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# LHC Crab Cavities and Related Machine Protection

*DESY & UHH AccPhySem*  
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*Acknowledgement: P. Baudrenghien, F. Burkart, R. Calaga, R. De Maria, E. Elsen, S. Fartoukh,  
E. Jensen, R. Tomas, J. Tuckmantel, J. Wenninger, B. Yee Rendon, F. Zimmermann.*

1. LHC Upgrade Scenarios and Crab Cavities
2. Failure Scenarios and Analytical Approach
3. Static Failure Simulations (MAD-X)
4. Dynamic Failure Simulations (MAD-X)
5. Mitigation and Conclusion



# Content



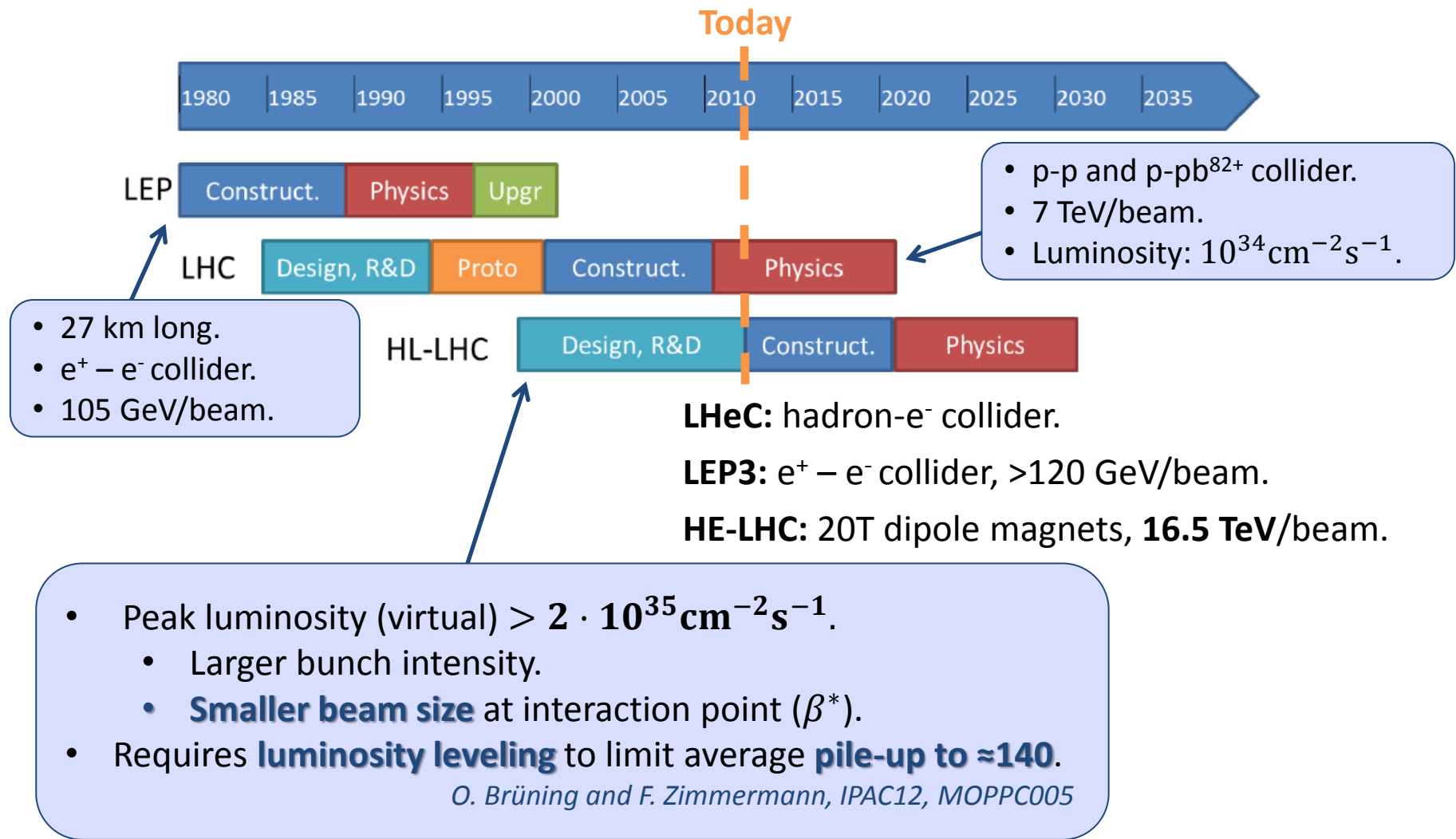
**1. LHC Upgrade Scenarios and Crab Cavities**

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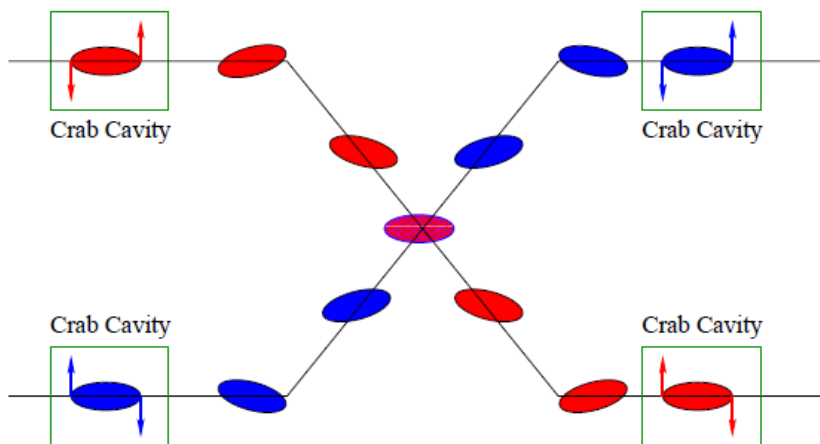
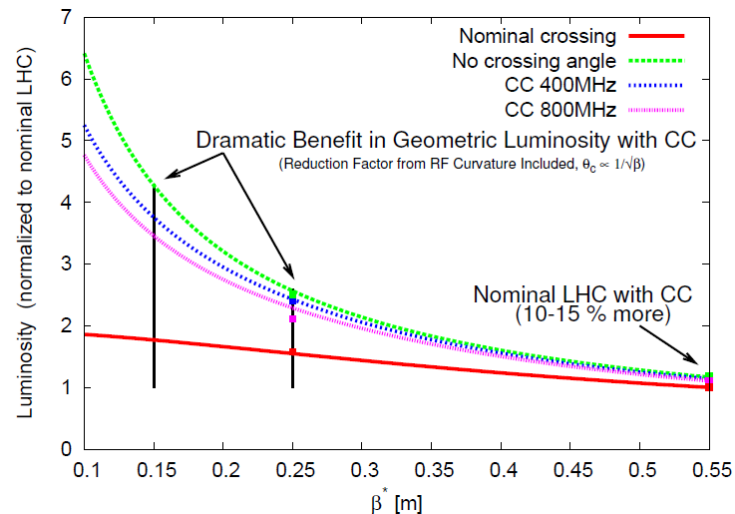
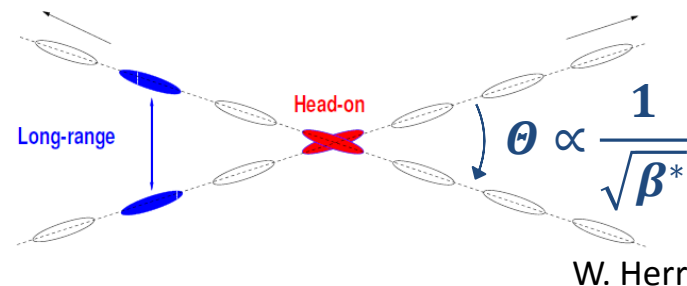
**3. Static Failure Simulations (MAD-X)**

**4. Dynamic Failure Simulations (MAD-X)**

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- The crossing angle (to mitigate long-range beam-beam) leads to a **geometric luminosity reduction**.
- Crab cavities** have a **time dependent transverse deflection** and can restore the geometric luminosity loss (and level the luminosity).

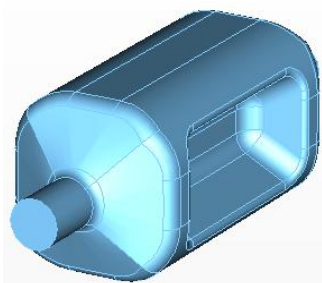




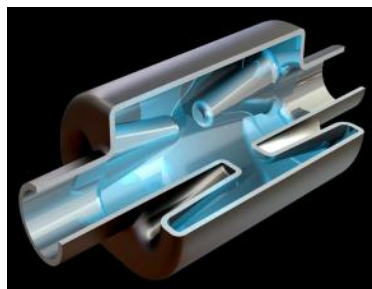
- Crab cavities are used in KEKB since 2007.
- Enormous advance in **compact** crab cavity design.

*Three designs, 400 MHz, 3 MV kick,  $r < 150$  mm.*

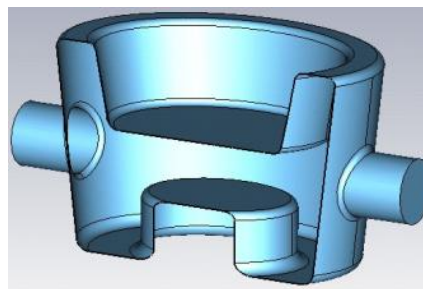
*First prototypes are constructed.*



ODU/JLAB/SLAC



ULANC



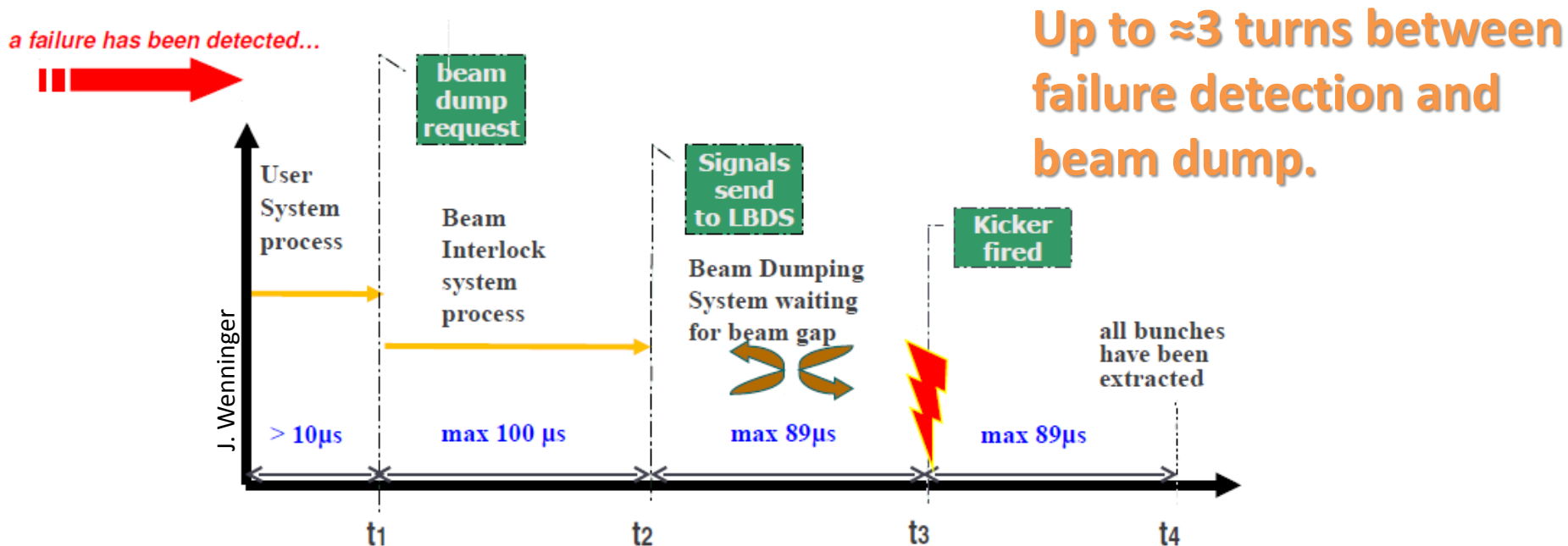
BNL



KEKB crab cavity.

- Still several main challenges ahead:  
RF noise, impedance, **machine protection**, ...

- Main challenge: Beam energy of **362MJ** (HL-LHC: up to **700MJ**)  
*Damage level (sensitive equipment):  $\approx 10\text{kJ}$  R. Schmidt, Pac07*  
*Quench limit of superconducting elements: few  $\text{mJ}/\text{cm}^3$*
- Over 200 protection systems can request a beam dump  
*4000 BLMs (40 $\mu\text{s}$  resolution), power converter, software interlock system, etc.*





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- Full decay of crab cavity in  $\approx 100\mu\text{s}$  ( $\approx 1$  turn).
- Oscillations of Crab Cavity phase (up to  $50^\circ$  in  $50\mu\text{s}$ ).



*K. Nakanishi et al.,  
IPAC'10, WEPEC022.*

- Transverse deflection by crab cavity:

$$x'_{cc}(z) = -\frac{q \cdot V}{E} \cdot \sin\left(\Phi + \frac{\omega \cdot z}{c}\right)$$

- Optimal voltage to compensate crossing angle:

$$V_0 = \frac{c \cdot E \cdot \tan\left(\frac{\Theta}{2}\right)}{q \cdot \omega \cdot \sqrt{\beta^* \beta_u} \cdot \sin(\Delta\phi) \cdot n_{cc}}$$

- Maximal transverse displacement by CC:

$$\frac{\bar{x}_{cc}(z)}{\sigma_x} = -\frac{c \cdot \tan\left(\frac{\Theta}{2}\right)}{\underbrace{\omega \cdot \sigma_{x,IP} \cdot \sin(\Delta\phi) \cdot n_{cc}}_{= 4.05}} \cdot \sin\left(\Phi + \frac{\omega \cdot z}{c}\right)$$

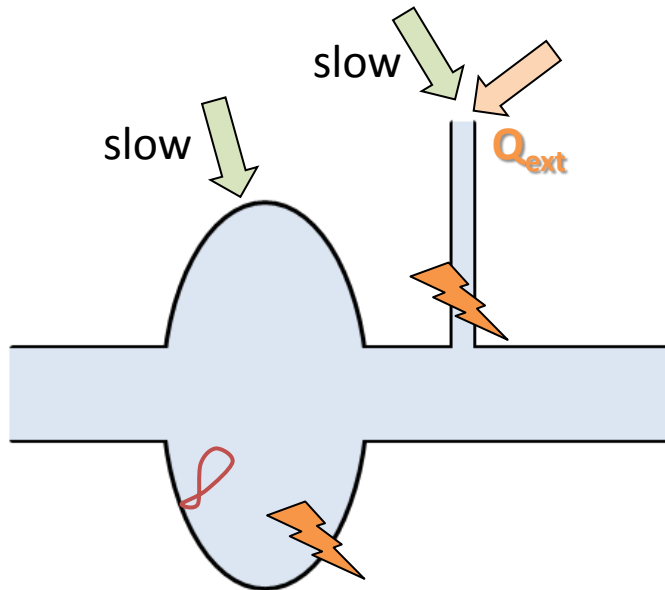
**= 4.05** (upgrade optics,  $\beta^* = 15\text{cm}$ ,  $n_{cc}=1$ ) *T. Baer et al., IPAC'11*

$\sigma_x$  = horizontal beam size  
 $q$  = particle charge  
 $E$  = particle Energy (7 TeV)  
 $V$  = voltage of crab cavity  
 $\Phi$  = phase of crab cavity  
 $\Theta$  = full crossing angle (590/285 $\mu$ rad)  
 $\phi$  = phase advance CC  $\rightarrow$  IP ( $\approx \pi/2$ )  
 $\omega$  = angular frequency of CC (2  $\pi$  · 400 MHz)  
 $z$  = longitudinal position of particle  
 $c$  = speed of light  
 $n_{cc}$  = number of independent CCs per beam on either side of IP.

	upgrade optics	nominal optics
Maximal displacement with $\sin\left(\Phi + \frac{\omega \cdot z}{c}\right) = 1$ $\bar{x}_{cc} \approx$	<b>4<math>\sigma_x</math></b>	<b>1<math>\sigma_x</math></b>
For $z = 7.55\text{cm}$ ( $= 1 \cdot \sigma_z$ ): $\bar{x}_{cc}(z = 7.55\text{cm}) \approx$	<b>2.36<math>\sigma_x</math></b>	<b>0.60<math>\sigma_x</math></b>

## Slow (external) failures

- Power cut
- Thermal problems
- Mechanical changes (tuner problem)



## Fast external failures

- Control-logics failure
- Operational failure
- Equipment failure
- ...

**Timescale determined by  $Q_{ext}$**

## Internal failures

- Arc in coupler
- Multipacting
- Cavity quench

**Timescales  $< 1$  turn possible.**



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# Failure Simulations



- MAD-X tracking studies (thintrack module)
- Crab cavity **local scheme IP5**, beam 1.
- **No splitting of crab cavity kicks.**
- Optics:
  - **SLHCV3.1b**,  $\beta^* = 0.15\text{m}$  (IP1/5),  $\beta^* = 10.0\text{m}$  (IP2/8),  $\Theta = 590\mu\text{rad}$ .
  - Nominal optics,  $\beta^* = 0.55\text{m}$  (IP1/5),  $\beta^* = 10.0\text{m}$  (IP2/8),  $\Theta = 285\mu\text{rad}$ .
- Instantaneous failure of single crab cavity, constant (e.g. at  $V=0$ ) afterwards.
- Tracking for  $\approx 20$  turns.

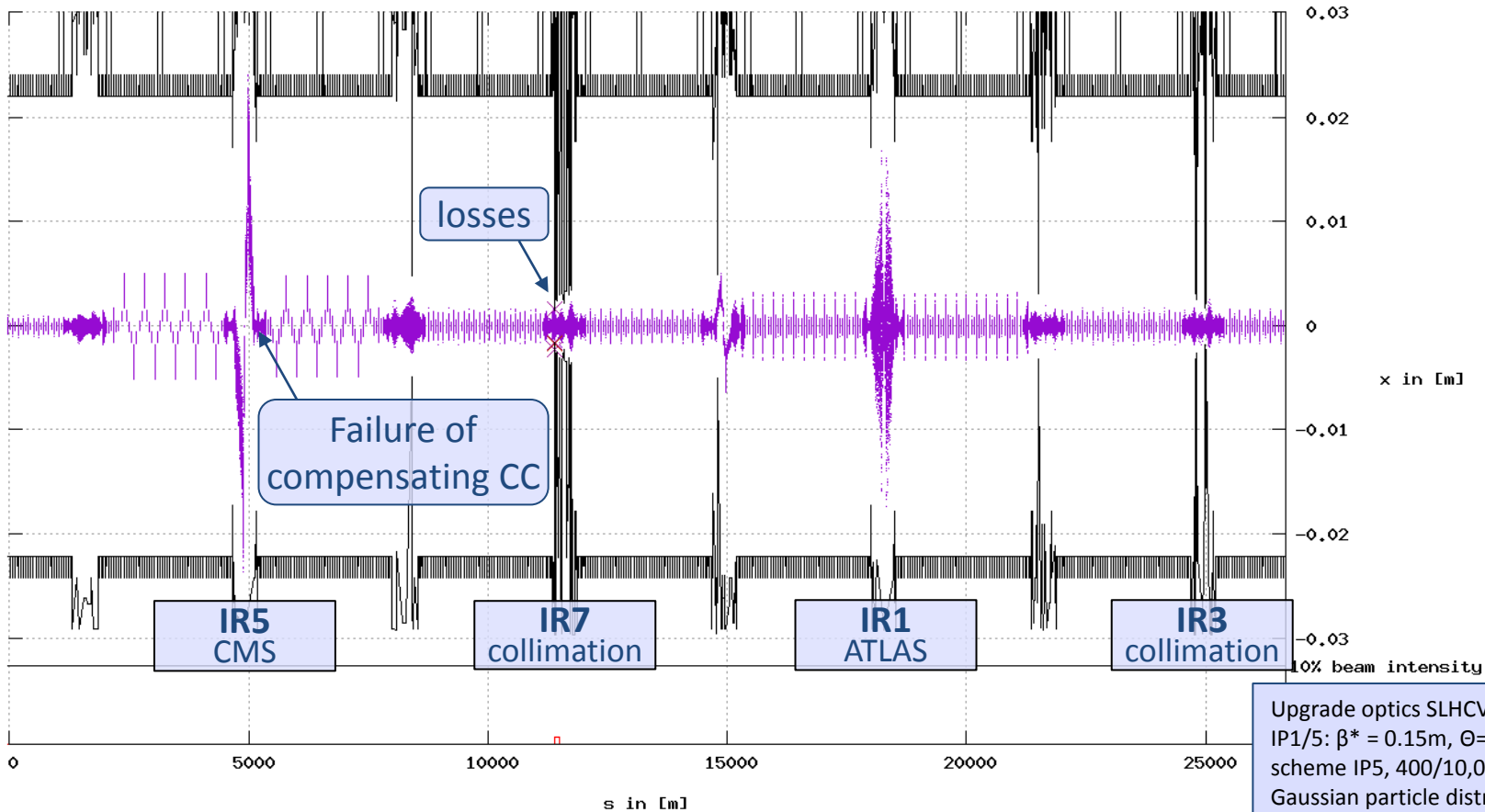


- Instantaneous change of voltage of CC.R5.B1 to zero.
- **Beam losses mainly at primary collimator** (TCP.C6L7.B1).

*For Illustration*

20101214-133413-v102\_ft=7\_NCC=1\_VR5=1\_pL5=0\_o1\_aper

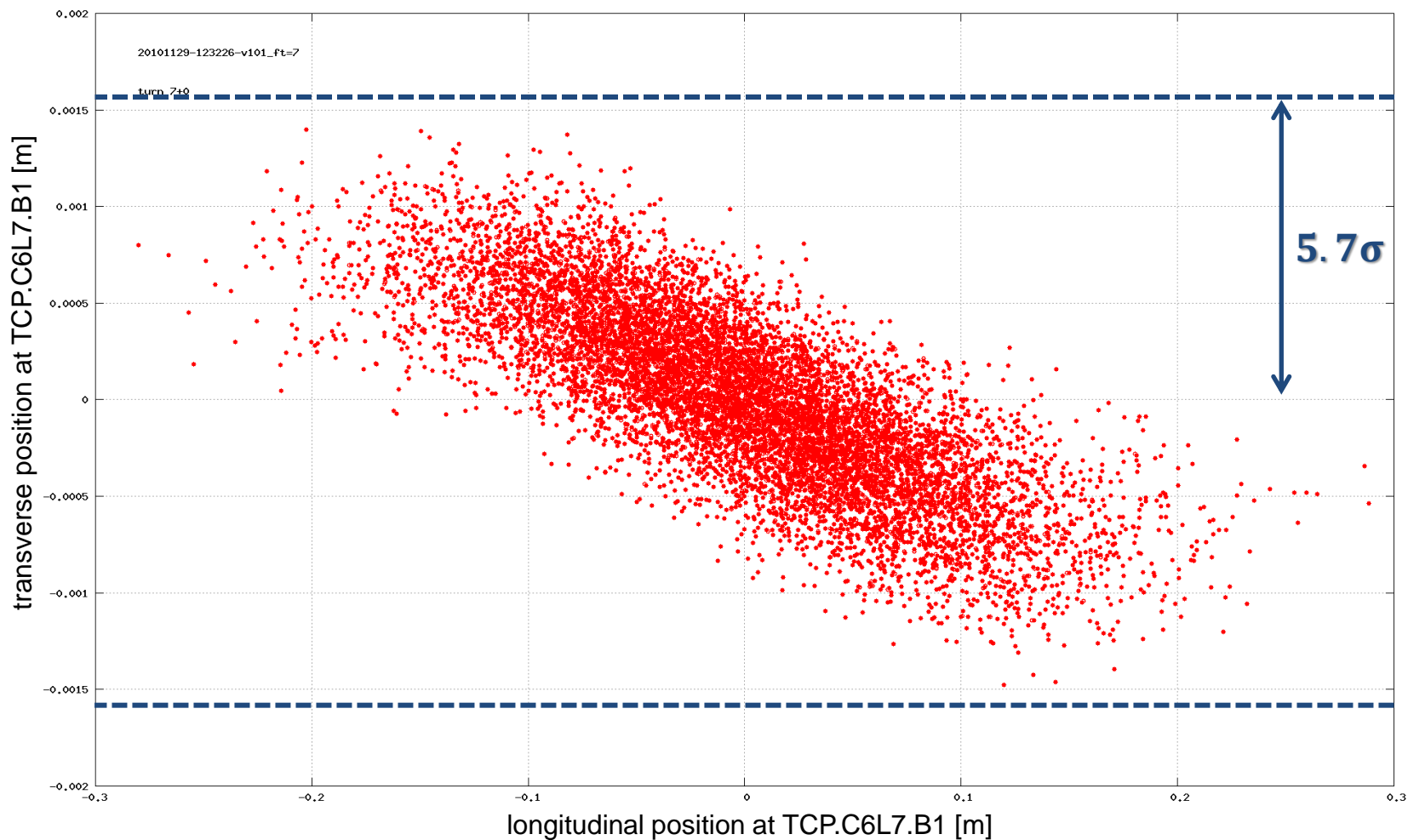
turn 7



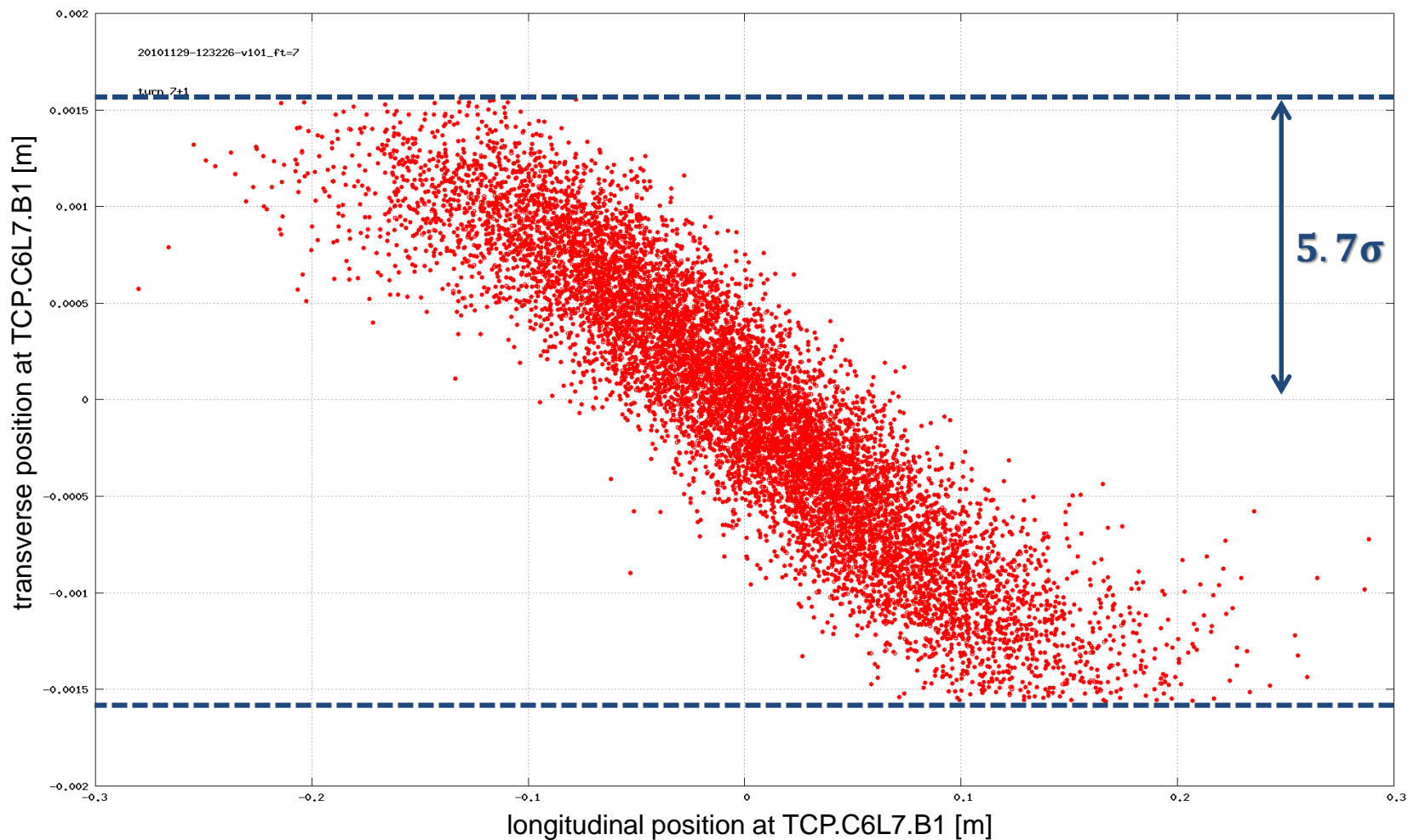
Upgrade optics SLHCV3.0 4444\_thin,  
IP1/5:  $\beta^* = 0.15\text{m}$ ,  $\Theta = 580\mu\text{rad}$ , CC Local  
scheme IP5, 400/10,000 particles,  
Gaussian particle distribution  
 $\epsilon_n = 3.75\mu\text{m} \cdot \text{rad.}$ ,  $\sigma_z = 7.55\text{cm}$ .



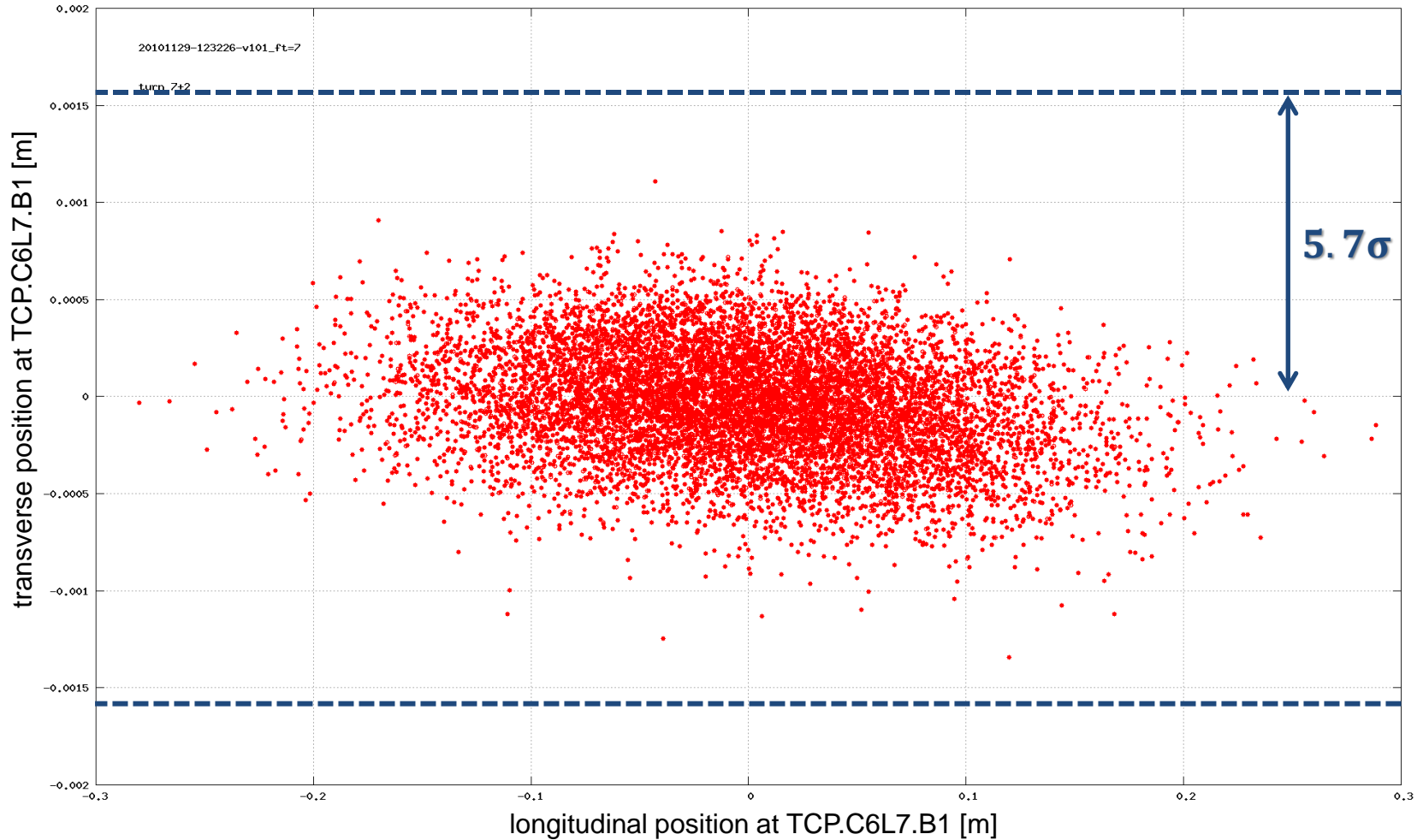
Bunchshape at primary collimator **TCP.C6L7.B1** directly after failure.



Bunchshape at primary collimator **TCP.C6L7.B1**, 1 turn after failure.



Bunchshape at primary collimator **TCP.C6L7.B1**, 2 turns after failure.



To isolate effect of CC failure and to be independent of particle distribution:

- Maximal displacement:

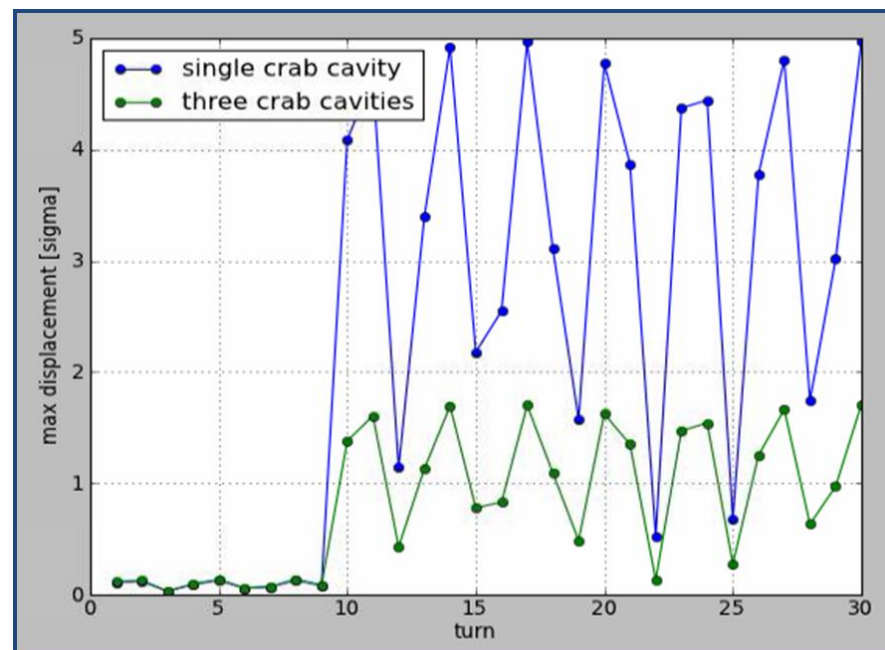
$$\bar{x} = \sqrt{x_{\beta}^2 + (\alpha \cdot x_{\beta} + \beta \cdot x'_{\beta})^2}$$

with  $x_{\beta} = x - D_x * \frac{\Delta p}{p}$ ,  $x'_{\beta} = x' - D_{px} * \frac{\Delta p}{p}$ .  
constant around LHC (apart from IRs).

- Initial conditions:

$$x, x', y, y', dp/p = 0.$$

- Displacement of up to **5 $\sigma$**  ( $n_{cc}=1$ ).  
up to **1.7 $\sigma$**  with  $n_{cc}=3$ .





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## Fast external failures (e.g. control/operational failure):

- Time constant of crab cavity failures:

$$\text{With } Q_{\text{ext}} = 1'250'000, f = 400\text{MHz} \rightarrow \tau_0 = \frac{Q_{\text{ext}}}{\pi \cdot f} \approx \mathbf{1\text{ms}} (\approx 11 \text{ turns}).$$

- Maximal voltage change per turn:  $\frac{\Delta V}{V} = 2 - 2\exp\left(-\frac{89\mu\text{s}}{1\text{ms}}\right) = \mathbf{17\%}$ .

- Phase change in first turn:  $\arctan\left(\frac{\frac{\Delta V}{V}}{1 - \frac{\Delta V}{V}}\right) = \mathbf{5.3^\circ}$ .

**$Q_{\text{ext}}$  determines time constant of fast external failures.**

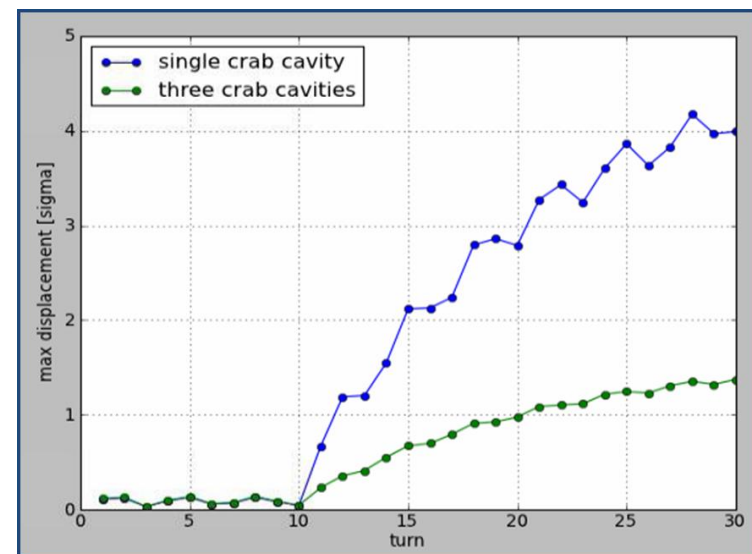
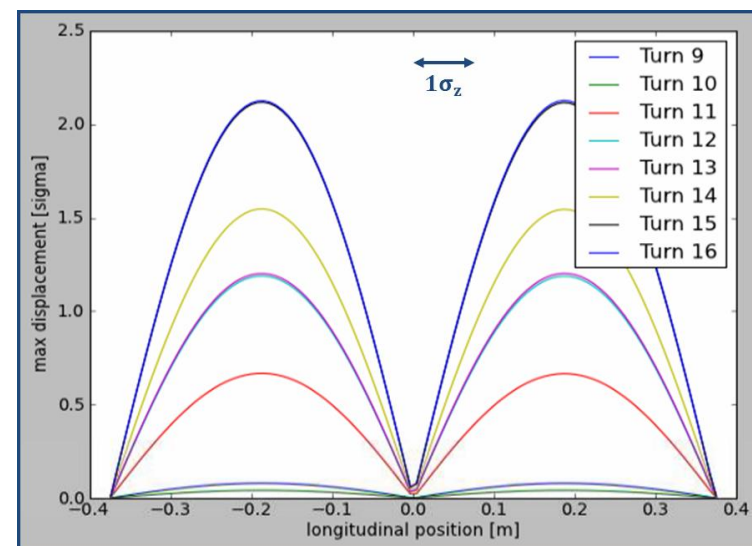
*T. Baer et. al, „LHC Machine Protection Against Very Fast Crab Cavity Failures“, IPAC'11, J. Tuckmantel, CERN-ATS-Note-2011-002 TECH*

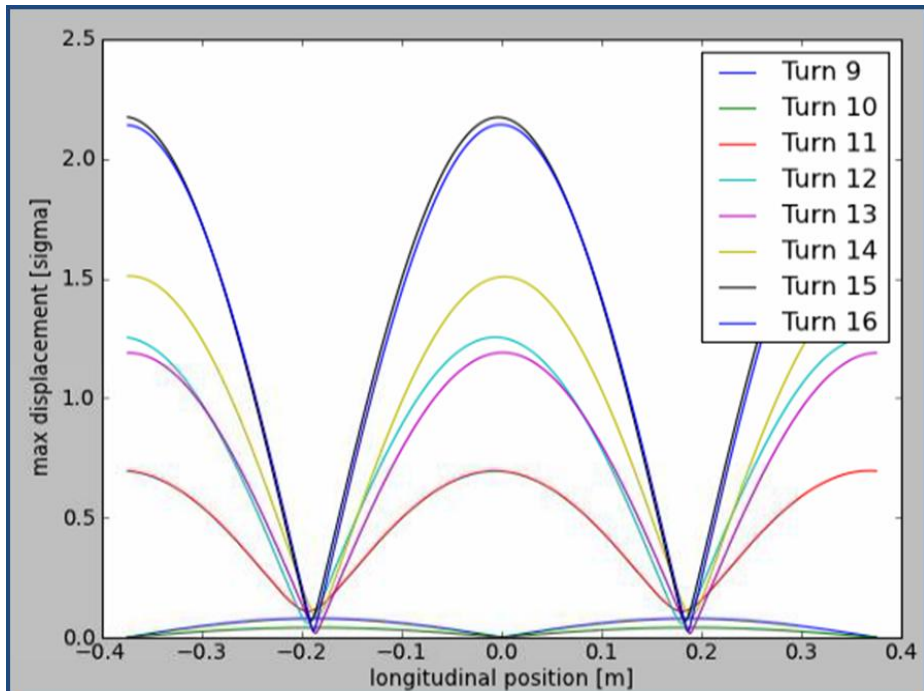


- **Dynamic voltage change** of CC.R5:  $V_0 \rightarrow -V_0$ .  
 $Q_{ext} = 1'250'000$ .  
*Failure starts after turn 10.*
- Resulting maximal displacement in 5 turns with  $n_{cc}=1$ :

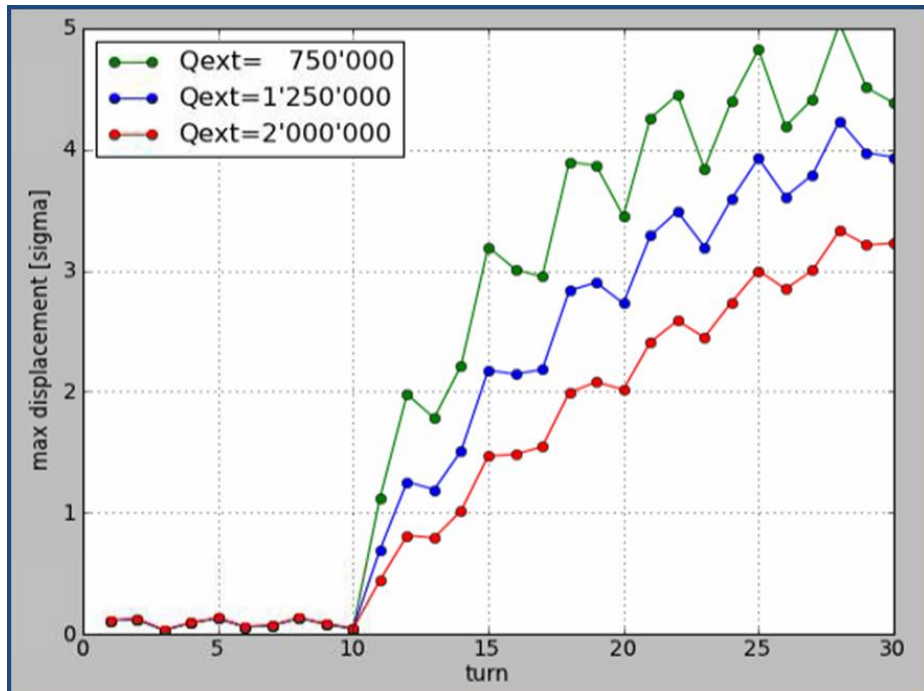
$$\bar{x} = 2.1\sigma_x \text{ at } z = \pm 2.4\sigma_z,$$

- The (longitudinal) bunch center is not displaced.





*Opposite phase change of both CCs.*



*Dependence on  $Q_{ext}$ .*

In case of a **dephasing** of the crab cavities, the (longitudinal) **bunch center** is maximally displaced by up to  **$2.1\sigma_x$  in 5 turns** ( $n_{cc}=1$ ).



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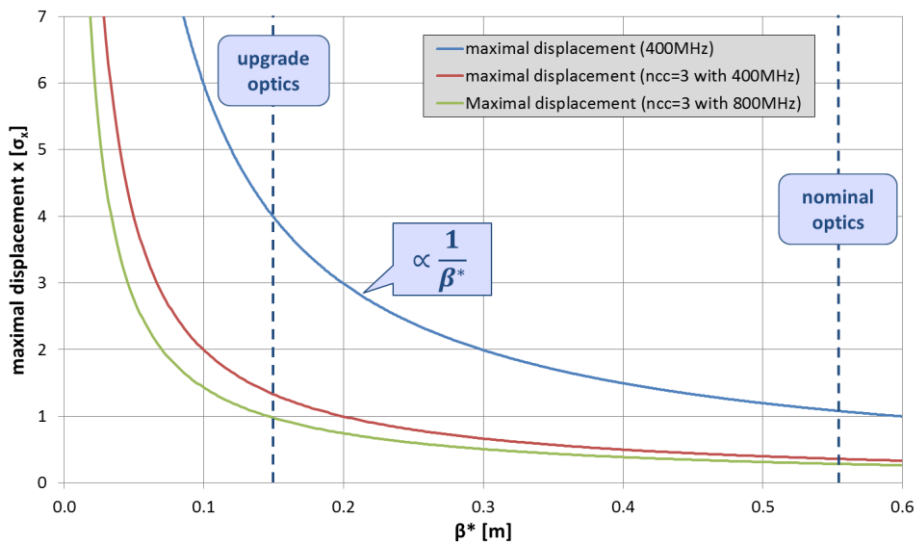
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$$\frac{\bar{x}_{cc}(z)}{\sigma_x} = - \frac{c \cdot \tan\left(\frac{\Theta}{2}\right)}{\omega \cdot \sigma_{x,IP} \cdot \sin(\Delta\varphi) \cdot n_{cc}} \cdot \sin\left(\Phi + \frac{\omega \cdot z}{c}\right)$$

$$\propto \frac{1}{\omega \cdot \beta^* \cdot n_{cc}}$$

if only one CC is affected,  
i.e. **no common failure scenarios**



The maximal displacement for  $\sin\left(\Phi + \frac{\omega \cdot z}{c}\right) = 1$ .

- Highly overpopulated tails observed:

*In horizontal plane about **4%** of beam beyond  $4\sigma_{meas}$*

*Corresponds to **≈20-30 MJ** with HL-LHC parameters.*

- Collimation system designed for fast accidental losses of up to **1MJ**.

*R. Assmann, „Collimation for the LHC High Intensity Beams“, HB2010*

- Need to **deplete tails** (e.g. by **hollow electron lens**) such that crab cavity failures are compliant with collimation system specifications.

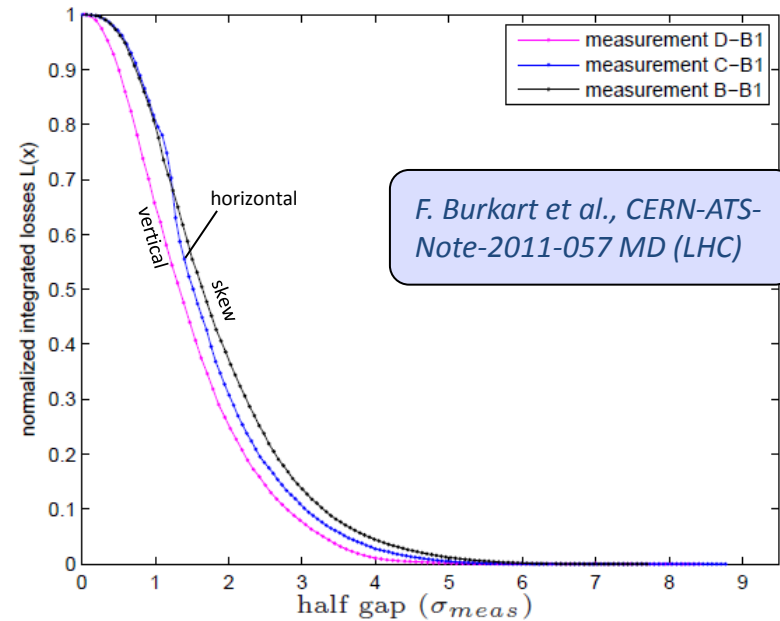


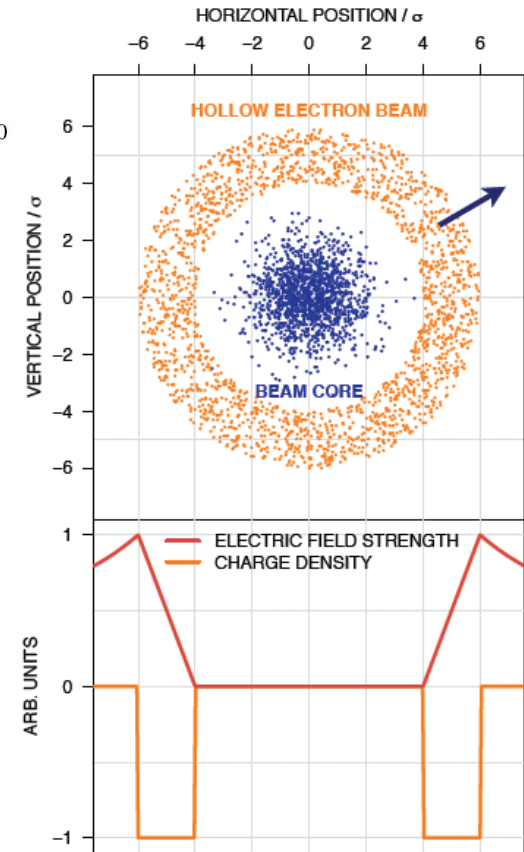
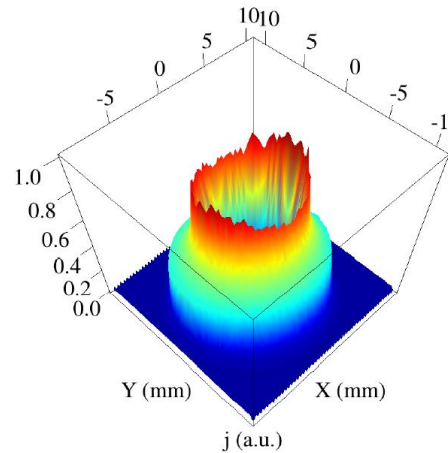
Table 3: Measured fraction of beam intensity in the tails of the beam outside selected multiples of the measured beam size,  $\sigma_{meas}$ , at 450 GeV.

$u$ [ $\sigma_{meas}$ ]	$I_{tot,lost}(u)/I_{total}$ vertical	$I_{tot,lost}(u)/I_{total}$ horizontal	$I_{tot,lost}(u)/I_{total}$ skew
	B1	B1	B1
4	9.4e-3	<b>3.8e-2</b>	4.5e-2
5	2.2e-3	7.8e-3	1.3e-2
5.7	8.4e-4	1.6e-3	3.8e-3

*F. Burkart et al., CERN-ATS-2011-115.*



- **Hollow e<sup>-</sup> beam** around proton beam core to **increase transverse diffusion rate** for particles with large betatron amplitudes.
  - Depletion of transverse tails (not efficient for luminosity production) without effect on beam core.*
- **Positive experience in Tevatron**, particularly no emittance growth or instabilities observed.
- Fast gating on dedicated bunch trains possible.

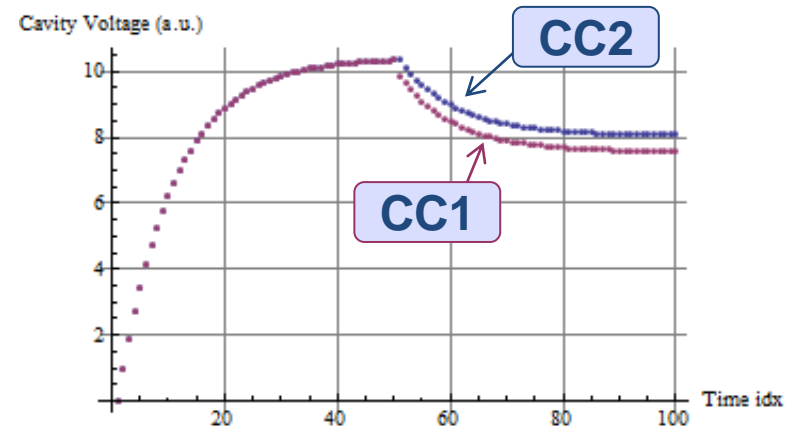
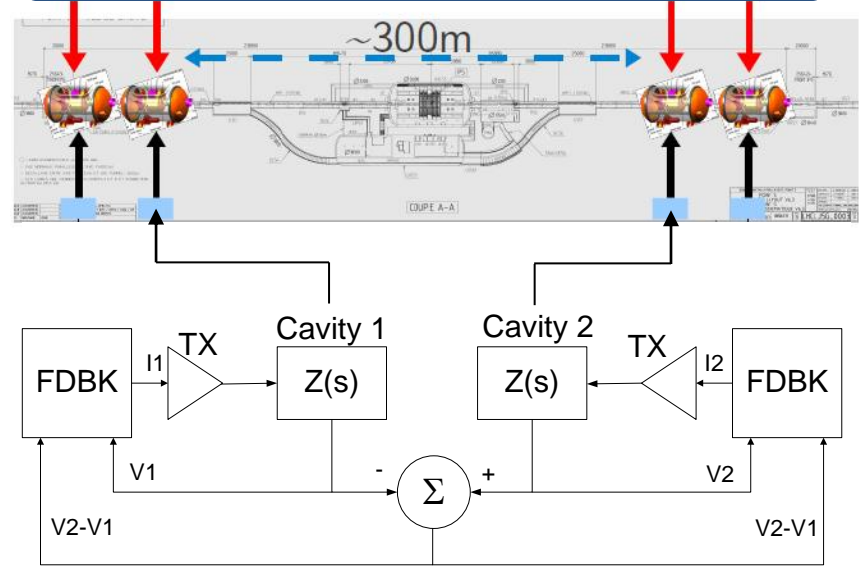


courtesy of G. Stancari et al.,  
Physical Review Letters 107, 2011.



- Strongly coupled RF Feedback to **regulate voltage difference** of CCs on either side of IP.
- Similar coupled feedback loop is planned to be installed for 200MHz traveling wave cavities in CERN SPS.
- Can provide **additional mitigation** for certain failures but cannot replace **passive protection** against severe failure scenarios.

## Independent High Power RF



*courtesy of P. Baudrenghien et al., LHC-CC11.*

- Mitigation options:
  - *Larger  $\beta^*$  (flat IR optics).*
  - *Smaller crossing angle (beam-beam wire compensator).*
  - *Higher crab cavity frequency.*
  - *Crab kick by several **INDEPENDENT** crab cavities.*
  - *Larger  $Q_{\text{ext}}$  (= slower time constant of ext. failures).*
  - *Coupled RF feedback.*
  - ***Hollow electron lens** to deplete transverse tails (essential).*
- Requires: **single turn redundant failure detection** and interlock.
  - *on cavity level.*
  - *on beam level, e.g. head-tail-monitor.*



# Possible Scenarios

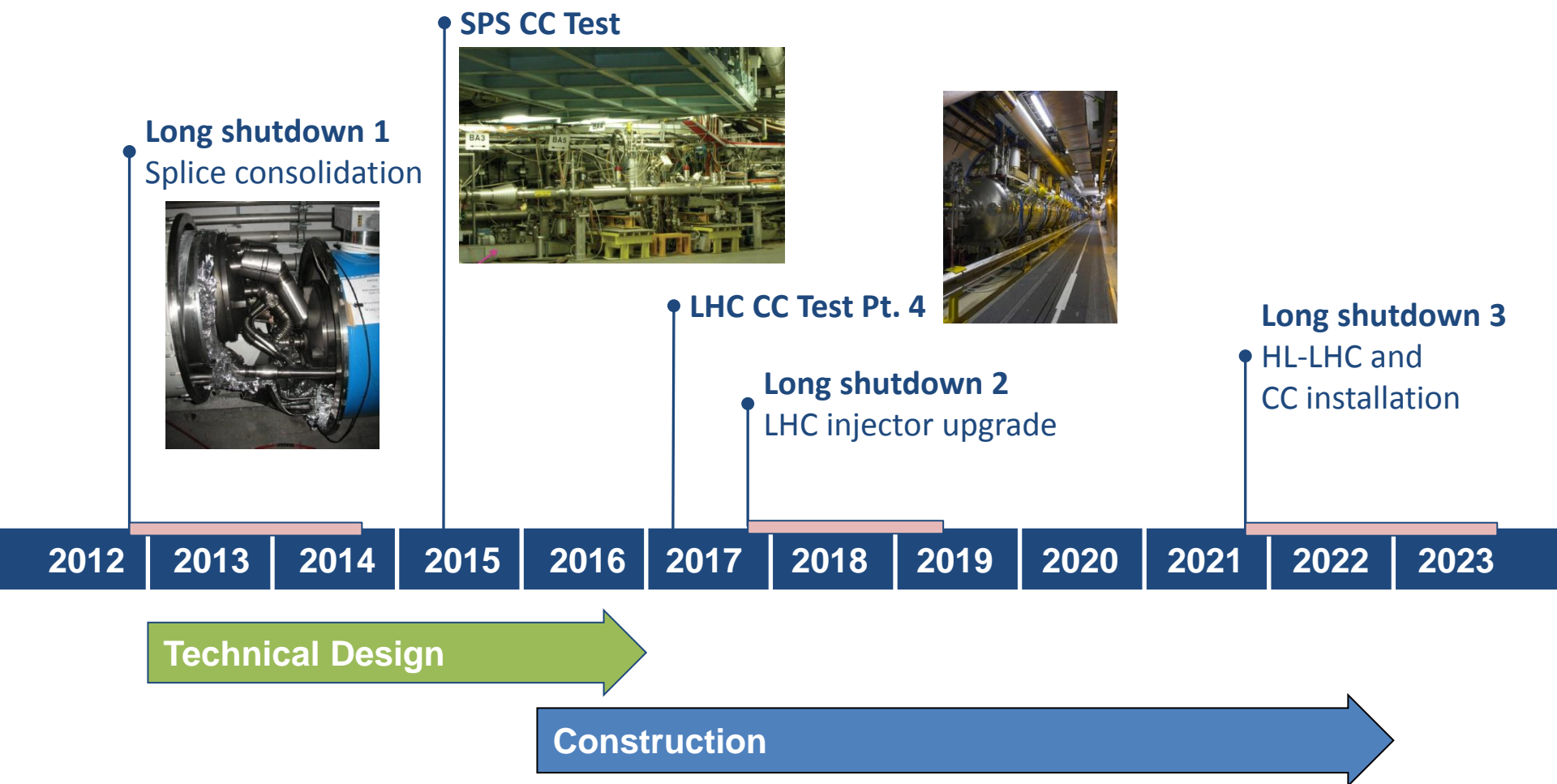


Tolerable scenarios for internal and external failures with losses below 1MJ in max 5 turns:

	Scenario 1: 3 CCs	Scenario 2: $\beta^* = 25\text{cm}$	Scenario 3: 800 MHz
CC frequency (f)	400 MHz	400 MHz	<b>800 MHz</b>
Number of independant CCs ( $n_{cc}$ )	<b>3</b>	3	3
$Q_{ext}$	1'250'000	1'250'000	1'250'000
$\beta^*$	15 cm	<b>25 cm</b>	15 cm
Distance from collimators to be depleted below 1MJ.	$1.7\sigma$	$1.0\sigma$	$0.9\sigma$

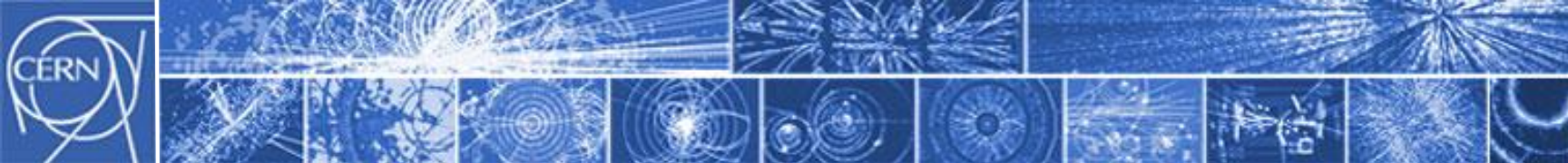
*T. Baer et al., IPAC'12, MOPPC003*

*Magnet quenching in failure case not excluded.*



- Crab Cavities are essential to **compensate the geometric luminosity loss** (and to level the luminosity) for HL-LHC.
- **Crab cavity failures** can lead to **global betatron oscillations with large amplitudes** (up to  $5\sigma$  for  $n_{cc}=1$ ) on very fast timescales.
  - Unacceptable with multi-MJ tails.*
  - Better understanding of failure scenarios (e.g. quench dynamics) needed.*
- Many **mitigation options**. In general: The more effective the crab cavities, the worse are their failure scenarios.
  - Transverse tail depletion with **hollow e-lens** is essential.*
  - Counteract failures with **strongly coupled RF feedback**.*
- Crab cavity tests in SPS and LHC are foreseen prior to final installation in 2022.





# Thank you for your Attention

## Further information:

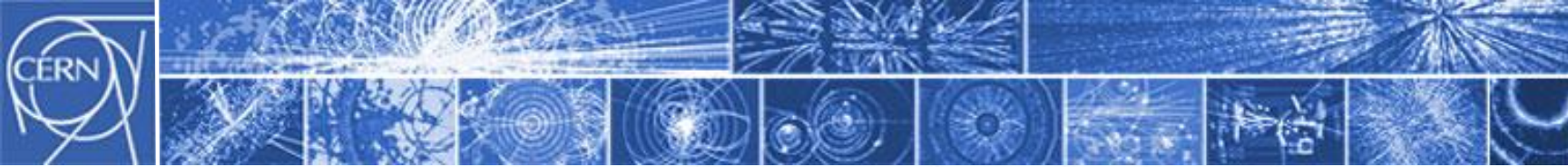
- T. Baer et al., “Very Fast LHC Crab Cavity Failures and Their Mitigation”, IPAC’12, May 2012.
- E. Jensen et al., “Crab Cavity”, 1<sup>st</sup> HiLumi LHC / LARP Meeting, Nov. 2011.
- T. Baer et al., “LHC Machine Protection against Very Fast Crab Cavity Failures”, IPAC’11, Sept. 2011.
- R. Calaga et al., “Beam Losses due to Abrupt Crab Cavity Failures in the LHC”, PAC’11, March 2011.
- T. Baer, “Beam Dynamics Aspects of Crab Cavity Failures”, December 2010.
- J. Tuckmantel, “Failure scenarios and mitigation”, LHC-CC10, December 2010

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## Backup slides

- Horizontal kick by crab cavity:

$$x'_{cc}(z) = -\frac{q \cdot V}{E} \cdot \sin\left(\Phi + \frac{\omega \cdot z}{c}\right)$$

- Optimal voltage to compensate crossing angle (local scheme):

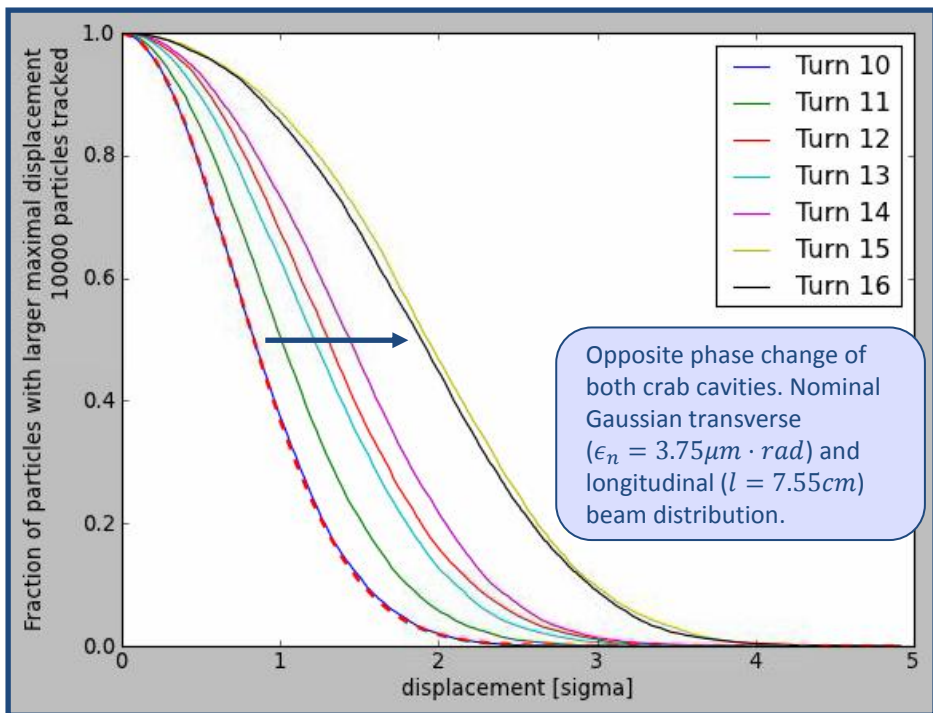
$$V_0 = \frac{c \cdot E \cdot \tan\left(\frac{\theta}{2}\right)}{q \cdot \omega \cdot \sqrt{\beta^* \beta_u} \cdot \sin(\Delta\varphi) \cdot n_{cc}}$$

- Optimal voltage for compensating cavities:

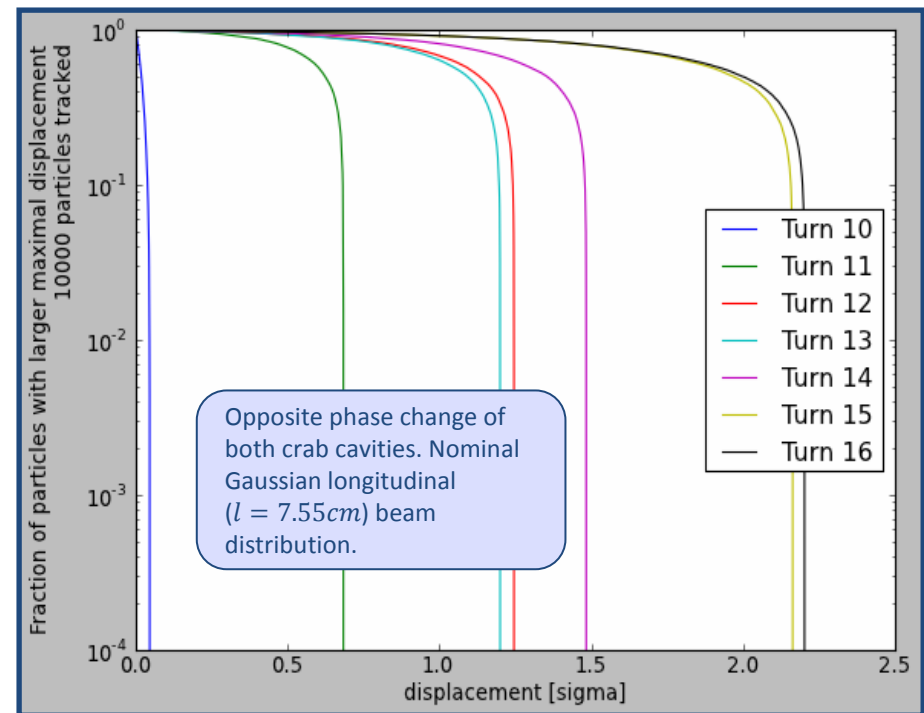
$$\tilde{V}_0 = -\sqrt{\frac{\beta_u}{\beta_d}} \cdot \cos(\Delta\varphi_{cc}) \cdot V_0$$

**ideally 180°**

$q$	= particle charge
$E$	= particle Energy (7 TeV)
$V$	= voltage of crab cavity
$\Phi$	= phase of crab cavity (0°)
$\theta$	= full crossing angle (590 $\mu$ rad)
$\Delta\varphi$	= phase advance CC $\rightarrow$ IP ( $\approx 90^\circ$ )
$\Delta\varphi_{cc}$	= phase advance CC <sub>u</sub> $\rightarrow$ CC <sub>d</sub> (181.4°)
$\omega$	= angular frequency of CC ( $2\pi \cdot 400$ MHz)
$z$	= longitudinal position of particle
$c$	= speed of light
$\beta^*$	= beta function at the IP
$\beta_{u,d}$	= beta function at upstream/ downstream CC.
$n_{cc}$	= number of CCs per beam on either side of IP.



Maximal displacement with Gaussian transverse and longitudinal beam distribution.



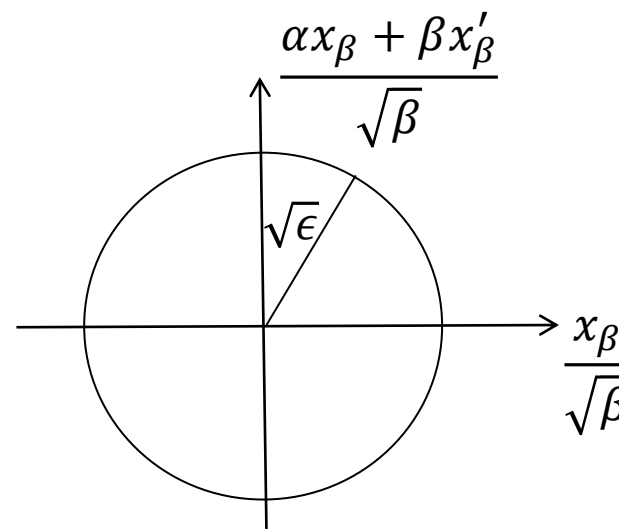
Maximal displacement with Gaussian longitudinal beam distribution.

In case of a **dephasing** of the crab cavities left and right of the IP, the (longitudinal) **bunch center** is maximally displaced, by up to  **$2.2\sigma_x$  in 5 turns**.

Single particle emittance:

$$\epsilon = \frac{(\alpha x_\beta + \beta x'_\beta)^2}{\beta} + \frac{x_\beta^2}{\beta}$$

with  $x_\beta = x - D_x * \frac{\Delta p}{p}$ ,  $x'_\beta = x' - D_{px} * \frac{\Delta p}{p}$ .



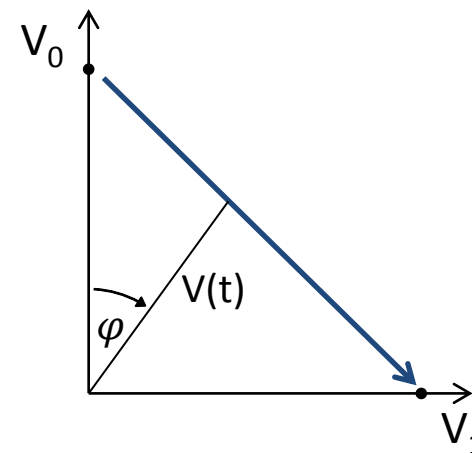
Maximal displacement:

$$\bar{x} = \sqrt{\epsilon \cdot \beta} = \sqrt{x_\beta^2 + (\alpha \cdot x_\beta + \beta \cdot x'_\beta)^2}.$$

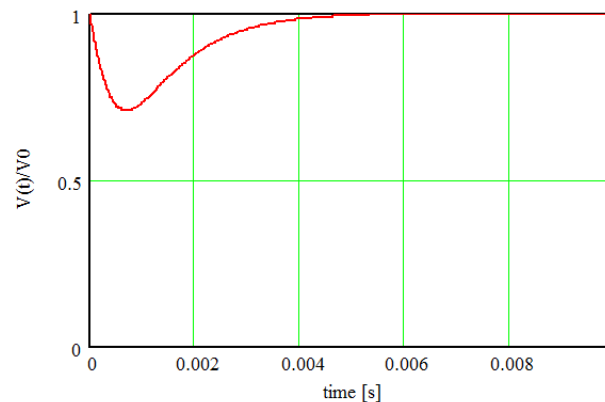
- Maximal phase change in first turn:

$$\varphi = \arctan\left(\frac{\frac{\Delta V}{V}}{1 - \frac{\Delta V}{V}}\right) = 5.3^\circ.$$

- Phase change is fastest if cavity voltage changes as well.



*Illustration of 90° voltage change.*



*Amplitude of cavity voltage.*

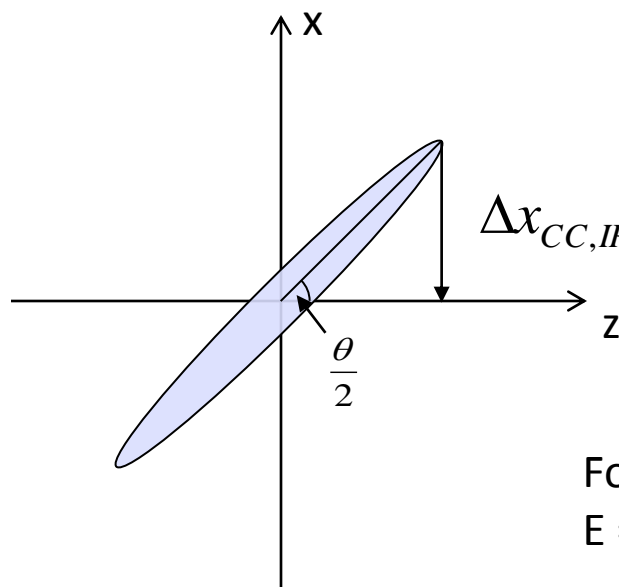




# Content



## Static Failure Scenarios



$$\Delta x_{CC,IP}(z) = -\frac{\theta}{2} \cdot z$$

Displacement at IP needed to compensate the crossing angle ( $\Theta, z$  small)

For  $\Theta = 580\mu\text{rad}$ ,  $\beta_{IP} = 0.15\text{m}$ ,  $\epsilon_{\text{norm}} = 3.75\mu\text{m}\cdot\text{rad}$ ,  
 $E = 7\text{TeV}$ ,  $\sigma_z = 7.55\text{cm}$ :

$$\Delta x = -2.52 \sigma_x \cdot z / \sigma_z$$

Expected beamlosses from simple Monte Carlo:

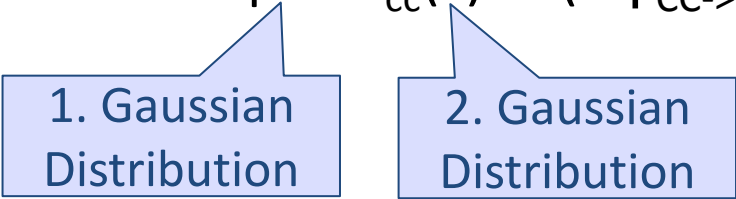
Particle is lost if  $|\text{RAND}_{\text{Gauss}} + 2.52 \cdot \text{RAND}_{\text{Gauss}}| > 5.7$

-> Expected loss: **(3.5 ± 0.2)%**

Beamloss approximation with simple Monte Carlo (upgrade optics):

- Failure of single cavity ( $V \rightarrow 0$ ): Scaling factor ( $\approx 1.12$ )

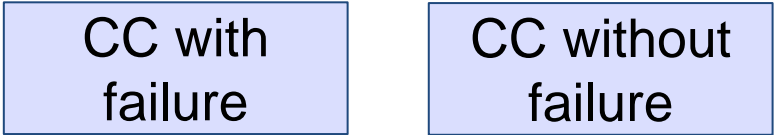
Particle is lost if  $|x + x_{cc}(z) \cdot k(\Delta\varphi_{CC \rightarrow TCP})| > 5.7 \cdot \sigma_x$



-> expected loss: **(0.88 ± 0.06)%**

- Phase error of single cavity ( $\Phi \rightarrow \pi/2$ ):

Particle is lost if  $|x + \underbrace{x_{cc}(z, \Phi = \pi/2)}_{\text{CC with failure}} \cdot k - \underbrace{x_{cc}(z, \Phi = 0)}_{\text{CC without failure}} \cdot k| > 5.7\sigma_x$



-> expected loss: **(24.8 ± 0.3)%**

## Static Tracking Studies with upgrade optics (MAD-X)

- Fast Voltage Decay
- Phase Error

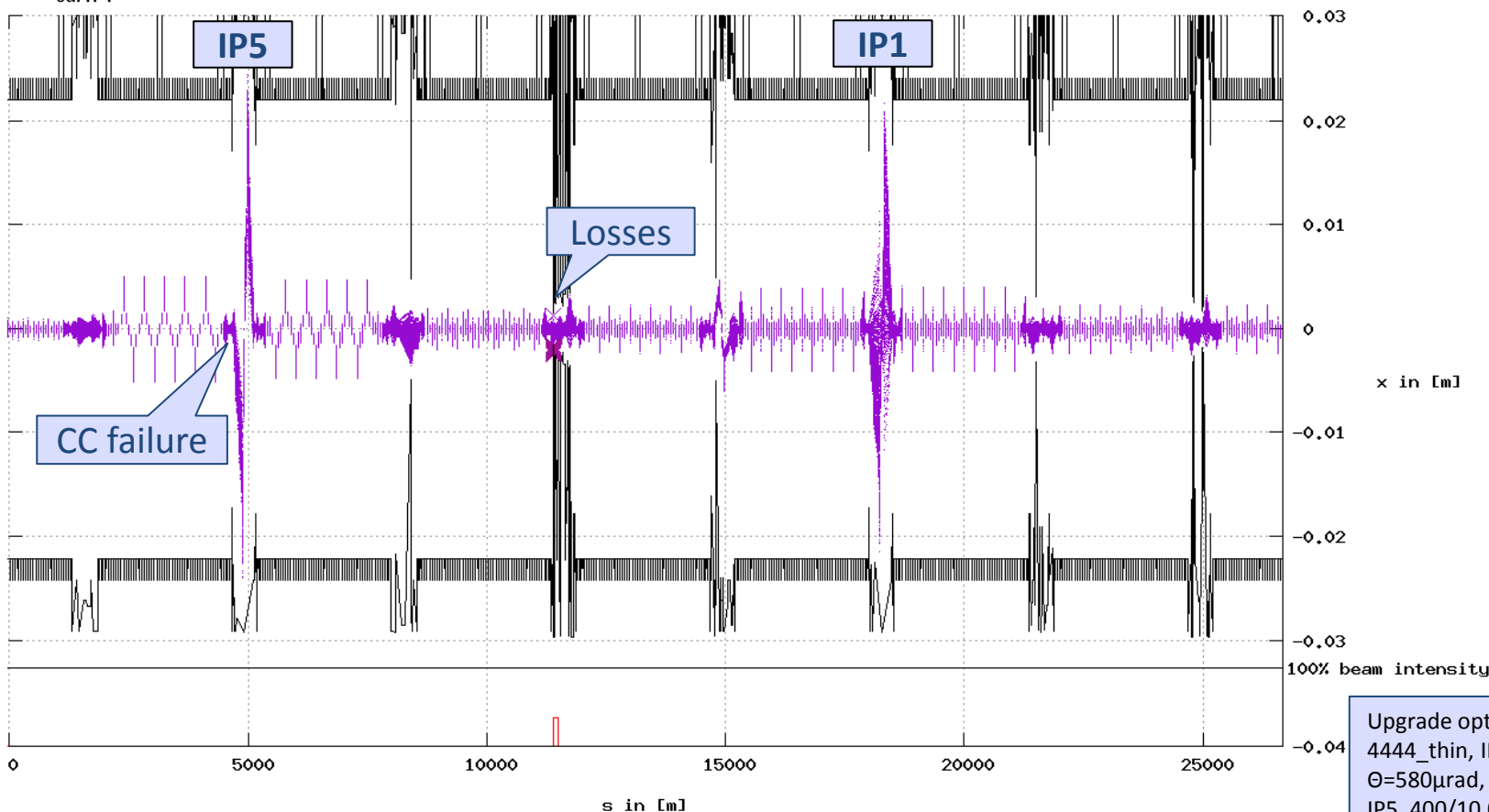
Upgrade Optics, Phase of Crab.L5.B1 =  $-\pi/2$ .

Massive beam loss within few turns, mainly at **TCP.C6L7.B1**

*For Illustration*

20101214-150401-v102\_ft=7\_NCC=1\_VR5=0\_pL5=-25\_o1\_aper

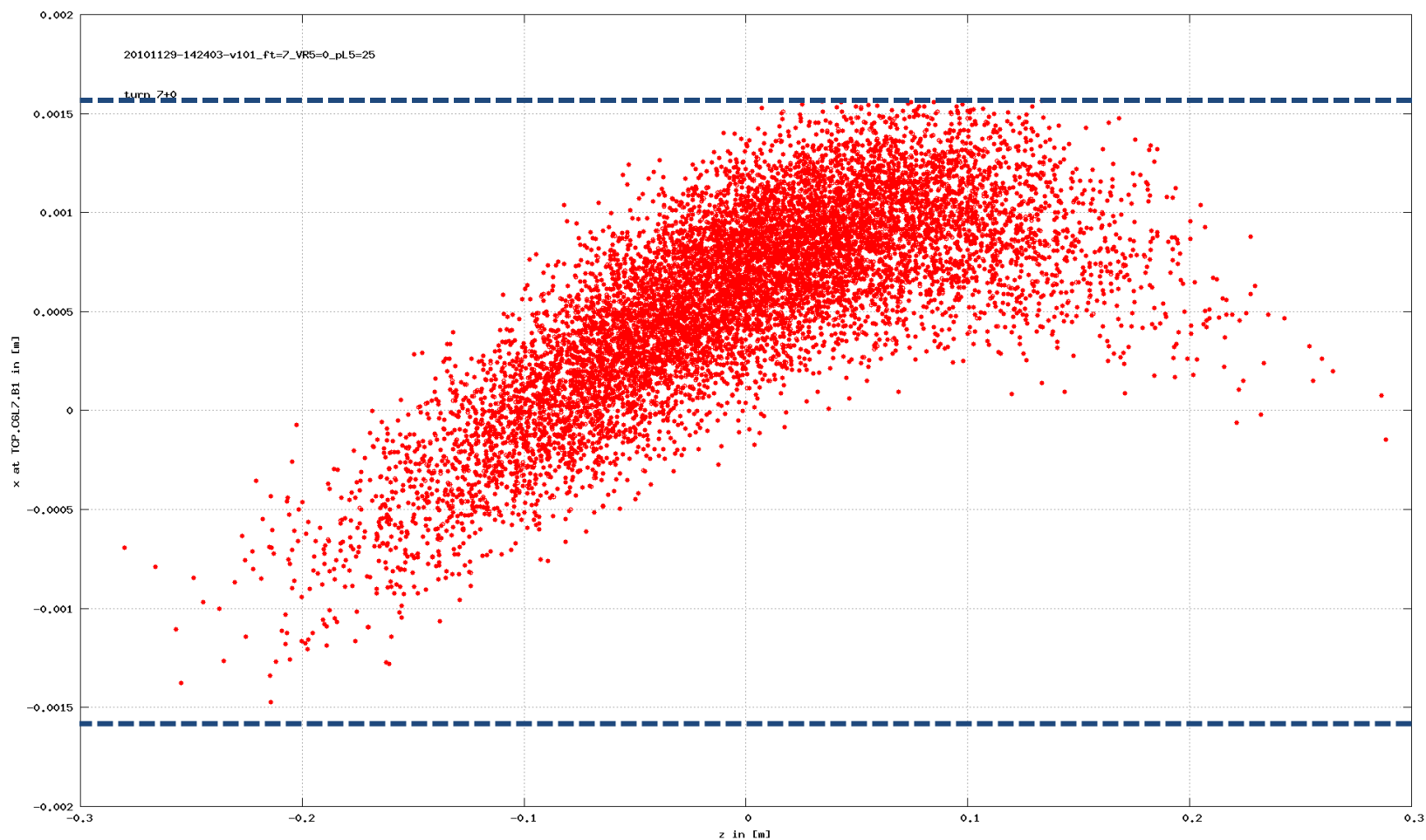
turn 7



Upgrade optics SLHCV3.0  
 4444\_thin, IP1/5:  $\beta^* = 0.15\text{m}$ ,  
 $\Theta = 580\mu\text{rad}$ , CC Local scheme  
 IP5, 400/10,000 particles



Bunchshape at **TCP.C6L7.B1** directly after failure.



Bunchshape at **TCP.C6L7.B1**, 1 turn after failure.

