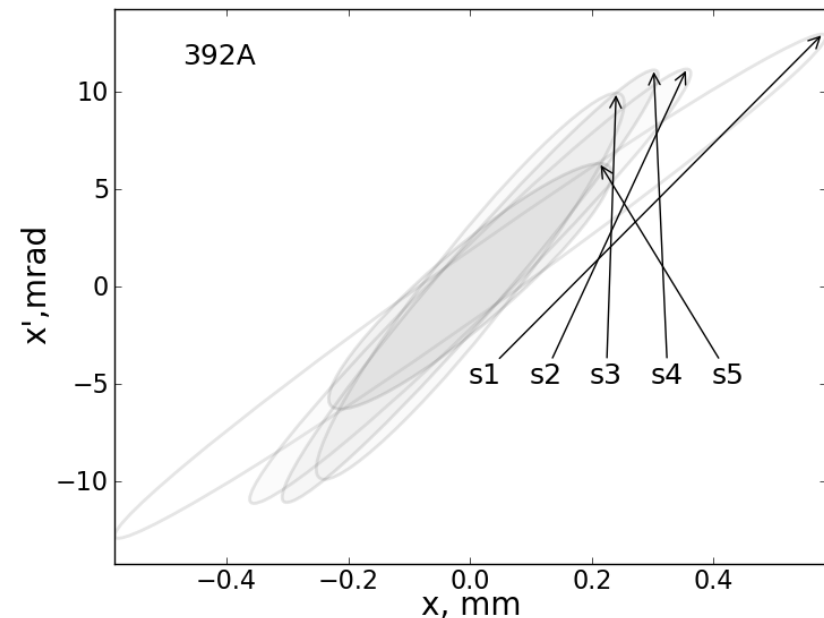
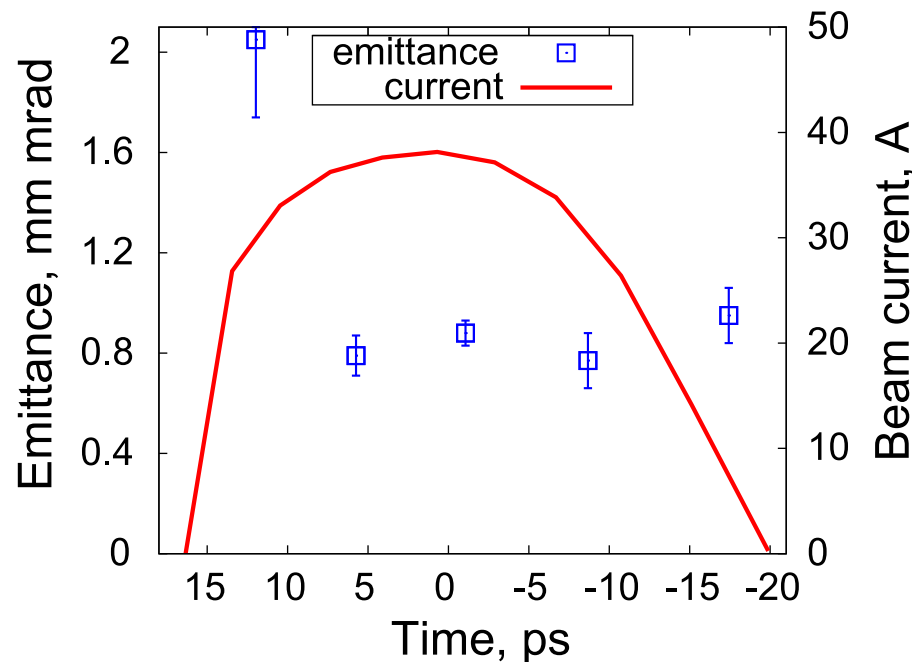
Laser spot RMS 0.3 mm

-30 degrees off-crest

only one solenoid current - the best point of projected emittance

Results:

Peak current slice emittance at 392 A 0.88 ± 0.05 mm mrad



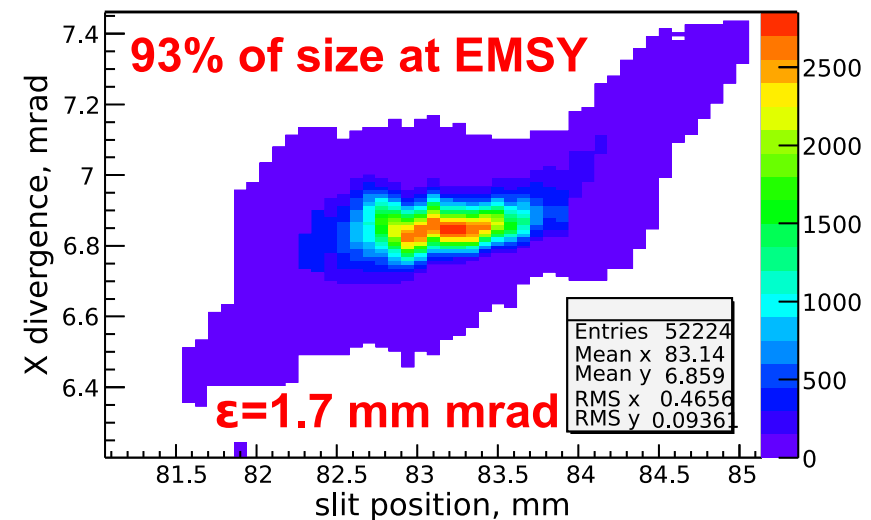
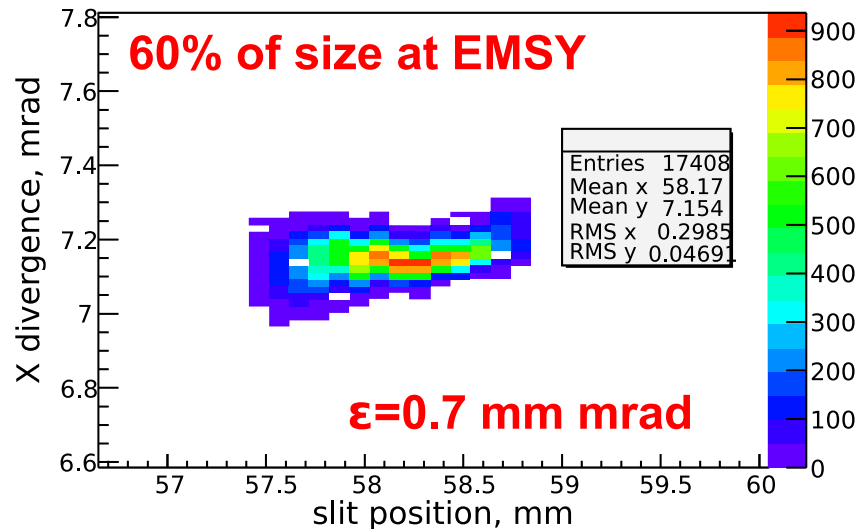
COMPARING SLICE AND PROJECTED EMITTANCE

- A systematical difference in slice and projected emittance is in slit mask of different width

Slit scan at EMSY2 in the straight section

10 μm slit

50 μm slit



A low intensity halo is observed with 50 μm
Halo: 30% of charge, increases emittance 2.5 times

Quad scan non-linearity mainly affects the halo that is not visible in projected emittance measurements meaning a comparison is possible